## Chronic Obstructive Pulmonary Diseases: Journal of the COPD Foundation



### **Original Research**

## Ambulatory Oxygen for Exercise-Induced Desaturation and Dyspnea in Chronic Obstructive Pulmonary Disease (COPD): Systematic Review and Meta-Analysis

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### Abstract

**Introduction:** Ambulatory oxygen therapy is indicated in patients that use long term oxygen therapy (LTOT) and current guidelines suggest its use in patients who exhibit exertional desaturation if there is a demonstrable improvement in exercise capacity. Evidence for this is largely derived from single assessment studies which have shown clear benefit in this setting when oxygen versus air is used. The long term effects, however, of ambulatory oxygen therapy in this particular group of patients is controversial.

**Methods:** We conducted a systematic review of published literature from 1980 to June 2014 for trials in which ambulatory oxygen was compared to placebo in chronic obstructive pulmonary disease (COPD) patients not on LTOT. We also reviewed the effectiveness of devices delivering ambulatory oxygen. Outcome measures were focused towards exercise capacity, Borg scores and the ability of the delivery devices to maintain oxygen saturations on exercise.

**Results:** Twenty three studies (620 patients) were included in the review. Nine studies evaluated the clinical effectiveness of ambulatory oxygen and 14 studies evaluated the impact of the delivery devices. Ambulatory oxygen had no statistical effect on improving exercise capacity when assessed by the 6-minute walk test (6MWT) or the endurance shuttle walk test (ESWT); p=0.44 and p=0.29 respectively. End of test Borg scores showed no statistical improvement with ambulatory oxygen therapy during 6MWT (p=0.68). Oxygen conserving devices significantly improved oxygen saturations on exercise compared with continuous flow nasal cannulae (p=0.04). **Conclusion:** Ambulatory oxygen therapy has limited long term benefit in improving functional exercise capacity or Borg dyspnea scores.

Abbreviations: long-term oxygen therapy, LTOT; chronic obstructive pulmonary disease, COPD; 6-minute walking test, 6MWT; endurance shuttle walk test, ESWT; exercise-induced desaturation, EID; quality of life, QoL; randomized controlled trials, RCTs; pulmonary rehabilitation, PR; standard deviation, SD; activities of daily living, ADLs; baseline/transitional dyspnea scores, BDI/TDI; blood oxygen saturation, SpO<sub>2</sub>; Chronic Respiratory Questionnaire, CRQ; Hamilton Depression and Anxiety Scale, HADS; pulmonary function tests, PFTs; minimal clinically important difference, MCID; St. George's Respiratory Questionnaire, SGRQ; work rate, WR; partial pressure of oxygen in arterial blood, PaO<sub>2</sub>; 5-minute walk test, 5MWT; American Thoracic Society, ATS; demand oxygen devices, DODs; pendant reservoir cannulae, PRC; metabolic equivalents, METS; not reported, NR; confidence interval, CI; oxygen conserving devices, OCDs; continuous flow nasal cannulae, CFNC

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conserving device; shuttle walk; ambulatory oxygen; exercise-induced dyspnea

### Background

Chronic obstructive pulmonary disease (COPD) is a leading cause of morbidity and mortality, with prevalence anticipated to increase due to persistent exposure to COPD risk factors and an ageing population.<sup>1</sup> Hypoxaemia and dyspnea are hallmark features; in many individuals gaseous exchange becomes more impaired as the disease progresses, leading to hypoxemia at rest or on exercise alone. Several mechanisms causing this are diffusion limitation, ventilation/perfusion mismatches and shunting. Exercise-induced desaturation (EID) is likely to contribute to exercise limitation in COPD. EID is defined as an arterial oxygen saturation <88% (nadir) measured by pulse oximetry during exercise.<sup>2</sup> Current evidence suggests that EID is common, and may lead to worse quality of life(QoL) and increased mortality.<sup>3,4</sup>

Ambulatory oxygen therapy is defined as the use of supplementary oxygen during activities of daily living. Although the provision of ambulatory oxygen varies widely across countries, its use is generally accepted in patients who exhibit exertional desaturation if there is a demonstrable improvement in exercise capacity or dyspnea scores.<sup>5,6</sup> While the benefits of oxygen treatment in the form of long term oxygen therapy (LTOT)<sup>7,8</sup> are well recognized, the long term benefits of ambulatory oxygen in COPD are controversial. A Cochrane review demonstrated benefit of ambulatory oxygen in improving exercise capacity in moderate to severe COPD, albeit mostly in single assessment studies.<sup>9</sup> In a subsequent review evaluating ambulatory oxygen in the context of pulmonary rehabilitation programs, no benefit was demonstrated.<sup>10</sup>

Current clinical use and associated costs of ambulatory oxygen suggest that assessing longer term benefits

of ambulatory oxygen in COPD in a domiciliary and rehabilitation setting is required. Thus the aim of this review was to determine:

1) The clinical effectiveness of long term ambulatory oxygen therapy (defined by more than 6 weeks duration) in patients with COPD exhibiting EID or exertional dyspnea who do not meet the criteria for LTOT;

2) The relative clinical effectiveness of different methods of delivering ambulatory oxygen in COPD patients and the optimum mode of delivery of the intervention;

3) The cost-effectiveness of ambulatory oxygen in COPD patients not on LTOT compared to usual care and the relative cost-effectiveness of different methods of delivering ambulatory oxygen.

