

Original Research

# A Novel Nomogram for Predicting the Risk of Acute Heart Failure in Intensive Care Unit Patients With COPD

Ziyang Wu, MS<sup>1</sup> Sutong Zhan, MS<sup>2</sup> Dong Wang, PhD<sup>1</sup> Chengchun Tang, PhD<sup>1</sup>

## Abstract

**Background:** The objective of this study was to construct a prediction model to assess the onset of acute heart failure (AHF) in patients with chronic obstructive pulmonary disease (COPD) without a history of heart failure and to evaluate the predictive value of the nomogram.

**Methods:** This study involved 3730 patients with COPD and no history of heart failure. Clinical and laboratory data were collected from the Medical Information Mart for Intensive Care IV database. The patients were divided into a training set (2611 cases) and a validation set (1119 cases) in a 7:3 ratio. Least absolute shrinkage and selection operator (LASSO) regression was used to identify potential risk factors for AHF in patients with COPD. These factors were then subjected to multivariate logistic regression analysis to develop a prediction model for the risk of AHF. The model's differentiation, consistency, and clinical applicability were evaluated using receiver operating characteristic analysis, a calibration curve, and decision curve analysis (DCA), respectively.

**Results:** LASSO regression identified 10 potential predictors. The concordance index was 0.820. The areas under the curves for the training and validation sets were 0.8195 and 0.8035, respectively. The calibration curve demonstrated strong concordance between the nomogram's predictions and the actual outcomes. DCA confirmed the clinical applicability of the nomogram.

**Conclusion:** Our nomogram is a reliable and convenient tool for predicting acute heart failure in patients with COPD.

1. Department of Cardiology, Zhongda Hospital, School of Medicine, Southeast University, Gulou District, Nanjing, China
2. Department of Respiratory and Critical Care Medicine, Jinling Hospital, School of Medicine, Nanjing University, Gulou District, Nanjing, China

## Abbreviations:

**AG**=anion gap; **AHF**=acute heart failure; **ALP**=alkaline phosphatase; **AST**=glutamic oxaloacetic transaminase; **ALT**=glutamic pyruvic transaminase; **AUC**=area under the curve; **BE**=base excess; **BMI**=body mass index; **BP**=blood pressure; **Ca**=calcium; **CI**=confidence interval; **Cl**=chloride; **CO<sub>2</sub>**=carbon dioxide; **COPD**=chronic obstructive pulmonary disease; **DCA**=decision curve analysis; **FIO<sub>2</sub>**=fraction of inspired oxygen; **ICU**=intensive care unit; **ICD-9**=International Classification of Diseases, Ninth Revision; **ICD-10**=International Classification of Diseases, Tenth Revision; **INR**=international normalized ratio; **LASSO**=least absolute shrinkage and selection operator; **MCH**=mean corpuscular hemoglobin; **MCHC**=mean corpuscular hemoglobin concentration; **MCV**=mean corpuscular volume; **MIMIC**=Medical Information Mart for Intensive Care database; **Na**=sodium; **PCO<sub>2</sub>**=partial pressure of carbon dioxide; **PEEP**=positive end-expiratory pressure; **PO<sub>2</sub>**=partial pressure of oxygen; **PT**=prothrombin time; **PTT**=partial thromboplastin time; **RBC**=red blood cells; **RDW**=red blood cell distribution width; **resp rate**=respiration rate; **ROC**=receiver operating characteristic; **SPO<sub>2</sub>**=oxygen saturation of arterial blood; **TBIL**=total bilirubin; **temp**=temperature; **WBC**=white blood cells

## Funding Support:

This study was supported by the National Natural Science Foundation of China (NO.82170433) and Jiangsu Provincial Medical Key Discipline (Laboratory) (ZDXK202207).

## Citation:

Wu Z, Zhan S, Wang D, Tang C. A novel nomogram for predicting the risk of acute heart failure in intensive care unit patients with COPD. *Chronic Obstr Pulm Dis*. 2025;12(2):117-126. doi: <https://doi.org/10.15326/jcopdf.2024.0562>

## Publication Dates:

**Date of Acceptance:** January 7, 20

**Published Online Date:** February 5, 20

## Address correspondence to:

Dong Wang, PhD  
Department of Cardiology  
Zhongda Hospital  
School of Medicine  
Southeast University  
Gulou District  
Nanjing, China  
Phone: +86 18851651351  
Email: wangdong\_seu@163.com

## Keywords:

nomogram; Medical Information Mart for Intensive Care; acute heart failure; COPD

**This article has an online supplement.**

## Introduction

Chronic obstructive pulmonary disease (COPD) is a chronic respiratory disorder manifesting as progressive and partially reversible airflow restriction, whose exact cause is not yet fully understood, but is believed to be related to abnormal inflammatory reactions in the lungs.<sup>1,2</sup> The incidence of COPD gradually increases with the aging of the population, and about 10% of the total population suffers from it.<sup>1,3</sup> Now it is the sixth leading cause of death in the United States.<sup>4</sup> COPD is largely believed to be caused by smoking tobacco but about half of all COPD cases worldwide are due to nonsmoking-related risk factors, such as air pollution, occupational exposures, poorly controlled asthma, and infectious diseases.<sup>5</sup>

Comorbidities are common in COPD and seriously reduce the quality of life of patients, with the role of heart failure becoming increasingly prominent.<sup>3,6</sup> COPD and heart failure share overlapping pathophysiological processes to some extent.<sup>7-9</sup> Therefore, it is reasonable for a certain proportion of COPD patients to have associated acute heart failure (AHF). The incidence of underlying heart disease is greater in patients with moderate or severe airflow limitation.<sup>8,10</sup> However, the relationship between COPD and cardiovascular diseases has not been thoroughly understood. Evidence shows that COPD is associated with low-grade inflammation, which is also found in AHF patients.<sup>11-13</sup>

Nomograms are widely used in oncology and pharmacy to determine the prognosis and evaluate the likelihood of specific events.<sup>14</sup> However, predictive models for assessing the incidence of AHF in patients with COPD remain limited. This study utilized data from the Medical Information Mart for Intensive Care (MIMIC) database to develop and validate a nomogram for predicting the onset of AHF in patients with COPD.<sup>15</sup> Although this nomogram can help identify a subset of patients with COPD at risk of respiratory failure, for which heart failure may be a potential contributor, its utility is limited in patients with respiratory failure of unknown etiology. This limitation is also observed with other markers, such as brain natriuretic peptide.

