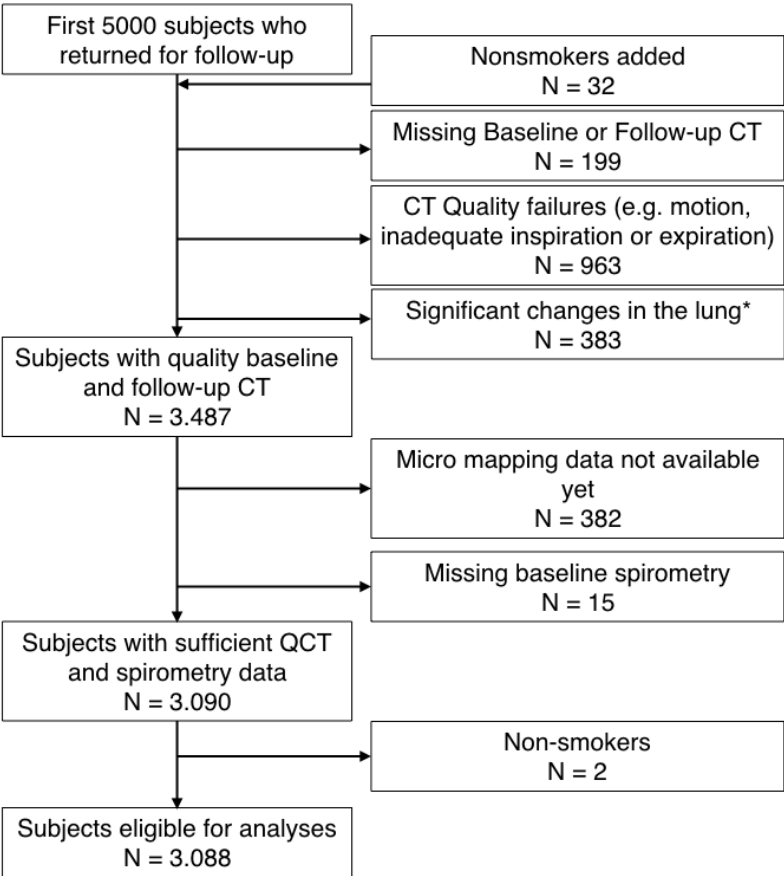


**Online Supplement**  
**Progression of Emphysema and Small Airways Disease in Cigarette Smokers**

Figure S1. Consort diagram.



\*Lobectomy or other surgery, pneumonia or other lung disease, other anatomic changes.

Figure S2. Change in fSAD among subjects in (A) GOLD 0, (B) GOLD 1, (C) GOLD 2, and (D) GOLD 3/4. The gray area depicts the 5<sup>th</sup> to 95<sup>th</sup> percentile of change in nonsmokers. The dark pink area denotes 5% of subjects that have the biggest change. The light pink area denotes all subjects that change more than nonsmokers. fSAD change appears quite similar across GOLD stages.