### **Methods**

Studies were eligible for inclusion if they included adult patients (18 years and over) and met the criteria in Table 1: Randomized controlled trials (RCTs), non RCTs and crossover studies were included for clinical and cost effectiveness aims. To address aim 2: ambulatory oxygen delivery device efficacy, single assessment studies and patients who met LTOT criteria were included (for this aim only). The definition of COPD was broadened to include emphysema and chronic bronchitis. The protocol is registered with Prospero (CRD42012002984).

### Search Strategy

To maximize efficiency, 2 search strategies were undertaken, under the guidance of an information search specialist, as outlined in the supplementary methods. The literature search included the following databases; MEDLINE, MEDLINE in Process, EMBASE, Cochrane-(CENTRAL), Science Citation Index, National Health Service Economic Evaluation Database, PEDro, Health Technology Assessment database, ClinicalTrials. gov, Cumulative Index to Nursing and Allied Health Literature, and the Cochrane Airways specialized register. Following this, the references of each included study, as well as any review articles found, were searched for additional articles that may contain further studies.

Database searches were conducted between 1980 and June 2013 inclusive as older studies; pre 1980 would have been before the introduction of LTOT. An updated search run to June 2014 yielded no further articles suitable for inclusion.

### Table 1. Eligibility Criteria

Aim	Participants	Intervention	Comparisons	Outcomes
Clinical effectiveness of ambulatory oxygen	Stable COPD; Evidence of exercise desaturation/exertional dyspnea; Not on LTOT; Follow up longer than 6 weeks	Ambulatory oxygen	Ambient air/ compressed air	Exercise capacity; Borg dyspnea scores; QoL
Efficacy of ambulatory oxygen delivery device	Stable COPD with follow up of any duration	Any form of deli ambulatory oxy	ivery device for rgen	Exercise capacity Exercise SpO <sub>2</sub>
Cost effectiveness	As per clinical effectiveness aim	Ambulatory oxygen	Usual care	QoL costs; Incremental cost ratios

LTOT: long term oxygen therapy; QoL: quality of life;  ${\rm SpO}_2{:}$  blood oxygen saturation

## Study Selection, Data Extraction and Risk of Bias

Two reviewer authors (SE and AT) independently selected trials for inclusion in the review. They first reviewed titles and abstracts and obtained full articles judged as potentially eligible. These were screened independently and any disagreements resolved by discussion or referral to a third reviewer. Methodological quality was assessed by Jadad Scale.<sup>11</sup> For comparative studies we also assessed adequacy of sequence generation, allocation concealment and blinding.

Data relating to all aspects of the study was extracted by 1 reviewer using a standardized, piloted data extraction form in Access (Microsoft); a second reviewer checked all numerical data. Data was extracted on study and population characteristics, intervention and comparator characteristics, study quality and results.

### Statistical Analysis

All trial data was combined using RevMan 5.2 (Cochrane Collaboration). Studies consisted of parallel RCTs, randomized crossover trials and randomized single assessment studies. Analysis of studies was dichotomized to those which involved structured pulmonary rehabilitation (PR) and those which were domiciliary. Only the results from the same exercise test protocols were combined. Pre- and post-test exercise outcomes reported for both intervention groups were

from tests performed on compressed air.

Data was pooled with the generic inverse variance method using change from baseline with the appropriate means and standard deviations for each study if provided. For studies that reported pre- and posttraining means and respective standard deviations, the change in outcome measure pre-training means were subtracted from the post-training means and standard deviations (SD) for pre- and post-training were pooled.

A fixed effect was used in all analyses unless heterogeneity (defined as  $I^2>30\%$ ) was present in which case a random effect was used. The meta-analyses are presented using forest plots from RevMan. Data not in a format suitable for meta-analysis have been reported in narrative form.

### **Results**

### **Description of Studies**

Twenty-three studies (620 patients) were included<sup>12-34</sup>: 9 studies evaluated the clinical effectiveness of ambulatory oxygen and 14 evaluated the impact of the delivery device. Generally studies were small with primary outcomes focused on exercise capacity, Borg dyspnea scores and exercise saturations.

Figure 1 summarizes the trial flow in the PRISMA format for reporting systematic reviews. Tables 2 and 3 detail the characteristics of included studies.





### Methodological Quality of Included Studies

The quality of studies assessed by the Jadad scale was poor in 12 studies (score<2), good in 9 (score 3 or 4) and excellent in 2 (score 5) (Supplementary Table 1).

### **Clinical Effectiveness of Ambulatory Oxygen Exercise Capacity** Four RCTs<sup>12,13,17,18</sup> used 6MWT distance in assessing

Four RCTs<sup>12,13,17,18</sup> used 6MWT distance in assessing exercise capacity. In the PR studies<sup>13,17</sup> the common effect (weighted mean difference) was 21.97 meters in favor of the control group. This result was mirrored in the meta-analysis of the domiciliary studies<sup>12,18</sup>; common effect 0.56 meters in favor of control. However, neither result was statistically significant (95% confidence interval [CI] -77.25 to 33.32) and (95% CI -26.96 to 28.08) respectively, Figure 2. Two PR RCTs<sup>19,20</sup> used ESWT; they differed substantially in their results, hence the pooled figure may be less meaningful. It was not statistically significant with a common effect of 150.02 seconds in favor of oxygen (95% CI -124.74 to 427.77 seconds, Figure 2). Two PR RCTs<sup>13,15</sup> used incremental work rate exercise testing via cycle ergometry to assess exercise capacity and found no difference with oxygen; 1.45 watts in favor of control (95% CI -13.54 to 10.64 watts), Figure 2. Two PR RCTs<sup>13,15</sup> measured constant power exercise tests using cycle ergometry. There was a strong trend toward longer exercise time with oxygen (2.76 minutes longer [95% CI -0.07 to 5.58 minutes] Figure 2).