## Methods

### Database

The data for this study were obtained from the MIMIC-IV version 2.2 database, which is a publicly available and free database in the field of critical care medicine. The data were sourced from the intensive care unit (ICU) of Beth Israel Deaconess Medical Center in Boston, Massachusetts from 2008 to 2019.<sup>15-17</sup> PostgreSQL version 16 was used to search and extract data from it.<sup>18</sup> This study is a retrospective analysis of data stored in a public database.

All information is available and free for the public, so the agreement of the medical ethics committee board and the need for informed consent were not necessary.

### Study Population

It has been demonstrated that patients with COPD and a history of heart failure are at an elevated risk of experiencing another acute cardiovascular event due to an acute exacerbation of COPD from various causes.<sup>19</sup> Accordingly, the present study included only COPD patients without a history of heart failure in order to avoid the potential confounding influence of a previous history of heart failure on new-onset AHF. The inclusion criteria were as follows: (1) patients with a previous history of COPD but no history of heart failure, (2) patients older than 18 years, and (3) patients admitted to the ICU for the first time.

Patient diagnoses were captured using the International Classification of Diseases, Ninth Revision (ICD-9) and Tenth Revision (ICD-10) codes. We included patients with acute diastolic heart failure (428.31, I50.31), patients with acute systolic heart failure (428.21, I50.21), patients with acute combined systolic and diastolic heart failure (428.41, I50.41) and patients with acute right heart failure (I50.81).

### Data Collection and Measurements

Demographic data (e.g., age, gender, race, and marital status), vital signs (e.g., heart rate and mean blood pressure), laboratory results (e.g., routine blood tests, chemistry, and coagulation), and ventilation data were extracted based on MIMIC-IV data availability and previous literature reviews on risk factors for AHF. The demographic data were obtained from the registration record at the time of admission to the ICU. Vital signs and ventilation status were obtained from the initial record at the time of admission to the ICU. Laboratory results were extracted within 24 hours of admission to the ICU. In the event of multiple measurements of the same variable within 24 hours, only the initial result was extracted.

### Statistical Analysis

Statistical analysis was achieved by using R software (Version 4.3.1; R Foundation for Statistical Computing; Vienna, Austria). Continuous data were expressed as mean  $\pm$  standard deviation (SD), as median, or as quartiles (M [P25, P75]). Categorical data were expressed as the number of cases or percentage (%). Missing data were imputed using the Multivariate Imputation by Chained Equations package, which employs a chained equations approach.<sup>20</sup> To avoid making the nomogram too complex, the lambda value was set to "1se." The bootstrap resampling was performed 1000 times.<sup>21</sup> Decision curve analysis was used to identify the clinical benefit of the model.<sup>22</sup> The receiver operating

characteristic (ROC) curves and the area under the ROC curve (AUC) for each individual were plotted.<sup>23</sup> All statistical tests were conducted using 2-sided tests, and differences were considered statistically significant at a level of  $P < 0.05$ .

## Results

### Clinical Characteristics

Supplementary Figure 1 in the online supplement is a flowchart showing the cohort selection process. Table 1 summarizes the data of the enrolled variables. Of the 3730 patients with COPD, 1002 were admitted to the ICU for AHF.

### Variable Selection

Any data with more than 5% missing values was deleted, while data with less than 5% missing values was replaced via chained equations. Of 90 variables extracted, 55 variables were examined (Supplementary Figure 2 in the online supplement). Supplementary Figure 3 in the online supplement shows ROC curves of all screened variables. Supplementary Figure 4 in the online supplement is a heatmap involving all screened variables. Supplementary Figure 5 in the online supplement illustrates AUC values of all variables. Twenty-fold cross-validated LASSO regression identified 10 variables: age, body mass index (BMI), urine output, partial pressure of carbon dioxide (PCO<sub>2</sub>), bicarbonate, partial thromboplastin time (PTT), total bilirubin (TBIL), urea, chloride, and ventilation status. Figure 1 illustrates the variable selection process. These 10 variables were included in the multivariate Logistic regression model for predicting the occurrence of AHF, and their odd ratios and 95% confidence intervals (CIs) were 1.92(1.63–2.25), 1.34(1.19–1.51), 1.40(1.25–1.56), 0.72(0.62–0.85), 2.27(1.95–2.65), 1.46(1.30–1.65), 0.75(0.68–0.82), 1.93(1.73–2.15), 0.71(0.63–0.80) and 0.18–1.65 (0.02–1.11 to 0.67–2.43), respectively. The values of troponin-T and N-terminal pro-b-type natriuretic peptide were missing in more than 50% and 70% of patients, respectively. Due to limitations in the data presentation, it was unclear whether certain tests (e.g., troponin measurements) had been performed but not recorded in the database or whether the tests had not been performed at all. Consequently, these variables were excluded from the study. These variables are well established as critical indicators of heart failure, their exclusion inevitably reduced the comprehensiveness of the nomogram. However, we determined that their exclusion was necessary to ensure the stability and completeness of the model.

### Construction of a Nomogram

The results of the logistic regression analysis were used to construct a nomogram for predicting the occurrence of AHF

in patients with COPD (Figure 2). To calculate the score, a vertical line was drawn from each variable to the "points" line. The points for all variables were then summed to determine the total score. Finally, a vertical line was drawn downward from the "total points" line to determine the probability of AHF in patients with COPD.

### Online Platform

Based on the nomogram, we constructed an online platform.<sup>24</sup> The user may select the value of each variable with the mouse and obtain the predicted probability by clicking the "Predict" button.

### Assessment and Validation

The Concordance-index for the prediction of AHF was 0.820, indicating that the nomogram demonstrated robust discriminatory capacity. The calibration curve showed strong concordance with the actual incidence (Figure 3). The ROC curve and AUC were plotted to evaluate the model's predictive performance. The AUC was 0.8195 in the training set and 0.8035 in the validation set (Figure 4), conforming that the nomogram had good predictive ability. Figure 5 demonstrates the clinical availability and net benefit of the nomogram, indicating a net gain in AHF risk in the validation cohort.

## Discussion

This population-based study retrospectively investigated 3730 patients admitted to the ICU with COPD. A nomogram model was developed and validated to gauge the risk of AHF. The nomogram contained 10 variables: age, BMI, urine output, PCO<sub>2</sub>, bicarbonate, PTT, TBIL, urea, chloride, and ventilation status. It demonstrated good clinical utility. The ROC analysis of the training cohort had an AUC of 0.8195 and that of the test cohort was 0.8035, suggesting that our model is predictive. When using the nomogram to estimate the probability of developing AHF, users can draw a vertical line from the value of each variable to the "points" line to obtain a score for that variable. The scores for all variables are then summed to calculate the total score. Finally, a vertical line is drawn downward from the total score to determine the specific probability of AHF.