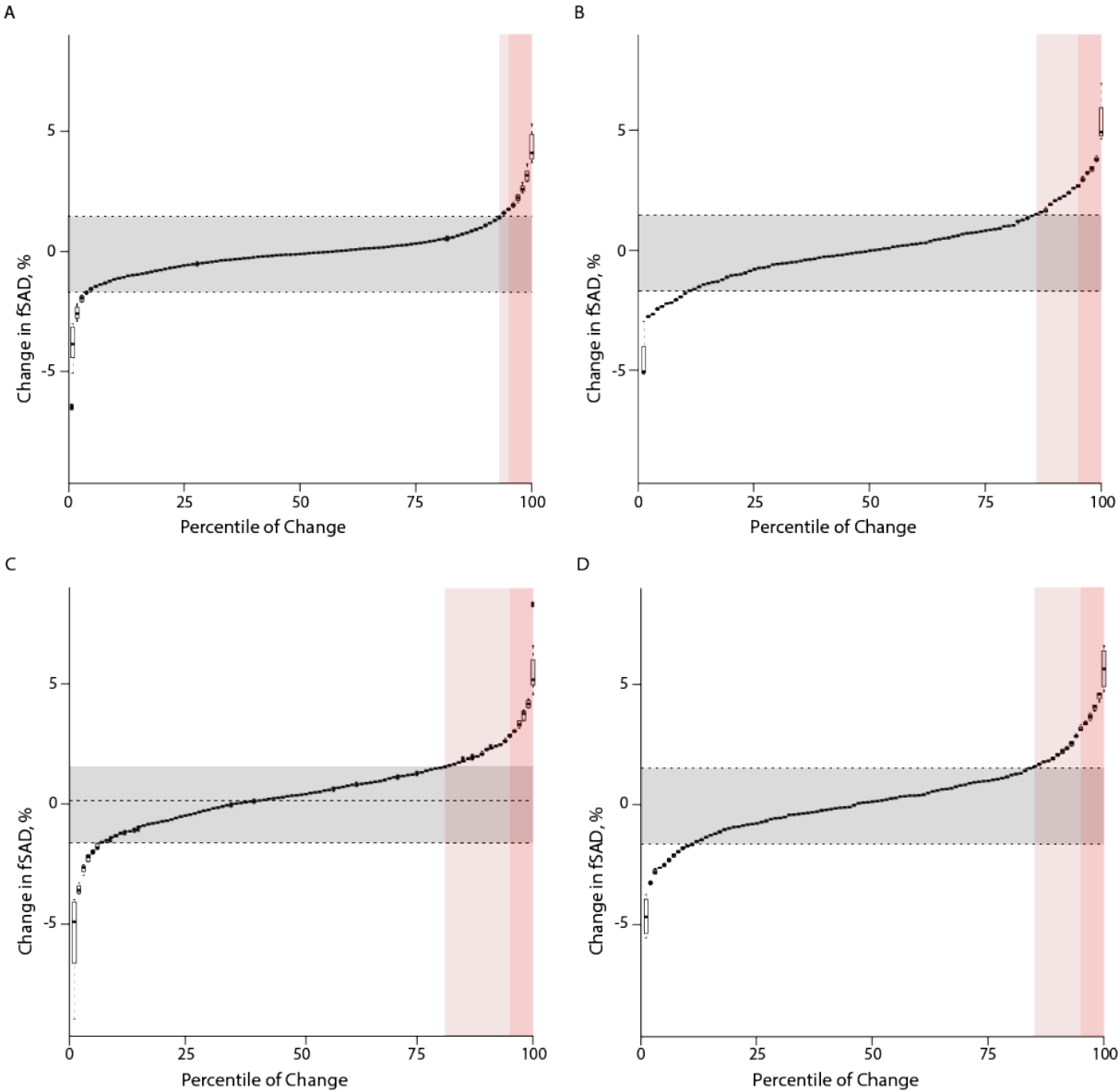


Figure S3. Change in (A) emphysema, (B) fSAD, and (C) FEV<sub>1</sub> in PRISM subjects (n = 305). The gray area depicts the 5<sup>th</sup> to 95<sup>th</sup> percentile of change in nonsmokers. The dark pink area denotes 5% of subjects that have the biggest change. The light pink area denotes all subjects that change more than nonsmokers.

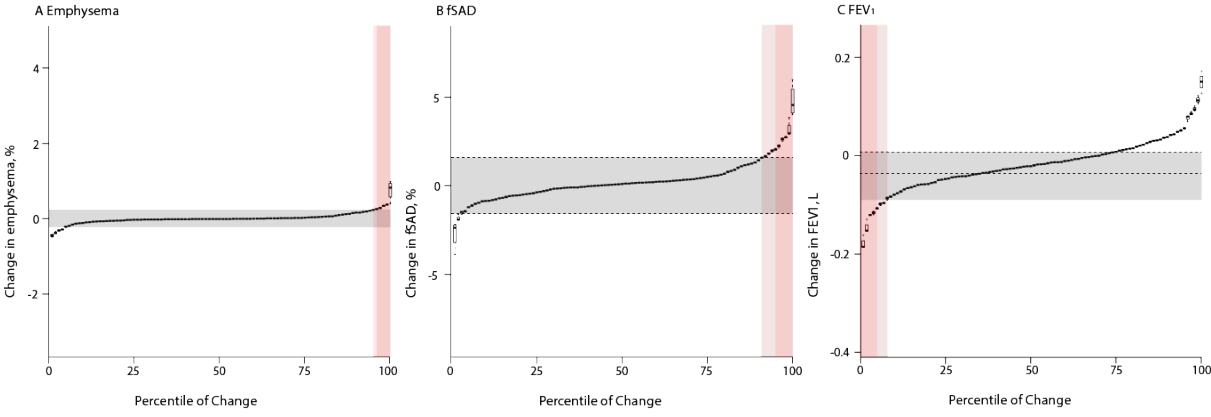


Table S1. Baseline characteristics (fSAD at baseline, 6MWD, SGRQ, MMRC, and exacerbations) for progressing and non-progressing subjects, stratified by GOLD stage (nonsmokers not included). Subjects were defined as ‘progressing’ when their emphysema and/or FEV<sub>1</sub> changed beyond the 95<sup>th</sup> percentile of change observed in nonsmokers (0.25% emphysema progression per year and 89 mL decrease in FEV<sub>1</sub> per year).