### Table 2. Clinical Effectiveness of Ambulatory Oxygen Studies

Year	Inclusion	Exclusion	Study Type	Inter- vention	Comp- arator	Sample Size	Methods	Outcomes	Results Summary
1995	a) COPD b) PaO <sub>2</sub> > 60mmHg/ 8kPa c) Clinically stable d) Exertional dyspnea sufficient to interfere with ADLs e) Current non smokers	a) Symptomatic cardiac dysfunction, angina pectoris and locomotor disability	Crossover double- blinded	Oxygen 41/min	Air 41/min	26	Unsupervised 12 week domiciliary oxygen/air use	a) 6MWT b) Step test c) Borg Scores d) CRQ	Small significant improvement in 6MWT on home oxygen; Improvement in all parameters of CRQ on home oxygen compared to baseline. p<0.02.
1997	a) COPD b) SpO <sub>2</sub> < 90% at maximal exercise c) >15mmHg/ 2.00kPa increase in alveolar-arterial difference in PO <sub>2</sub> from rest to maximal exercise	a) PaO <sub>2</sub> < 64mmHg/ 8.53kPa b) Mean nocturnal SaO <sub>2</sub> < 90% c) Mean pulmonary artery pressure >25mmHg d) Neuromuscular or cardiovascular disease	Parallel un-blinded	Oxygen 141/min	Air	12 air group, 12 oxygen group	10 week supervised PR program; 5 sessions/week	a) PFTs b) Maximal cycle cergometry (watts) c) Endurance cycling time d) 6MWT e) CRQ f) Borg Scores	Improvement in peak WR in air group only p<0.01. Improvement in 6MWT and total CRQ scores compared to baseline in both groups, $p<0.01$ .
2002	a) COPD b) <88% SpO <sub>2</sub> on exertion c) Resting PaO <sub>2</sub> > 7.3kPa d) clinically stable	a) Limiting angina or significant musculoskeletal disability	Crossover double- blinded	Oxygen 41/min	Air 41/min	41	Unsupervised 12 week domiciliary oxygen/air use	a) CRQ b) HADs c) SF-36 d) 6MWT e) Borg Scores	No significant difference between groups in 6MWT, p=0.4. Improvement in total CRQ scores for home oxygen period compared to home air, $p=0.002$ .
2003	a) COPD; FEV <sub>1</sub> <50% predicted b) 45-80 years old c) Clinically stable d) PaO <sub>2</sub> >55mmHg/ 7.33kPa at rest e)SpO <sub>2</sub> >88% during constant WR test	a) Symptomatic cardiovascular comorbidities or other disease that might contribute to exercise limitation b) Regular participation in formal exercise program c) Participation within a formal rehab program in last 2 years	Parallel double- blinded	Oxygen 31/min	Air 31/min	14 oxygen group, 15 air group	Supervised 7 week exercise program with 3 sessions/ week	a) Maximal cycle ergometry (watts) b) Constant power cycle ergometry c) CRQ d) SF-36 e) Borg Scores	Improvement in peak exercise tolerance test in both groups, <i>p</i> <0.05. Endurance in constant WR improved significantly in oxygen group compared to air group, <i>p</i> <0.05.
2007	a) COPD b) <88% SpO2 on 6MWT c) Dyspnea interfering with ADLs	a) LTOT	N of 1 RCTs	Oxygen 21/min	Air 21/min	27	Unsupervised 6 weeks domiciliary oxygen/air use	a) CRQ b) SGRQ c) 5MWT d) Borg Scores	Improvement in 5MWT and Borg dyspnea scores for home oxygen vs. home, air $p$ =0.04. No significant difference in HRQoL between home oxygen or home air.
	Year 1995 31997 2002 2003	YearInclusion1995a) COPDb) PaO2 > 60mmHg/ 8kPa c) Clinically stable d) Exertional dyspnea sufficient to interfere with ADLs e) Current non smokersa) COPD b) SpO2 < 90% at maximal exercise c) > 15mmHg/ 2.00kPa increase in alveolar-arterial difference in PO2 from rest to maximal exercise2002a) COPD b) <88% SpO2 on exertion c) Resting PaO2 > 7.3kPa d) clinically stable2003a) COPD b) 45-80 years old c) Clinically stable d) PaO2 >55mmHg/ 7.33kPa at rest e)SpO2 >88% during constant WR test2007a) COPD b) <88% SpO2 on exertion c) Resting PaO2 > 7.3kPa d) clinically stable2003a) COPD; FEV1 (7.33kPa at rest e)SpO2 >88% during constant WR test	YearInclusionExclusion1995a) COPD b) PaO2 > 60mmHg/ 8kPa c) Clinically stable d) Exertional dyspnea sufficient to interfere with ADLs e) Current non smokersa) Symptomatic cardiac dysfunction, angina pectoris and locomotor disabilitya1997a) COPD b) SpO2 < 90% at exercise c) >15mmHg/ 2.00kPa increase in alveolar-arterial difference in PO2 from rest to maximal exercisea) PaO2 < 64mmHg/ 8.53kPa b) Mean nocturnal SaO2 < 90% c.SaRPa b) Mean nocturnal SaO2 < 90%	YearInclusionExclusionStudy Type1995 a) COPD b) PaQ > 60mmHg/ sRPa c) Clinically stable d) Exertional dyspnea sufficient to interfere with ADLs e) Current non smokersa) Symptomatic cardiac dysfunction, angina pectoris and locomotor disabilityCrossover double- blinded1997 a) COPD b) SpQ < 90% at maximal exercisea) PaO2 < 64mmHg/ s.53kPa b) Mean nocturnal SaO2 < 90% commHg/ o) Mean pulmonary artery pressure >25mmHg d) Neuromuscular or cardiovascular difference in PO2 from rest to maximal exercisea) Limiting angina or cardiovascular or significant musculoskeletal disabilityCrossover double- b) Mean nocturnal SaO2 < 90% o) Mean pulmonary artery pressure >25mmHg d) Neuromuscular or cardiovascular disabilityCrossover double- binded2002 a) COPD b) <88% SpO2 on exertion c) Resting PaO2 > 7.3kPa d) clinically stablea) Symptomatic cardiovascular or significant musculoskeletal disabilityParallel double- blinded2003 a) COPD; 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Janaudis- Ferreira et al <sup>17</sup>	2009	a) COPD FEV1,70% b) <92% SpO2 on 6WMT c) PaO2 >8kPa at rest d) Clinically stable e) Not smoking 6 months prior to study	a) Severe cardiac, orthopaedic or neurological problems that would influence exercise performance b) LTOT	Parallel single- blinded	Oxygen 51/min	Air 51/min	10 air group, 10 oxygen group	Supervised 8 week training program	a) 6MWT b) Time <90% saturations c) Borg Score	Improvement in 6WMT in both groups compared to baseline $p$ <0.008 air group, and $p$ <0.005 oxygen group. Greater proportion of patients achieve MCID for 6MWT in air group, $p$ =0.01.
Moore et al <sup>18</sup>	2011	a) COPD b) PaO <sub>2</sub> 7.3kPa c) MRC dyspnea >3	a) Domiciliary oxygen use b) Participation in PR c) Locomotor difficulties	Parallel double- blinded	Oxygen 6l/min	Air 61/min	75 cylinder air, 68 oxygen	Unsupervised 12 weeks domiciliary oxygen/air use	a) 6MWT b) CRQ c) BDI/TDI d) HADs	No significant difference between CRQ dyspnea score, 6MWT or BDI/TDI scores between groups.
Dyer et al <sup>19</sup>	2012	a) COPD b) Desaturation >4% and below 90% on ESWT c) >10% improvement in ESWT with AO.	a) Any form of home oxygen	Parallel single blinded	Oxygen 2-6L/ min	Air	23 air group, 24 oxygen group	Supervised 7 week exercise program-twice weekly sessions	a) ESWT b) CRQ c) HADS	Improvement in ESWT in both groups compared to baseline, $p$ <0.0001. Improvement in ESWT in oxygen group vs. air group, p<0.001.
Ringbaek et al <sup>20</sup>	2013	a) COPD; FEV <sub>1</sub> <80% predicted b) MRC 3-5 c) Desaturation>4% and below 90% on ISWT/ESWT d) SpO <sub>2</sub> >90% at rest	a) LTOT b) Significant musculoskeletal, cardiac or cognitive problems	Parallel un-blinded	Oxygen 121/min	Air	22 oxygen group, 23 air group	20 week training program supervised for the first 7 weeks	a) ESWT b) SGRQ	Improvement in ESWT (time and distance) in both groups, <i>p</i> <0.001