Researchers in respirology and cardiology have examined the relationship between COPD and heart failure. However, no consensus has yet been reached. Many papers have hypothesized about the mechanisms by which heart failure and COPD interact.<sup>25,26</sup>

A common risk factor for COPD and heart failure is age.<sup>27</sup> The prevalence of COPD and heart failure increases with age. Due to the prolongation of life expectancy worldwide,

**Table 1. Data of Variables Enrolled in this Study**

Variables	Total (n=3730)	N <sub>0</sub> (2728)	N <sub>1</sub> (1002)	p
<b>Gender, n (%)</b>				0.082
Female	1783 (48)	1280 (47)	503 (50)	
Male	1947 (52)	1448 (53)	499 (50)	
<b>Age, median (Q1,Q3)</b>	72.07 (64.09, 80.15)	71.04 (63.01, 78.89)	75.03 (67.11, 82.71)	< 0.001
<b>Height, median (Q1,Q3)</b>	170 (160, 180)	170.5 (160, 180)	170 (160, 180)	0.919
<b>Weight, median (Q1,Q3)</b>	79 (64.9, 96.6)	77.6 (64, 93.73)	83.35 (67.74, 102.9)	< 0.001
<b>BMI, median (Q1,Q3)</b>	27.1 (22.23, 33.42)	26.56 (21.93, 32.6)	28.93 (23.2, 35.64)	< 0.001
<b>Marital Status, n (%)</b>				0.004
Unmarried	1003 (27)	761 (28)	242 (24)	
Married	1529 (41)	1097 (40)	432 (43)	
Divorced	464 (12)	358 (13)	106 (11)	
Widowed	676 (18)	467 (17)	209 (21)	
Others	58 (2)	45 (2)	13 (1)	
<b>Race, n (%)</b>				0.717
White	2565 (69)	1881 (69)	684 (68)	
Other	1165 (31)	847 (31)	318 (32)	
<b>Heart Rate, median (Q1,Q3)</b>	101 (83, 116)	101 (81, 115)	102 (89, 120)	0.072
<b>Mean BP, median (Q1,Q3)</b>	60 (53, 87.5)	60 (54, 88.5)	58.5 (52, 85)	< 0.001
<b>Resp Rate, median (Q1,Q3)</b>	28 (25, 33)	28 (24, 33)	29 (26, 33)	< 0.001
<b>Temp, median (Q1,Q3)</b>	36.94 (36.33, 37.28)	37 (36.33, 37.33)	36.89 (36.33, 37.28)	0.004
<b>SPO<sub>2</sub>, median (Q1,Q3)</b>	96.23 (94.56, 97.55)	96.26 (94.6, 97.62)	96.08 (94.46, 97.38)	0.041
<b>Urine Output, median (Q1,Q3)</b>	1375 (825, 2150)	1320 (805, 2010)	1585 (887.75, 2625)	< 0.001
<b>WBC, median (Q1,Q3)</b>	14.3 (10.5, 19.5)	14.1 (10.4, 19.3)	14.7 (10.9, 20.1)	0.011
<b>RBC, median (Q1,Q3)</b>	3.86 (3.36, 4.36)	3.86 (3.36, 4.35)	3.84 (3.34, 4.38)	0.794
<b>Hemoglobin, median (Q1,Q3)</b>	11.3 (9.9, 12.8)	11.5 (10, 12.9)	11 (9.5, 12.5)	< 0.001
<b>Platelet, median (Q1,Q3)</b>	254 (190, 344)	253 (189, 341)	256 (193, 348)	0.326
<b>Hematocrit, median (Q1,Q3)</b>	35.8 (31.4, 39.9)	36 (31.6, 39.92)	35.3 (30.9, 39.88)	0.121
<b>MCH, median (Q1,Q3)</b>	30.3 (28.5, 31.8)	30.4 (28.7, 31.9)	29.9 (27.8, 31.7)	< 0.001
<b>MCHC, median (Q1,Q3)</b>	32.7 (31.6, 33.8)	32.9 (31.8, 33.9)	32.3 (31.1, 33.3)	< 0.001
<b>MCV, median (Q1,Q3)</b>	95 (91, 100)	95 (91, 99)	96 (91, 101)	0.041
<b>RDW, SD, median (Q1,Q3)</b>	54.1 (49.1, 61.1)	52.9 (48.2, 59.5)	57.25 (52.1, 64.3)	< 0.001
<b>RDW, median (Q1,Q3)</b>	15.9 (14.5, 18.2)	15.6 (14.2, 17.7)	17.1 (15.4, 19.1)	< 0.001
<b>PO<sub>2</sub>, median (Q1,Q3)</b>	159.5 (82, 285.75)	168 (85, 304.25)	141 (76, 224)	< 0.001
<b>PCO<sub>2</sub>, median (Q1,Q3)</b>	54 (45, 69)	53 (44, 68)	57 (47.25, 73)	< 0.001
<b>pH, median (Q1,Q3)</b>	7.42 (7.37, 7.47)	7.42 (7.36, 7.47)	7.43 (7.39, 7.49)	< 0.001
<b>Lactate, median (Q1,Q3)</b>	2.4 (1.6, 4.3)	2.4 (1.6, 4.5)	2.4 (1.6, 3.8)	0.292
<b>Na, median (Q1,Q3)</b>	143 (140, 146)	143 (140, 146)	143 (140, 146)	0.754
<b>K, median (Q1,Q3)</b>	4.9 (4.5, 5.5)	4.8 (4.4, 5.4)	5.1 (4.7, 5.7)	< 0.001
<b>Ca, median (Q1,Q3)</b>	9.1 (8.7, 9.6)	9.1 (8.6, 9.5)	9.3 (8.9, 9.7)	< 0.001
<b>Glucose, median (Q1,Q3)</b>	183 (144, 248.75)	174 (137, 228)	215 (166, 299)	< 0.001
<b>Bicarbonate, median (Q1,Q3)</b>	29 (26, 33)	28 (26, 32)	32 (29, 37)	< 0.001
<b>Total CO<sub>2</sub>, median (Q1,Q3)</b>	31 (26, 38)	30 (26, 37)	33 (28, 40)	< 0.001
<b>BE, median (Q1,Q3)</b>	3 (0, 9)	3 (0, 8)	5 (1, 10)	< 0.001
<b>PT, median (Q1,Q3)</b>	15.2 (12.8, 23.2)	14.7 (12.5, 20.7)	17.2 (13.6, 31.55)	< 0.001
<b>PTT, median (Q1,Q3)</b>	36.7 (30.1, 84.1)	35 (29.6, 71.82)	44.75 (31.9, 123.57)	< 0.001
<b>INR, median (Q1,Q3)</b>	1.4 (1.2, 2.1)	1.4 (1.2, 1.9)	1.6 (1.3, 2.9)	< 0.001
<b>ALT, median (Q1,Q3)</b>	41 (18, 195.75)	45.5 (18, 217)	34 (18, 123.75)	< 0.001
<b>AST, median (Q1,Q3)</b>	54 (24, 219)	60.5 (24, 244.25)	43 (24, 162.75)	0.003
<b>AST/ALT, median (Q1,Q3)</b>	1.33 (0.89, 1.97)	1.33 (0.87, 2)	1.35 (0.95, 1.89)	0.429
<b>ALP, median (Q1,Q3)</b>	119 (78, 242.75)	122.5 (77, 272.25)	111.5 (80, 188)	0.004
<b>TBIL, median (Q1,Q3)</b>	0.9 (0.5, 4.5)	0.9 (0.5, 6.1)	0.8 (0.4, 1.7)	< 0.001
<b>Urea, median (Q1,Q3)</b>	31 (21, 53)	27 (18, 44)	48 (31, 73)	< 0.001
<b>Creatinine, median (Q1,Q3)</b>	1.2 (0.9, 2.1)	1.1 (0.8, 1.8)	1.7 (1.2, 2.8)	< 0.001
<b>P, median (Q1,Q3)</b>	4.5 (3.9, 5.5)	4.3 (3.7, 5.2)	5 (4.4, 6)	< 0.001