| GOLD stage    | Progression group                         | fSAD, %            | 6MWD, m         | SGRQ               | MMRC        | Exacerbations, n (%) |
|---------------|---|--------------------|-----------------|--------------------|-------------|----------------------|
| <b>GOLD 0</b> | No progression (n = 1174)                 | 8.0 (5.5 – 12.0)   | 488 (420 – 549) | 7.6 (2.3 – 18.9)   | 0 (0 - 1)   | 92 (7.9%)            |
|               | Progression in emphysema (n = 89)         | 13.6 (8.5 – 19.3)  | 461 (411 – 518) | 10.2 (3.8 – 24.1)  | 0 (0 - 2)   | 13 (14.6%)           |
|               | Progression in FEV <sub>1</sub> (n = 186) | 9.2 (5.9 – 14.3)   | 480 (414 – 548) | 8.2 (2.4 – 28.6)   | 0 (0 - 1)   | 17 (9.1%)            |
| <b>PRISm</b>  | No progression (n = 269)                  | 7.9 (4.8 – 11.3)   | 425 (354 – 483) | 18.9 (5.7 – 39.0)  | 1 (0 - 2)   | 54 (20.5%)           |
|               | Progression in emphysema (n = 16)         | 13.4 (9.7 – 14.8)  | 395 (325 – 482) | 30.8 (9.9 – 43.7)  | 1.5 (0 - 3) | 2 (12.5%)            |
|               | Progression in FEV <sub>1</sub> (n = 22)  | 9.8 (7.1 – 12.9)   | 441 (373 – 516) | 20.4 (6.9 – 32.3)  | 1 (0 - 2)   | 7 (31.8%)            |
| <b>GOLD 1</b> | No progression (n = 173)                  | 14.4 (7.8 – 23.2)  | 489 (426 – 546) | 9.2 (3.1 – 21.1)   | 0 (0 - 1)   | 16 (9.5%)            |
|               | Progression in emphysema (n = 61)         | 18.8 (13.8 – 23.3) | 457 (372 – 522) | 17.5 (7.2 – 28.5)  | 0 (0 - 1)   | 9 (14.8%)            |
|               | Progression in FEV <sub>1</sub> (n = 50)  | 18.5 (14.3 – 24.3) | 484 (427 – 549) | 13.7 (6.2 – 24.8)  | 0 (0 - 1)   | 8 (16.0%)            |
| <b>GOLD 2</b> | No progression (n = 325)                  | 17.5 (11.0 – 26.7) | 438 (369 – 506) | 23.2 (10.7 – 42.3) | 1 (0 - 3)   | 78 (24.5%)           |

|                 |   |                    |                 |                    |           |             |
|-----------------|---|--------------------|-----------------|--------------------|-----------|-------------|
|                 | Progression in emphysema (n = 235)        | 25.3 (16.8 – 31.9) | 432 (367 – 495) | 29.6 (13.6 – 46.1) | 1 (0 - 3) | 61 (26.0%)  |
|                 | Progression in FEV <sub>1</sub> (n = 114) | 26.9 (16.7 – 33.0) | 416 (347 – 500) | 29.5 (11.8 – 50.3) | 1 (0 - 3) | 29 (25.4%)  |
| <b>GOLD 3/4</b> | No progression (n = 154)                  | 33.4 (25.7 – 40.7) | 376 (296 – 426) | 40.7 (23.1 – 57.3) | 2 (1 - 3) | 55 (36.2%)  |
|                 | Progression in emphysema (n = 238)        | 35.9 (28.2 – 41.1) | 366 (297 – 429) | 42.8 (30.0 – 56.0) | 3 (2 - 3) | 105 (44.1%) |
|                 | Progression in FEV <sub>1</sub> (n = 25)  | 33.5 (23.3 – 37.5) | 357 (302 – 442) | 47.3 (35.2 – 65.3) | 3 (2 - 4) | 9 (36.0%)   |

fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire; GOLD: Global Initiative for Chronic Obstructive Pulmonary Disease; FEV<sub>1</sub>: forced expiratory volume in one second; PRISm: preserved ratio impaired spirometry (FEV<sub>1</sub> < 80% and FEV<sub>1</sub>/forced vital capacity ≥ 0.7)