COPD: chronic obstructive pulmonary disease; PaO<sub>2</sub>: partial pressure of oxygen in arterial blood; ADLs: activities of daily living; 6MWT: 6 minute walk test; CRQ: Chronic Respiratory Questionnaire; SaO<sub>2</sub>: oxygen saturation of arterial blood; SpO<sub>2</sub>: blood oxygen saturation; HADS: Hamilton Depression and Anxiety Scale; PFTs: pulmonary function test, WR: work rate; 5MWT: 5 minute walk test; SGRQ: St. George's Respiratory Questionnaire; HRQoL: Health-related Quality of Life; FEV1:forced expiratory volume in 1 second; BDI/TDI: baseline/transitional dyspnea scores; ISWT: incremental shuttle walk test;

Studies **in bold** denote structured pulmonary rehabilitation (PR) studies.

Two studies (both domiciliary)<sup>14,16</sup> could not be used in the meta-analysis as 1 study provided p values only<sup>14</sup> and the other was the only one to use 5MWT.<sup>16</sup> Neither showed any statistical benefit of long term ambulatory oxygen on 6MWT distance (p=0.9) or 5MWT distance for individual participants.

### Borg Dyspnea Scores

End of test Borg scores were measured in 2 of the meta-analysed PR studies that used  $6MWT^{13,17}$  and 2 RCTs using incremental work exercise testing.<sup>13,15</sup> Heterogeneity between the studies was not significant (I<sup>2</sup>=0%). Neither were statistically significant; effect 0.16 (-0.61 to 0.93) and 0.25 (-0.81 to 1.31) respectively; (Figure 3).