(continued on next page)

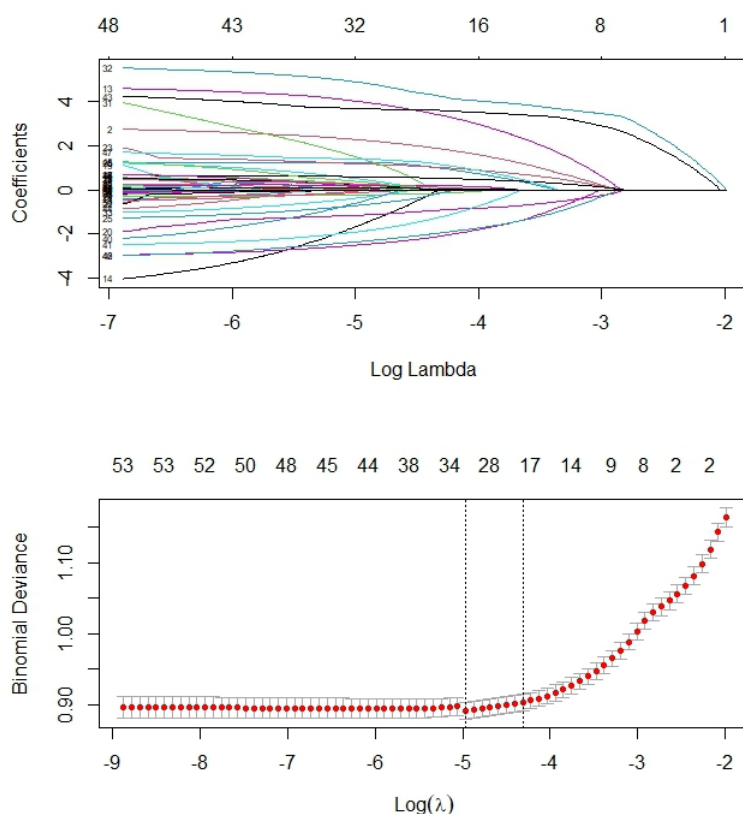
For personal use only. Permission required for all other uses.



<b>C1</b> , median (Q1,Q3)	106 (102, 109)	106 (103, 110)	104 (100, 108)	< 0.001
<b>AG</b> , median (Q1,Q3)	18 (15, 21)	17 (15, 20)	19 (16, 22)	< 0.001
<b>O<sub>2</sub> Flow</b> , median (Q1,Q3)	2 (2, 4)	2 (2, 4)	2 (2, 4)	< 0.001
<b>Ventilation Status</b> , n (%)				< 0.001
Nonventilation	501 (13)	442 (16)	59 (6)	
High-flow Nasal Cannula Oxygen	80 (2)	52 (2)	28 (3)	
Invasive Vent	1041 (28)	776 (28)	265 (26)	
Noninvasive Vent	222 (6)	108 (4)	114 (11)	
Supplemental Oxygen	1849 (50)	1318 (48)	531 (53)	
Tracheostomy	37 (1)	32 (1)	5 (0)	
<b>Minute Volume</b> , median (Q1,Q3)	0 (0, 7)	0 (0, 7.1)	0 (0, 6.9)	0.79
<b>Tidal Volume</b> , median (Q1,Q3)	0 (0, 408)	0 (0, 410.5)	0 (0, 401)	0.962
<b>Plateau Pressure</b> , median (Q1,Q3)	0 (0, 14)	0 (0, 14)	0 (0, 16)	0.012
<b>Peep</b> , median (Q1,Q3)	0 (0, 5)	0 (0, 5)	0 (0, 5)	0.076
<b>FI<sub>O<sub>2</sub></sub></b> , median (Q1,Q3)	35 (21, 60)	35 (21, 60)	40 (21, 60)	0.031
<b>Flow Rate</b> , median (Q1,Q3)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0.882

Q=quartile; BMI=body mass index; BP=blood pressure; resp rate=respiratory rate; temp=temperature; SPO<sub>2</sub>=oxygen saturation of arterial blood; WBC=white blood cells; RBC=red blood cells; MCH=mean corpuscular hemoglobin; MCHC=mean corpuscular hemoglobin concentration; MCV=mean corpuscular volume; RDW=red blood cell distribution width; SD=standard deviation; PO<sub>2</sub>=partial pressure of oxygen; PCO<sub>2</sub>=partial pressure of carbon dioxide; Na=sodium; Ca=calcium; CO<sub>2</sub>=carbon dioxide; BE=base excess; PT=prothrombin time; PTT=partial thromboplastin time; INR=international normalized ratio; ALT=glutamic pyruvic transaminase; AST=glutamic oxaloacetic transaminase; ALP=alkaline phosphatase; TBIL=total bilirubin; Cl=chloride; AG=anion gap; PEEP=positive end-expiratory pressure; FI<sub>O<sub>2</sub></sub>=fraction of inspired oxygen