Table S2. Multivariate linear mixed models using log(emphysema) as outcome. The model includes age, gender, race, BMI, smoking status, and pack years. By including an interaction with observation time, differences in the increase in log(emphysema) per year were calculated. Models were stratified based on GOLD status and were corrected for FRC/TLC and study center.

| GOLD group    | Parameter   | Increment or comparison        | Estimate (SE)     | p-value        |
|---------------|---|--------------------------------|-------------------|----------------|
| <b>GOLD 0</b> |   |                                |                   |                |
|               | Age   | + 1 year                       | 0.043 (0.0059)    | < <b>0.001</b> |
|               | Gender, female                                    | Male                           | -0.90 (0.082)     | < <b>0.001</b> |
|               | Race, African American                            | non-Hispanic white             | -0.29 (0.12)      | <b>0.016</b>   |
|               | BMI   | + 1 kg/m <sup>2</sup>          | -0.056 (0.0072)   | < <b>0.001</b> |
|               | Smoking status, former smoker                     | Current smoker                 | -0.34 (0.10)      | < <b>0.001</b> |
|               | Pack years  | + 1 pack year                  | -0.00048 (0.0021) | 0.82           |
|               | Observation time                                  | + 1 year                       | -0.016 (0.059)    | 0.78           |
|               | Observation time * age                            | + 1 year per year              | 0.0013 (0.00080)  | 0.10           |
|               | Observation time * gender, female                 | Male                           | -0.026 (0.011)    | <b>0.024</b>   |
|               | Observation time * race, African American         | non-Hispanic white             | -0.00240 (0.016)  | 0.80           |
|               | Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | -0.0016 (0.0010)  | 0.10           |
|               | Observation time * smoking status, former smoking | Current smoking                | 0.054 (0.014)     | < <b>0.001</b> |
|               | Observation time * pack years                     | + 1 pack year per year         | 0.00064 (0.00029) | <b>0.030</b>   |
| <b>PRISm</b>  |   |                                |                   |                |
|               | Age   | + 1 year                       | 0.043 (0.014)     | <b>0.0018</b>  |
|               | Gender, female                                    | Male                           | -0.86 (0.18)      | < <b>0.001</b> |
|               | Race, African American                            | non-Hispanic white             | -0.12 (0.23)      | 0.58           |
|               | BMI   | + 1 kg/m <sup>2</sup>          | -0.020 (0.013)    | 0.13           |
|               | Smoking status, former smoker                     | Current smoker                 | -0.56 (0.22)      | <b>0.011</b>   |
|               | Pack years  | + 1 pack year                  | 0.0015 (0.0043)   | 0.72           |
|               | Observation time                                  | + 1 year                       | -0.23 (0.13)      | 0.086          |
|               | Observation time * age                            | + 1 year per year              | 0.0048 (0.0018)   | 0.31           |
|               | Observation time * gender, female                 | Male                           | -0.015 (0.024)    | 0.53           |
|               | Observation time * race, African American         | non-Hispanic white             | 0.060 (0.028)     | <b>0.0057</b>  |
|               | Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | -0.0018 (0.0017)  | 0.31           |
|               | Observation time * smoking status, former smoking | Current smoking                | 0.077 (0.028)     | <b>0.0057</b>  |