### Quality of Life

This was measured by the Chronic Respiratory Disease

Questionnaire (CRQ) in 7 studies<sup>12-16,18,19</sup> 3 of which were PR studies. In the PR studies there was no statistical difference between oxygen and control in any domain, however there was a strong trend to statistical significance in CRQ emotion and fatigue favoring oxygen; p=0.06 and p=0.09 respectively (Figure 4). In the domiciliary studies the effect in all domains was in favor of oxygen treatment, significant only in dyspnea (p=0.002) and fatigue (p=0.01). (Figure 5)

### Impact of Device on Ambulatory Oxygen Delivery

Fourteen studies evaluated a variety of oxygen conserving devices (OCDs) and cylinder types<sup>21-34</sup> (Table 3). Modes of delivery included demand oxygen devices (DODs), pendant reserve cannulae (PRC) and continuous flow nasal cannulae (CFNC). In some studies, multiple OCDs were compared. Where this was the case, the most effective OCD was used in the meta-

### Table 3. Impact of Device on Ambulatory Oxygen Delivery Studies

Author	Year	Inclusion	Exclusion	Study Type	Inter- vention	Comp- arator	Sample Size	Methods	Outcomes	Results Summary
Soffer et al <sup>21</sup>	1985	COPD or restrictive ventilatory disease. SpO <sub>2</sub> <90%	NR	Non-RCT crossover	PRC	CFNC	20 (13 COPD)	Treadmill exercise	a) Oxygen conservation ratio at 90% SpO2 b) % oxygen savings	PRC requires lower flow rate to achieve 90% saturations compared to CFNC. <i>p</i> <0.05 (data from COPD patients alone)
Carter et al <sup>22</sup>	1986	COPD exercise desaturation Resting SpO2 ≤90%	NR	Crossover	PRC	CFNC	10	Treadmill exercise test (1-1.5mph)	SpO2 on exercise	PRC achieves statistically higher SpO <sub>2</sub> on exercise compared to CFNC, p<0.001.
Tiep et al <sup>23</sup>	1987	COPD	No cardiovascular or orthopaedic limitations to exercise	Non-RCT crossover	DODs	CFNC	9	Treadmill exercise test	Oxygen flow setting required to achieve SpO <sub>2</sub> >90%	DODs requires lower flow rate to achieve 90% saturations, <i>p</i> <0.0001.
Bower et al <sup>24</sup>	1988	Chronic lung disease; Clinically stable	NR	Crossover	DODs	CFNC	5 COPD 1 COPD/ scoliosis	Treadmill exercise test	a) Oxygen usage percentage b) Exercise SpO <sub>2</sub>	No significant difference in exercise SpO <sub>2</sub> between DODs and CFNC. DODs mean oxygen utilization is 44% that of CFNC oxygen utilization.
Braun et al <sup>25</sup>	1992	COPD: FEV <sub>1</sub> / FVC ratio <60%, LTOT	NR	Crossover	DODs (5 devices)	CFNC	10	12 minute walk test	Average and lowest SpO <sub>2</sub> at rest and exertion	No significant difference between the mean of the lowest SpO <sub>2</sub> during exercise between intervention and comparator.
Roberts et al <sup>26</sup>	1995	COPD exercise desaturation <90%; Clinically stable	NR	Crossover	DODs	CFNC	15	6MWT	a) 6MWT b) time spent <90% SpO <sub>2</sub>	CFNC significantly improved 6MWD and reduced time <90% SpO <sub>2</sub> (compared to baseline) whereas DODs only improved 6MWT.
Cuvelier et al <sup>27</sup>	2002	COPD-ATS criteria; clinically stable FEV1/FVC<65% FEV1<55% PaO2 <60mmHg at rest/PaO2 >60mmHg with evidence of exercise desaturation	NR	Crossover	Refilled oxygen cylin- ders – Home Fill system	Stan- dard com- mer- cial oxy- gen cylin- ders	10	Successive 6MWT carrying standard oxygen and refilled oxygen cylinders	a) 6MWD b) Mean SpO2 at end of 6MWT	No significant difference between 6MWD or SpO2 between cylinder types.
Tiep et al <sup>28</sup>	2002	Chronic lung disease; Desaturation on exercise; Clinically stable; All patients using supplementary oxygen	NR	Non-RCT crossover	DODs	CFNC	10 (9 COPD)	Treadmill exercise test; oxygen flow required to maintain saturations between 92 and 94%	Oxygen flow setting required to achieve SpO <sub>2</sub> >90%	No significant difference in SpO2 at higher DODs setting. DODs confer 4.3 fold oxygen saving on exercise.