## Figure 1. Variable Selection Process



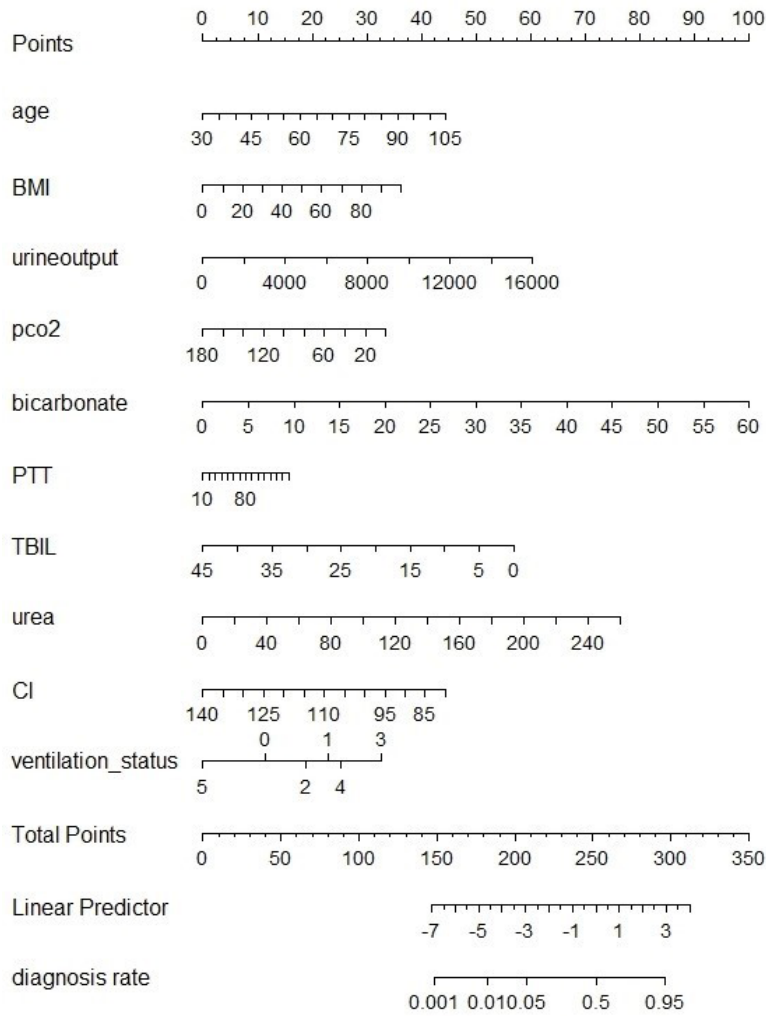
The upper horizontal axis indicates the number of variables with nonzero coefficients at each point in the model. The lower horizontal axis represents the logarithmic value of the penalty coefficient. The dashed line denotes the number of variables corresponding to the model's optimal performance.

the proportion of patients is gradually increasing.<sup>1,28,29</sup> Our model shows that increasing age leads to an increased probability of AHF occurrence. This is consistent with existing conclusions. In our model, a high BMI is indicative of an elevated risk of developing AHF. Prior research has

demonstrated a positive correlation between obesity and the incidence of cardiovascular disease.<sup>30</sup> Nevertheless, it is still debatable whether BMI can be considered an independent indicator of obesity when other factors such as waist circumference are present.<sup>31</sup> Our nomogram indicates that

For personal use only. Permission required for all other uses.

**Figure 2. Nomogram for Predicting the Occurrence of Acute Heart Failure in COPD Patients**

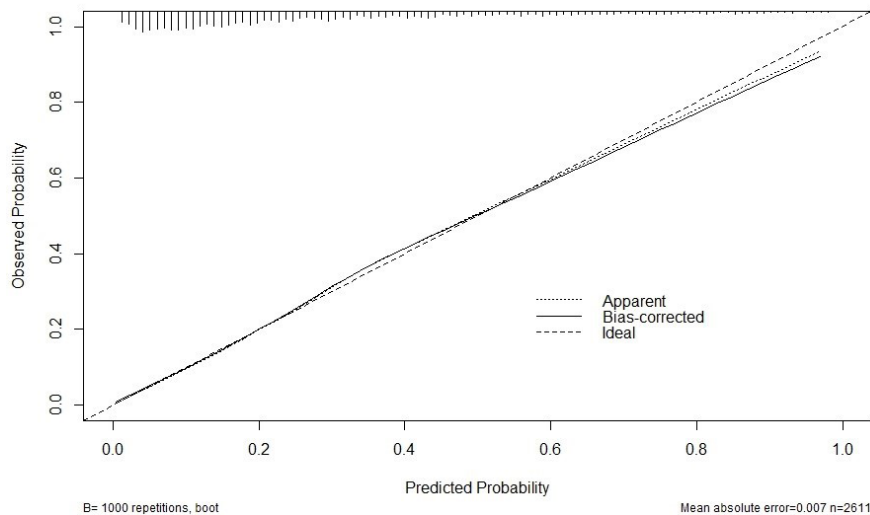


Ventilation Status: 0=nonventilation; 1=high-flow nasal cannula oxygen; 2=invasive ventilation; 3=noninvasive ventilation; 4=supplemental oxygen; 5=tracheostomy

BMI (kg/mg<sup>2</sup>); PCO<sub>2</sub> (mmHg); PTT (seconds); TBIL (mg/dL); Urea (blood urea nitrogen)(mg/dL); C1 (mEq/L); Age (year); Urine Output (mL); Bicarbonate (mEq/L)