|   |                                |                    |                |
|---|--------------------------------|--------------------|----------------|
| Observation time * pack years                     | + 1 pack year per year         | 0.00064 (0.00054)  | 0.24           |
| <b>GOLD 1</b>                                     |                                |                    |                |
| Age   | + 1 year                       | 0.017 (0.013)      | 0.17           |
| Gender, female                                    | Male                           | -0.72 (0.18)       | < <b>0.001</b> |
| Race, African American                            | non-Hispanic white             | -0.086 (0.27)      | 0.75           |
| BMI   | + 1 kg/m <sup>2</sup>          | -0.081 (0.019)     | < <b>0.001</b> |
| Smoking status, former smoker                     | Current smoker                 | -0.87 (0.21)       | < <b>0.001</b> |
| Pack years  | + 1 pack year                  | 0.015 (0.0042)     | < <b>0.001</b> |
| Observation time                                  | + 1 year                       | 0.082 (0.10)       | 0.41           |
| Observation time * age                            | + 1 year per year              | -0.00026 (0.0013)  | 0.84           |
| Observation time * gender, female                 | Male                           | -0.0052 (0.019)    | 0.78           |
| Observation time * race, African American         | non-Hispanic white             | -0.025 (0.028)     | 0.36           |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | -0.00065 (0.0019)  | 0.73           |
| Observation time * smoking status, former smoking | Current smoking                | 0.079 (0.021)      | < <b>0.001</b> |
| Observation time * pack years                     | + 1 pack year per year         | -0.00012 (0.00043) | 0.79           |
| <b>GOLD 2</b>                                     |                                |                    |                |
| Age   | + 1 year                       | 0.028 (0.0087)     | <b>0.0014</b>  |
| Gender, female                                    | Male                           | -0.39 (0.12)       | <b>0.0013</b>  |
| Race, African American                            | non-Hispanic white             | -0.18 (0.19)       | 0.34           |
| BMI   | + 1 kg/m <sup>2</sup>          | -0.085 (0.011)     | < <b>0.001</b> |
| Smoking status, former smoker                     | current smoker                 | -0.92 (0.14)       | < <b>0.001</b> |
| Pack years  | + 1 pack year                  | 0.010 (0.0026)     | < <b>0.001</b> |
| Observation time                                  | + 1 year                       | 0.15 (0.070)       | <b>0.032</b>   |
| Observation time * age                            | + 1 year per year              | -0.00081 (0.00088) | 0.36           |
| Observation time * gender, female                 | Male                           | -0.013 (0.012)     | 0.31           |
| Observation time * race, African American         | non-Hispanic white             | 0.010 (0.017)      | 0.54           |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | -0.0018 (0.0011)   | 0.10           |
| Observation time * smoking status, former smoking | current smoking                | 0.043 (0.014)      | <b>0.0028</b>  |
| Observation time * pack years                     | + 1 pack year per year         | 0.00034 (0.00027)  | 0.21           |
| <b>GOLD 3/4</b>                                   |                                |                    |                |
| Age   | + 1 year                       | 0.0071 (0.0076)    | 0.35           |
| Gender, female                                    | Male                           | -0.11 (0.11)       | 0.31           |
| Race, African American                            | non-Hispanic white             | -0.29 (0.15)       | 0.061          |
| BMI   | + 1 kg/m <sup>2</sup>          | -0.10 (0.0088)     | < <b>0.001</b> |
| Smoking status, former smoker                     | Current smoker                 | -1,00 (0.12)       | < <b>0.001</b> |
| Pack years  | + 1 pack year                  | 0.0016 (0.0022)    | 0.48           |
| Observation time                                  | + 1 year                       | 0.054 (0.055)      | 0.33           |

|   |                                |                    |                |
|---|--------------------------------|--------------------|----------------|
| Observation time * age                            | + 1 year per year              | -0.00081 (0.00071) | 0.25           |
| Observation time * gender, male                   | Female                         | 0.0085 (0.010)     | 0.40           |
| Observation time * race, African American         | non-Hispanic white             | -0.011 (0.014)     | 0.43           |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.0067 (0.00081)   | 0.41           |
| Observation time * smoking status, former smoking | Current smoking                | 0.051 (0.011)      | < <b>0.001</b> |
| Observation time * pack years                     | + 1 pack year per year         | 0.00038 (0.00021)  | 0.067          |

GOLD: Global Initiative for Chronic Obstructive Pulmonary Disease; SE: standard error; BMI: body mass index; PRISm: preserved ratio impaired spirometry ( $FEV_1 < 80\%$  and  $FEV_1/FVC \geq 0.7$ )

Table S3. Multivariate linear mixed models using (log)FEV<sub>1</sub> as outcome. The model includes age, gender, race, BMI, smoking status, and pack years. By including an interaction with observation time, differences in the increase in FEV<sub>1</sub> per year were calculated. Models were stratified based on GOLD status and were corrected for study center.