Chatila et al <sup>29</sup>	2004	COPD-GOLD criteria; Clinically stable the preceding 3 months	Cardiovascular disease	Non-RCT crossover	Vapo- therm (high flow oxygen 201/ min)	Low flow oxy- gen (2.5- 6l/ min)	10 (5 com- pleted exer- cise test)	Cycling- unloaded bicycle	a) V <sub>T</sub> b) V <sub>E</sub> c) Work of breathing d) Inspiratory time fraction e) RR/V <sub>T</sub>	No significant difference in outcome measure (a,b or c) between vapotherm or low flow oxygen.
Fuhrman et al <sup>30</sup>	2004	COPD FEV <sub>1</sub> <50%; PaO <sub>2</sub> <65mmHg; Current or former smoker; No exacerbations in preceding 3 months	Symptomatic cardiovascular disease; Any condition with contraindication to exercise testing	Crossover	DODs (4 dev- ices)	CFNC	13	6MWT	a) SpO2 at rest and exercise b) 6MWT	No significant difference in 6MWD with any DODs device compared with CFNC.
Strickland et al <sup>31</sup>	2009	COPD-GOLD Stage 4; SpO2 <90%; LTOT; Clinically stable preceding 6 weeks	Symptomatic cardiovascular disease; Physical limitations precluding walking	Crossover	a)Liquid oxygen system b)Home Fill comp- ressed oxygen c)Port- able oxygen concen trator	l Stan- dard com- mer- cial oxy- gen cylin- ders	39	6MWT	SpO2 on exercise	No significant difference between SpO2 between interventions or comparator.
Palwai et al <sup>32</sup>	2010	Obstructive lung disease; SpO2 <90% at rest or on exertion; Hypoxaemia warranting use of supplementary oxygen	NR	Crossover	DODs (4 dev- ices)	CFNC	13	Treadmill exercise test; Modified Naugton protocol	a) Work stages completed b) SpO2 c) VE	Significantly higher METs achieved with CFNC, <i>p</i> =0.006.
Casaburi et al <sup>33</sup>	2012	COPD FEV <sub>1</sub> <60%; PaO <sub>2</sub> <8kPa; Clinically stable preceding month	Uncontrolled heart failure; Orthopedic, neurological or cognitive limitations to exercise; Current smokers	RCT parallel	Light- weight alumi- nium cylin- ders	E cylin- ders	22	Unsuper- vised domestic activity	Oxygen utilization; Home activity	No significant difference in oxygen utilization or patterns of activity between lightweight and standard cylinder.
Marti et al <sup>34</sup>	2013	COPD and interstitial lung disease; Exercise desaturation <88%	Active smoker; Use of ambulatory oxygen therapy prior to study; Recent exacerbation	Crossover	DODs PRC	CFNC	28 COPD 31 inter- stitial lung disease	6MWT using intervention/ comparator	<ul> <li>a) % of patients with correction of exercise desaturation</li> <li>b) 6MWD</li> <li>c) Borg scores</li> </ul>	Exercise desaturation corrected in 79% of patients with DODs and CFNC and in 86% with PRC (data for COPD patients alone). No significant difference between devices.

COPD: chronic obstructive pulmonary disease; NR: not reported; CFNC: continuous flow nasal cannulae; RCT: randomized controlled trial; PRC: pendant reservoir cannulae; DODs: demand oxygen devices; FEV1: forced expiratory volume in 1 second; FVC: forced vital capacity; LTOT: long-term oxygen therapy; 6MWT: 6-minute walk test; ATS: American Thoracic Society; PaO2: partial pressure of oxygen in arterial blood; GOLD: Global initiative for chronic Obstructive Pulmonary Disease; 6MWD: 6-minute walk distance; METS: metabolic equivalents

#### **Exercise Capacity**

analysis comparing it to standard CFNC. Five OCD studies<sup>22,24,26,30,34</sup> were used in 2 separate meta-analyses. Tests for heterogeneity were not significant (both  $I^2=0$ ).

Three of the 5 meta-analysed studies compared DODs against standard CFNC using 6MWT as an outcome measure.<sup>26,30,34</sup> DODs and CFNC did not differ (CI-

### Figure 2. Effect of Ambulatory Oxygen on Exercise Capacity



All were rehab studies unless otherwise stated. No exercise outcomes showed significant benefit of ambulatory oxygen although there was a trend towards benefit when using constant work rate as the outcome measure (p=0.06)

# Figure 3. Effect of Ambulatory Oxygen on Exercise-induced Dyspnea

oxygen Control					Mean Difference	Mean Difference				
Study or Subgroup	Me	an :	SD To	tal Mea	an SE	) Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI	
Janaudis-Ferreira 2009		1 1	.5	10 0	.7 0.8	3 10	53.1%	0.30 [-0.75, 1.35]		2 1 Porg Score
Rooyacker 1997	1	0.3 1	.4	12 0	.3 1.4	1 12	46.9%	0.00 [-1.12, 1.12]		S.I Borg Score
										6MWT
Total (95% CI)				22		22	100.0%	0.16 [-0.61, 0.93]		
Heterogeneity: Chi <sup>2</sup> = 0.	15, df=	: 1 (P	= 0.70	; I <sup>2</sup> = 0%	5					
Test for overall effect: Z	= 0.41	(P = (	0.68)						Favours (oxygen) Favours (control)	
	0)	ygen	1	C	ontrol			Mean Difference	Mean Difference	
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI	
Emtner 2003	0.4	2.3	14	0.1	1.6	15	53.4%	0.30 [-1.15, 1.75]		
Rooyacker 1997	-1.3	1.7	12	-1.5	2.16	12	46.6%	0.20 [-1.36, 1.76]		3.2 Borg Score
										incremental
Total (95% CI)			26			27	100.0%	0.25 [-0.81, 1.31]	◆	nower evercise
Heterogeneity: Chi <sup>2</sup> = 0	.01, df	= 1 (	P = 0.9	3); I <sup>2</sup> = 0	1%					power exercise
Test for overall effect: Z	= 0.47	(P =	0.64)						-10 -5 0 5 10	
		v.	2.2.17						Favours (oxgyen) Favours (control)	

# Figure 4. Effect of Ambulatory Oxygen on HRQoL (*Domiciliary Studies*)



10.28 to 1.60), (Figure 6).

### **Exercise Oxygen Saturations**

Oxygen saturations were used in 2 of the 5 studies<sup>22,24</sup> with methods of delivery being PRC and DODs respectively. Oxygen saturations were 2.03% higher with OCDs compared to CFNC, (95% CI 0.09 to 3.98, p=0.04, Figure 6). In contrast, Roberts et al demonstrated that DODs caused a significantly longer period of desaturation <90% than CFNC p<0.001.