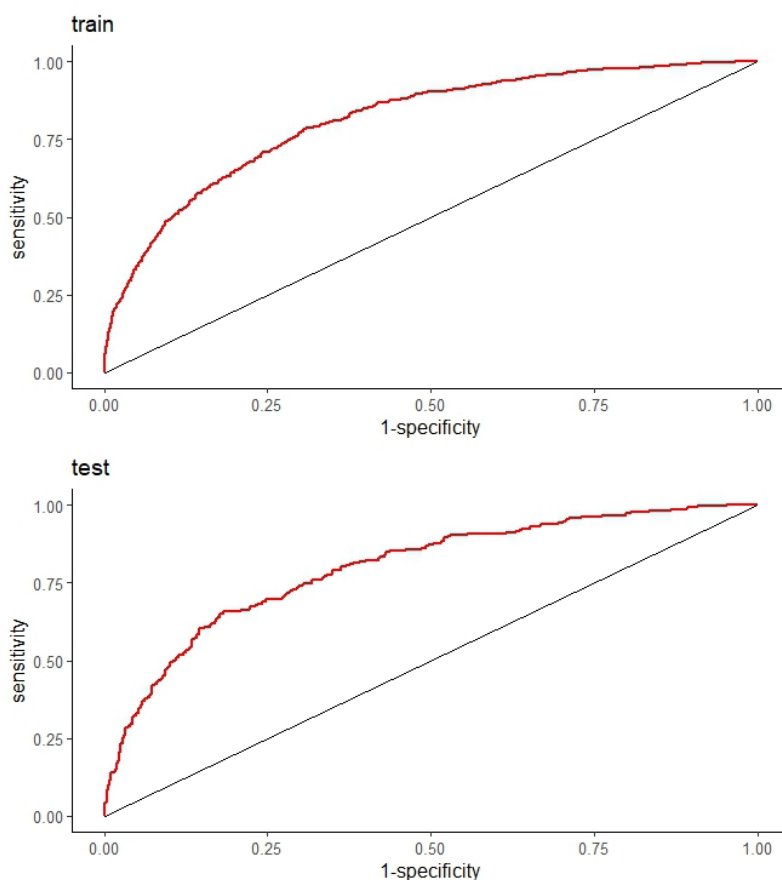
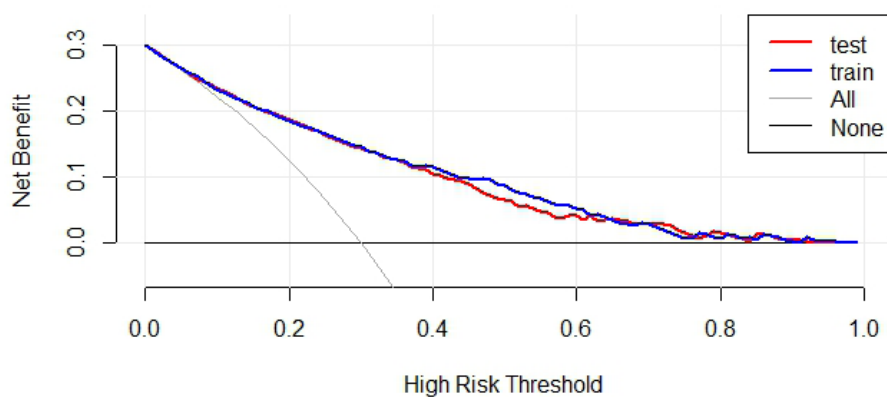
BMI=body mass index; PCO<sub>2</sub>=partial pressure of carbon dioxide; PTT= partial thromboplastin time; TBIL=total bilirubin; C1=chloride

**Figure 3. Calibration Curve**



The x-axis represents the probability of AHF predicted by the model, and the y-axis shows the actual diagnosed risk of AHF. The diagonal dashed line indicates the model's ideal prediction. The close alignment of the curves with the diagonal line reflects the model's robust predictive performance.

For personal use only. Permission required for all other uses.

**Figure 4. The Receiver Operating Characteristic of the Training Set and the Validation Set****Figure 5. Decision Curve Analysis**

The x-axis represents the threshold probability, and the y-axis represents the net benefit. The gray curve assumes that all patients with COPD will develop acute heart failure, while the black straight line assumes that no COPD patients will develop acute heart failure.

higher urine output is associated with an increased risk of AHF, which may appear counterintuitive. A possible explanation for this finding is the excessive use of diuretics.<sup>32</sup> In clinical practice, ventilation is a common and a widely utilized treatment for patients with COPD and AHF. Low  $PCO_2$  is an important manifestation of hyperventilation and is closely associated with poor prognosis in AHF patients.<sup>33,34</sup> Our nomogram shows a decrease in  $PCO_2$  after AHF is diagnosed

in COPD patients, which suggests that clinicians should be more cautious when deciding on ventilation therapy. In patients with AHF, the use of diuretics and the resulting contraction alkalosis due to dehydration can elevate blood bicarbonate levels, a result that aligns with the predictions of our model.<sup>35</sup> Some big data studies indicate that PTT is a risk factor for heart failure.<sup>36-38</sup> Further research is needed on the pathophysiological principles involved. Liver

For personal use only. Permission required for all other uses.

congestion and hepatic insufficiency from circulatory disturbances are commonly observed in patients with heart failure.<sup>39,40</sup> However, our nomogram did not include other liver-related markers, such as alkaline phosphatase, glutamic oxaloacetic transaminase, or glutamic pyruvic transaminase. As a result, it cannot be concluded that total bilirubin independently reflects liver function. As is shown in our nomogram, worsening or lack of improvement in blood urea nitrogen during hospitalization is a poor predictor for AHF patients.<sup>41</sup> The nomogram also reveals that patients with COPD who develop AHF tend to have low blood chloride concentrations. This finding may be linked to the use of diuretics and the development of alkalosis. Previous studies have proposed that a low blood chloride concentration is a predictor of a poor prognosis in heart failure.<sup>42-44</sup> These findings are consistent with the result of our study, in which blood chloride was included as a variable in the nomogram. Currently, research on the relationship between different ventilation patterns and heart failure has not been conducted. Our model lists ventilation patterns as influencing factors for heart failure, and further in-depth research can be conducted in the future.

Clinicians need to evaluate patients with COPD before deciding on treatment plans. The identification of predictive factors and risk assessment is crucial to prevent the occurrence of AHF. A nomogram is a total score based on the values of multiple predictor variables for an individual.<sup>45</sup> The variables included in our model are commonly encountered and available in clinical work in ICUs. Before using the nomogram, clinicians must determine whether the patient falls within its scope of applicability. As noted earlier, the nomogram is suitable for patients with respiratory failure in which AHF may be a potential contributor. However, in patients with COPD who have respiratory failure of unknown etiology, the performance of the nomogram is suboptimal.

Our study has some limitations. First, all of our data came from the MIMIC-IV database. Incomplete records and possible data errors may have reduced the accuracy of our model. Second, this was a single-center retrospective study. Only internal validation was performed, so external validation is needed to confirm the reliability of the results. Third, blood lipids were not included in the study due to the lack of corresponding records in the MIMIC-IV database. Blood lipids are important risk factors for cardiovascular

diseases,<sup>46</sup> so further research on them is necessary. Fourth, the types and severity of COPD were not considered in this study, which did not determine the risk of developing AHF for each severity level of COPD. In addition, all types of AHF were lumped together. Fifth, the application of this nomogram to a population of COPD patients with unexplained respiratory failure is not recommended. Sixth, including diuretic use in the model would be optimal. However, due to data limitations, we were only able to include urine output. Seventh, while equity analysis is crucial to any type of clinical model, it is not feasible to conduct such an analysis in our study.<sup>47,48</sup> Finally, our nomogram may predict the possibility of AHF in patients with COPD, but cannot answer whether intervention was prompt.

## Conclusion

Using data from the MIMIC-IV database, we developed a nomogram to predict the risk of AHF in patients with COPD. The model demonstrated good predictive performance. This nomogram can assist in identifying patients with COPD at risk of AHF and support clinicians in making informed clinical decisions.

## Acknowledgements

**Author contributions:** ZW conceived and designed the study and drafted the manuscript. SZ revised the manuscript. CT and DW revised the manuscript and approved the final version to be published. All authors read and approved the final manuscript.

**Data sharing:** The datasets used/or analyzed during the current study are available from the corresponding author on reasonable request.

The authors would like to thank all MIMIC project team members.

## Declaration of Interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported here.



## References

1. Raherison C, Girodet PO. Epidemiology of COPD. *Eur Respir Rev*. 2009;18(114):213-221. <https://doi.org/10.1183/09059180.00003609>
2. Christenson SA, Smith BM, Bafadhel M, Putcha N. Chronic obstructive pulmonary disease. *Lancet*. 2022;399(10342):2227-2242. [https://doi.org/10.1016/S0140-6736\(22\)00470-6](https://doi.org/10.1016/S0140-6736(22)00470-6)
3. Kahnert K, Jörres RA, Behr J, Welte T. The diagnosis and treatment of COPD and its comorbidities. *Dtsch Arztebl Int*. 2023;120(25):434-444. <https://doi.org/10.3238/arztebl.m2023.0027>
4. Centers for Disease Control and Prevention (CDC), National Centers for Health Statistics (NCHS). Data brief 492. Mortality in the United States, 2022. CDC, NCHS website. Published March 2024. Accessed August 2024. <https://www.cdc.gov/nchs/products/databriefs/db492.htm>
5. Yang IA, Jenkins CR, Salvi SS. Chronic obstructive pulmonary disease in never-smokers: risk factors, pathogenesis, and implications for prevention and treatment. *Lancet Respir Med*. 2022;10(5):497-511. [https://doi.org/10.1016/S2213-2600\(21\)00506-3](https://doi.org/10.1016/S2213-2600(21)00506-3)
6. Smith MC, Wrobel JP. Epidemiology and clinical impact of major comorbidities in patients with COPD. *Int J Chron Obstruct Pulmon Dis*. 2014;2014(9):871-888. <https://doi.org/10.2147/COPD.S49621>
7. Rahman HH, Rashid MH, Miah NA, Israt S, Atiqullah S, Akbar MS. Correlation Study between COPD and Heart Failure in Elderly Patient. *Mymensingh Med J*. 2022;31(2):498-505.
8. de Miguel Díez J, Morgan JC, García RJ. The association between COPD and heart failure risk: a review. *Int J Chron Obstruct Pulmon Dis*. 2013;2013(8):305-312. <https://doi.org/10.2147/COPD.S31236>
9. Horodinschi RN, Bratu OG, Dediu GN, Stoian AP, Motofei I, Diaconu CC. Heart failure and chronic obstructive pulmonary disease: a review. *Acta Cardiol*. 2020;75(2):97-104. <https://doi.org/10.1080/00015385.2018.1559485>
10. Pellicori P, Cleland JGF, Clark AL. Chronic obstructive pulmonary disease and heart failure: a breathless conspiracy. *Cardiol Clin*. 2022;40(2):171-182. <https://doi.org/10.1016/j.ccl.2021.12.005>
11. Ostojić J, Pintarić H. Chronic obstructive pulmonary disease and heart failure: closer than close. *Acta Clin Croat*. 2017;56(2):269-276. <https://doi.org/10.20471/acc.2017.56.02.10>
12. Gulea C, Zakeri R, Kallis C, Quint JK. Impact of COPD and asthma on in-hospital mortality and management of patients with heart failure in England and Wales: an observational analysis. *BMJ Open*. 2022;12(6):e059122. <https://doi.org/10.1136/bmjopen-2021-059122>
13. Hesse K, Bourke S, Steer J. Heart failure in patients with COPD exacerbations: looking below the tip of the iceberg. *Respir Med*. 2022;196:106800. <https://doi.org/10.1016/j.rmed.2022.106800>
14. Balachandran VP, Gonen M, Smith JJ, DeMatteo RP. Nomograms in oncology: more than meets the eye. *Lancet Oncol*. 2015;16(4):e173-e180. [https://doi.org/10.1016/S1470-2045\(14\)71116-7](https://doi.org/10.1016/S1470-2045(14)71116-7)
15. Johnson AEW, Bulgarelli L, Shen L, et al. MIMIC-IV, a freely accessible electronic health record dataset. *Sci Data*. 2023;10(1):1. <https://doi.org/10.1038/s41597-022-01899-x>
16. Li Y, Cai Z, She Y, Shen W, Wang T, Luo L. Development and validation of a nomogram for predicting atrial fibrillation in patients with acute heart failure admitted to the ICU: a retrospective cohort study. *BMC Cardiovasc Disord*. 2022;22(1):528. <https://doi.org/10.1186/s12872-022-02973-3>
17. Liu T, Zhao Q, Du B. Effects of high-flow oxygen therapy on patients with hypoxemia after extubation and predictors of reintubation: a retrospective study based on the MIMIC-IV database. *BMC Pulm Med*. 2021;21(1):160. <https://doi.org/10.1186/s12890-021-01526-2>
18. The PostgreSQL Global Development Group. PostgreSQL Version 16. PostgreSQL website. Published 2000. Accessed August 2024. <https://www.postgresql.org/>
19. Løkke A, Hilberg O, Lange P, et al. Exacerbations predict severe cardiovascular events in patients with COPD and stable cardiovascular disease—a nationwide, population-based cohort study. *Int J Chron Obstruct Pulmon Dis*. 2023;2023(18):419-429. <https://doi.org/10.2147/COPD.S396790>
20. Zhang Z. Multiple imputation with multivariate imputation by chained equation (MICE) package. *Ann Transl Med*. 2016;4(2):30. <https://doi.org/10.3978/j.issn.2305-5839.2015.12.63>
21. Mandrekar JN. Receiver operating characteristic curve in diagnostic test assessment. *J Thorac Oncol*. 2010;5(9):1315-1316. <https://doi.org/10.1097/JTO.0b013e3181ec173d>
22. Van Calster B, Wynants L, Verbeek JFM, et al. Reporting and interpreting decision curve analysis: a guide for investigators. *Eur Urol*. 2018;74(6):796-804. <https://doi.org/10.1016/j.eururo.2018.08.038>
23. Janssens ACJW, Martens FK. Reflection on modern methods: revisiting the area under the ROC curve. *Int J Epidemiol*. 2020;49(4):1397-1403. <https://doi.org/10.1093/ije/dyz274>
24. Wu Z, Zhan S, Wang D, Tang Ch. Nomogram for predicting the occurrence of AHF in patients with COPD, online platform. ShinyApps website. Created 2024. Accessed 2024. <https://wuziyang.shinyapps.io/DynNomapp/>
25. Khan SS, Kalhan R. Comorbid chronic obstructive pulmonary disease and heart failure: shared risk factors and opportunities to improve outcomes. *Ann Am Thorac Soc*. 2022;19(6):897-899. <https://doi.org/10.1513/AnnalsATS.202202-152ED>
26. Wang A, Li Z, Sun Z, Liu Y, Zhang D, Ma X. Potential mechanisms between HF and COPD: new insights from bioinformatics. *Curr Probl Cardiol*. 2023;48(3):101539. <https://doi.org/10.1016/j.cpcardiol.2022.101539>