### Oxygen Utilization

Three studies<sup>21,23,28</sup> evaluated the ability of OCDs versus standard CFNC to conserve oxygen in patients during exercise by calculating an oxygen conservation ratio at 90% blood oxygen saturation (SpO<sub>2</sub>) (i.e., the relative difference in oxygen flow required to maintain oxygen saturation with both devices at 90% SpO<sub>2</sub>). Two studies used DODs<sup>23,28</sup> and 1 PRC.<sup>21</sup> Although

a higher DODs setting was required to maintain equivalent oxygen saturations, DODs still conferred 2.9-7.6 fold oxygen savings which was significant when compared to standard CFNC p<0.001. A similar result was demonstrated with PRC; oxygen utilization was significantly lower than CFNC p<0.05.<sup>21</sup>

### **Refillable Cylinders and Home Activity**

Individual studies demonstrated no significant difference in exercise capacity with either refilled cylinders or portable oxygen concentrators when compared to standard commercial cylinders.<sup>27,31</sup> Patterns of either home activity or oxygen utilization were not significantly different when lightweight cylinders were used compared to heavier E cylinders.<sup>33</sup>

### **Cost Effectiveness**

There were no results from the searches assessing cost incremental ratios or cost effectiveness for ambulatory oxygen in addition to usual care.

### Figure 5. Effect of Ambulatory Oxygen on HRQoL (PR Studies)



### Discussion

This review evaluated the use of ambulatory oxygen in 3 separate ways: efficacy against placebo, optimum mode of delivery and cost. First, we have shown that ambulatory oxygen is unlikely to be effective at improving functional exercise capacity or symptoms of breathlessness in unselected patients with EID, although there may be some patients who respond well. Second, we have shown that use of OCDs is appropriate, in that outcomes are similar or better to CFNC but at lower oxygen consumption. Since the review showed no significant overall effect of oxygen, and there were no published cost-effectiveness studies, we were unable to calculate an incremental cost effectiveness ratio. Each area of the results will be discussed here in turn.

### **Clinical Effectiveness of Ambulatory Oxygen**

The prescription of ambulatory oxygen therapy in COPD is governed by an improvement in exercise capacity or

Borg dyspnea scores, wherein a 10% improvement in distance walked or reduction  $\geq 1$  in Borg Score indicates it should be used in patients with EID >4%.<sup>5</sup> majority of our results suggest that there is not a benefit of this magnitude (Figures 2 and 3). It is important to highlight that in the included studies the definition of EID was not uniform, ranging from mild (<92%) to more severe desaturation (<88%). Since results for some outcomes were heterogeneous it is important to consider how patient selection influenced results. Two studies<sup>12,18</sup> used exertional dyspnea as their main inclusion criterion and were analyzed separately, although 1/3 of patients in the Moore et al study also exhibited EID. Few studies specified *acute responders* (i.e., those with >10% improvement in walking distance). The only study<sup>19</sup> which did specify, used ESWT as the outcome measure and showed significant improvement in those randomized to ambulatory oxygen compared to compressed air, implying that appropriate patient selection is important in optimizing clinical outcome.

# Figure 6. Effect of Oxygen Delivery Device on Exercise SpO<sub>2</sub> and Exercise Capacity



Interestingly however, 7 studies<sup>12-16,18,20</sup> included a single assessment acute oxygen test for all participants as part of their protocol. All but one<sup>20</sup> demonstrated a significant mean improvement in exercise capacity for participants with acute oxygen therapy compared to compressed air which is in line with a previous Cochrane review.<sup>9</sup> Whether the acute effects of oxygen persist in the long term in trained or untrained participants was addressed in 2 of the included studies<sup>13,14</sup> as they all included a repeat acute oxygen test at the end of the study period. Rooyackers et al<sup>13</sup> and Eaton et al<sup>14</sup> both demonstrated that this acute effect was no longer statistically significant when using 6MWT as the outcome measure. It would therefore seem that any acute improvement in walking distance observed in a single assessment study is not maintained. This has implications for clinical practice as prescriptions for ambulatory oxygen based on improvements in exercise capacity observed in single assessment studies as suggested by guidelines<sup>5</sup> are not sustained or are minimal in the longer term.

The way in which exercise capacity was measured may also be relevant to the interpretation of results. Largely it was measured using field tests (ESWT or 6MWT) which, particularly in the case of the 6MWT, have been suggested to relate more to activities of daily living.<sup>35,36</sup> The meta-analysis of exercise capacity with respect to 6MWT slightly favored the control group and showed no benefit of ambulatory oxygen, implying that in normal daily life it would not be expected to help patients be more active. In the ESWT meta-analysis, although the result was not statistically significant in favor of oxygen therapy, it may be clinically significant. The minimal clinically important difference (MCID) for the ESWT is estimated at 45-85 seconds.<sup>37</sup> The common effect of 150 seconds exceeded this although this has to be approached with caution because the heterogeneity between the 2 studies  $^{19,20}$ was considerable. Both studies enrolled patients exhibiting EID>4% and predominantly severe COPD. During the ESWT in the Dyer et al study, patients had their oxygen cylinders carried by an assistant whereas this was not the case in Ringbaek et al. It is recognized that any improvement in exercise capacity can be negated by the weight of the ambulatory oxygen system if carried by patients alone,<sup>26,38</sup> thus it is possible the Dyer study overinflated the benefits of treatment in real life by providing assistance. The meta-analysis of endurance cycle time strongly favored oxygen treatment and was close to approaching statistical significance. Furthermore, this is likely to be an underestimate of the effect of ambulatory oxygen treatment as 16 endurance tests in the oxygen group were terminated at 30 minutes compared to 6 endurance tests in the control group.<sup>15</sup> The responsiveness of constant work rate tests such as the ESWT and cycle endurance to intervention is far better than the 6MWT<sup>39</sup> which may explain the discrepancy between these different exercise

outcome results. However, at present no MCID for cycle ergometry has been established and its relationship to activities of daily living is unclear.

Some studies<sup>13,15,17,19,20</sup> were carried out as part of pulmonary rehabilitation. Although no statistical benefit of long term ambulatory oxygen was demonstrated, exercise capacity (particularly 6MWT) in the pulmonary rehabilitation studies exceeded that of the domiciliary studies<sup>12,18</sup> whether patients were randomized to ambulatory oxygen or placebo, Figures 2.1 and 2.2 What is also clear is that the improvement in 6MWT distance gained by pulmonary rehabilitation far exceeds that gained by ambulatory oxygen.<sup>13</sup> This supports current guidelines<sup>5</sup> in that any assessment of ambulatory oxygen therapy should be made following pulmonary rehabilitation.

Finally, it is important to consider the meta-analyses possible using CRQ domains. In general, there was a benefit of ambulatory oxygen on QoL, which was most marked in the dyspnea and fatigue domains; the others were not statistically significant but showed a strong trend in the same direction. However, the effect size was only 0.28 and 0.17 in the statistically significant domains, which is less than the 0.5 points considered to represent the MCID for an individual domain.<sup>40</sup> This explains the apparent discrepancy between Borg score and CRQ dyspnea score meta-analyses; neither were clinically significant, hence they concur, despite the fact that statistically the CRQ score was better with oxygen. The effect size is also considerably less than the effect on CRQ of pulmonary rehabilitation (0.62 points)<sup>41</sup> confirming that assessment for ambulatory oxygen should only be done after rehabilitation.

### Impact of Device on Ambulatory Oxygen Delivery

The mode of delivery of ambulatory oxygen therapy largely encompasses the cylinder type and the interface used. Oxygen conserving devices (DODs/PRC) have been used for many years and the theory supporting their use has been discussed elsewhere.<sup>23</sup> In short *classic* DODs, devices deliver oxygen during the initial phase of inspiration alone while PRCs store oxygen during exhalation to be delivered at the next inspiratory phase. The role of OCDs is to conserve oxygen however, in doing so they must perform equivalently to standard CFNC in ameliorating oxygen desaturation. Some studies<sup>22,24,26,30,34</sup> included in the meta-analysis indicate that oxygen conserving devices perform equivalently to standard CFNC at maintaining exercise oxygen saturation and 6MWT. Importantly, however, it is likely that oxygen conserving devices achieve these outcomes at a much lower oxygen utilization than CFNC. This is likely to have implications in terms of oxygen cylinder usage and subsequent cost. Although the primary role of OCDs is not to improve exercise performance over CFNC, patients use these devices on the premise that they are ambulatory. Thus, it is reassuring that functional performance is not negated by the weight of the system.

It is important to note that there is considerable variation between OCDs and their performance and in the meta-analyses the best performing OCD was used. Notably in the study by Palwai et al, one of the DOD systems performed no better than ambulation on room air. Also there may be some patients who do not tolerate OCDs and their use may cause further desaturation. This is supported by the study by Marti et al<sup>34</sup> in which use of DODs failed to correct EID in approximately 20% of participants. This has been explained by changing respiratory pattern on more strenuous exertion where by the predominance of mouth breathing rather than nasal fails to trigger the flow sensor.<sup>26</sup> Oxygen cylinders have historically been the main method of delivering portable oxygen, however, newer devices such as portable concentrators are now available. These devices have variable weight with cylinders weighing between 3.4-8kg and portable concentrators between 3-4kg. As mentioned previously, the weight of the ambulatory oxygen system (cylinder in this case) is an important factor as the portable oxygen system should be carried by the patient during their ambulatory oxygen assessment and, following this, in their ambulatory activity. The weight of the portable oxygen system has previously been cited as one of the reasons why patients with COPD have poor concordance with ambulatory oxygen prescriptions.<sup>42</sup> An interesting observation from 1 of the studies<sup>33</sup> is that ambulatory cylinder weight had no impact on home activity. One would hypothesize that a lower weight would facilitate ambulation. This was not the case despite prior efforts of focused education on increasing oxygen treatment understanding and ambulation.

Evidence from the included studies suggests that refilled oxygen cylinders/portable oxygen concentrators perform as well as standard commercial cylinders.

### Limitations

The quality of data in this systematic review was

poor to average as limited by small sample sizes and high risk of selection and detection bias, especially in the older studies. In addition, patient selection and heterogeneity of outcomes means that interpretation of the results should be guarded. Outcomes in this review did not include other clinically relevant endpoints such as exacerbations or mortality. Finally, there were no studies that assessed cost effectiveness. As clinical effectiveness could not be proven in any domain it was not possible to answer whether ambulatory oxygen is cost effective.

### Conclusions

This review suggests that long term use of ambulatory oxygen has limited benefit compared to ambient air when assessed by functional exercise capacity or Borg dyspnea scores. It may, however, have greater efficacy in improving exercise performance when assessed by endurance tests. The impact on quality of life, although statistically significant, is unlikely to be clinically relevant. Where ambulatory oxygen is used oxygen conserving devices should be used, in preference to standard nasal cannula as they generally perform equivalently but at a lower oxygen utilization.

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