27. Groenewegen A, Zwartkruis VW, Smit IJ, et al. Sex-specific and age-specific incidence of ischaemic heart disease, atrial fibrillation and heart failure in community patients with chronic obstructive pulmonary disease. *BMJ Open Respir Res.* 2022;9:e001307. <https://doi.org/10.1136/bmjresp-2022-001307>
28. Morgan AD, Zakeri R, Quint JK. Defining the relationship between COPD and CVD: what are the implications for clinical practice? *Ther Adv Respir Dis.* 2018;12:1753465817750524. <https://doi.org/10.1177/1753465817750524>
29. Brandsma CA, de Vries M, Costa R, Woldhuis RR, Königshoff M, Timens W. Lung ageing and COPD: is there a role for ageing in abnormal tissue repair? *Eur Respir Rev.* 2017;26(146):170073. <https://doi.org/10.1183/16000617.0073-2017>
30. Sciomer S, Moscucci F, Salvioni E, et al. Role of gender, age and BMI in prognosis of heart failure. *Eur J Prev Cardiol.* 2020;27(2\_suppl):46-51. <https://doi.org/10.1177/2047487320961980>
31. Luo WS, Ding Y, Guo ZR. Body adiposity index and other indexes of body composition in predicting cardiovascular disease in the Chinese population: a cohort study. *Perfusion.* 2024;0(0). <https://doi.org/10.1177/02676591241300973>
32. Gupta R, Testani J, Collins S. Diuretic resistance in heart failure. *Curr Heart Fail Rep.* 2019;16(2):57-66. <https://doi.org/10.1007/s11897-019-0424-1>
33. Oldenburg O, Spießhöfer J, Fox H, Bitter T, Horstkotte D. Cheyne-Stokes respiration in heart failure: friend or foe? Hemodynamic effects of hyperventilation in heart failure patients and healthy volunteers. *Clin Res Cardiol.* 2015;104(4):328-333. <https://doi.org/10.1007/s00392-014-0784-1>
34. Garus M, Zdanowicz A, Fudim M, et al. Clinical determinants and prognostic significance of hypoxemia in acute heart failure. *Sci Rep.* 2022;12:16889. <https://doi.org/10.1038/s41598-022-20525-9>
35. Cooper LB, Mentz RJ, Gallup D, et al. Serum bicarbonate in acute heart failure: relationship to treatment strategies and clinical outcomes. *J Card Fail.* 2016;22(9):738-742. <https://doi.org/10.1016/j.cardfail.2016.01.007>
36. Peng S, Huang J, Liu X, et al. Interpretable machine learning for 28-day all-cause in-hospital mortality prediction in critically ill patients with heart failure combined with hypertension: a retrospective cohort study based on medical information mart for intensive care database-IV and eICU databases. *Front Cardiovasc Med.* 2022;9:994359. <https://doi.org/10.3389/fcvm.2022.994359>
37. Wannamethee SG, Whincup PH, Papacosta O, Lennon L, Lowe GD. Associations between blood coagulation markers, NT-proBNP and risk of incident heart failure in older men: the British Regional Heart Study. *Int J Cardiol.* 2017;230:567-571. <https://doi.org/10.1016/j.ijcard.2016.12.056>
38. Mongirdienė A. Changes in parameters of coagulation system after treatment of chronic heart failure with  $\beta$ -adrenoblockers, angiotensin-converting enzyme inhibitors, calcium antagonists, diuretics, anticoagulants, antiagregants, cardiac glycosides, and physical exercise [Lithuanian]. *Medicina (Kaunas).* 2011;47(4):238-243. <https://doi.org/10.3390/medicina47040034>
39. Hanada S, Kudo T, Kanzaki T, Sakata K, Iwakiri H. Association between liver function and peak oxygen uptake in heart failure patients: a retrospective observational study. *Prog Rehabil Med.* 2017;2:20170014. <https://doi.org/10.2490/prm.20170014>
40. Poelzl G, Ess M, Mussner-Seeber C, Pachinger O, Frick M, Ulmer H. Liver dysfunction in chronic heart failure: prevalence, characteristics and prognostic significance. *Eur J Clin Invest.* 2012;42(2):153-163. <https://doi.org/10.1111/j.1365-2362.2011.02573.x>
41. Khoury J, Bahouth F, Stabholz Y, et al. Blood urea nitrogen variation upon admission and at discharge in patients with heart failure. *ESC Heart Fail.* 2019;6(4):809-816. <https://doi.org/10.1002/ehf2.12471>
42. Zandijk AJL, van Norel MR, Julius FEC, et al. Chloride in heart failure: the neglected electrolyte. *JACC Heart Fail.* 2021;9(12):904-915. <https://doi.org/10.1016/j.jchf.2021.07.006>
43. Kazory A. Chloride and cardiorenal interactions in heart failure. *Nephron.* 2023;147(1):6-8. <https://doi.org/10.1159/000524987>
44. Rivera FB, Alfonso P, Golbin JM, et al. The role of serum chloride in acute and chronic heart failure: a narrative review. *Cardiorenal Med.* 2021;11(2):87-98. <https://doi.org/10.1159/000515604>
45. Park SY. Nomogram: an analogue tool to deliver digital knowledge. *J Thorac Cardiovasc Surg.* 2018;155(4):1793. <https://doi.org/10.1016/j.jtcvs.2017.12.107>
46. Siri-Tarino PW, Sun Q, Hu FB, Krauss RM. Saturated fat, carbohydrate, and cardiovascular disease. *Am J Clin Nutr.* 2010;91(3):502-509. <https://doi.org/10.3945/ajcn.2008.26285>
47. Ingraham NE, Jones EK, King S, et al. Re-aiming equity evaluation in clinical decision support: a scoping review of equity assessments in surgical decision support systems. *Ann Surg.* 2023;277(3):359-364. <https://doi.org/10.1097/SLA.0000000000005661>
48. Reed RD, Locke JE. RE-AIMing the focus on health equity in surgery. *Ann Surg.* 2023;277(3):365-366. <https://doi.org/10.1097/SLA.0000000000005711>