| GOLD group    | Parameter   | Increment or comparison        | Estimate (SE)       | p-value        |
|---------------|---|--------------------------------|---------------------|----------------|
| <b>GOLD 0</b> |   |                                |                     |                |
|               | Age   | + 1 year                       | -0.012 (0.00056)    | < <b>0.001</b> |
|               | Gender, female                                    | Male                           | -0.34 (0.0080)      | < <b>0.001</b> |
|               | Race, African American                            | non-Hispanic white             | -0.14 (0.011)       | < <b>0.001</b> |
|               | BMI   | + 1 kg/m <sup>2</sup>          | -0.0039 (0.00071)   | < <b>0.001</b> |
|               | Smoking status, former smoker                     | Current smoker                 | -0.025 (0.0098)     | <b>0.010</b>   |
|               | Pack years  | + 1 pack year                  | -0.00019 (0.00021)  | 0.36           |
|               | Observation time                                  | + 1 year                       | -0.0085 (0.0049)    | 0.083          |
|               | Observation time * age                            | + 1 year per year              | -0.00020 (0.000066) | <b>0.0024</b>  |
|               | Observation time * gender, female                 | Male                           | -0.0011 (0.00095)   | 0.24           |
|               | Observation time * race, African American         | non-Hispanic white             | 0.00070 (0.0013)    | 0.59           |
|               | Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.00031 (0.000085)  | < <b>0.001</b> |
|               | Observation time * smoking status, former smoking | Current smoking                | -0.0031 (0.0012)    | <b>0.0079</b>  |
|               | Observation time * pack years                     | + 1 pack year per year         | -0.00074 (0.000024) | <b>0.0025</b>  |
| <b>PRISm</b>  |   |                                |                     |                |
|               | Age   | + 1 year                       | -0.011 (0.0015)     | < <b>0.001</b> |
|               | Gender, female                                    | Male                           | -0.35 (0.020)       | < <b>0.001</b> |
|               | Race, African American                            | non-Hispanic white             | -0.20 (0.024)       | < <b>0.001</b> |
|               | BMI   | + 1 kg/m <sup>2</sup>          | -0.0048 (0.0015)    | <b>0.0015</b>  |



|   |                                |                     |       |
|---|--------------------------------|---------------------|-------|
| Smoking status, former smoker                     | Current smoker                 | 0.0040 (0.024)      | 0.87  |
| Pack years  | + 1 pack year                  | -0.00087 (0.00047)  | 0.066 |
| Observation time                                  | + 1 year                       | -0.026 (0.018)      | 0.14  |
| Observation time * age                            | + 1 year per year              | 0.00026 (0.00023)   | 0.26  |
| Observation time * gender, female                 | Male                           | -0.0044 (0.0031)    | 0.16  |
| Observation time * race, African American         | non-Hispanic white             | 0.0056 (0.0037)     | 0.14  |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.00018 (0.00023)   | 0.45  |
| Observation time * smoking status, former smoking | Current smoking                | -0.00069 (0.0037)   | 0.85  |
| Observation time * pack years                     | + 1 pack year per year         | -0.00011 (0.000073) | 0.12  |

---

**GOLD 1**

|   |                                |                        |                   |
|---|--------------------------------|------------------------|-------------------|
| Age   | + 1 year                       | -0.012 (0.0013)        | <b>&lt; 0.001</b> |
| Gender, female                                    | Male                           | -0.39 (0.020)          | <b>&lt; 0.001</b> |
| Race, African American                            | non-Hispanic white             | -0.19 (0.030)          | <b>&lt; 0.001</b> |
| BMI   | + 1 kg/m <sup>2</sup>          | -0.0042 (0.0020)       | <b>0.038</b>      |
| Smoking status, former smoker                     | Current smoker                 | -0.014 (0.023)         | 0.55              |
| Pack years  | + 1 pack year                  | -0.00074 (0.00046)     | 0.11              |
| Observation time                                  | + 1 year                       | 0.00089 (0.015)        | 0.95              |
| Observation time * age                            | + 1 year per year              | -0.00035 (0.00019)     | 0.062             |
| Observation time * gender, female                 | Male                           | -0.0023 (0.0028)       | 0.40              |
| Observation time * race, African American         | non-Hispanic white             | 0.00041 (0.00041)      | 0.92              |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.00013 (0.00028)      | 0.65              |
| Observation time * smoking status, former smoking | Current smoking                | -0.0094 (0.0032)       | <b>0.0033</b>     |
| Observation time * pack years                     | + 1 pack year per year         | 0.000019<br>(0.000064) | 0.77              |

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**GOLD 2**

|   |                                |                     |                   |
|---|--------------------------------|---------------------|-------------------|
| Age   | + 1 year                       | -0.013 (0.0013)     | <b>&lt; 0.001</b> |
| Gender, female                                    | Male                           | -0.34 (0.019)       | <b>&lt; 0.001</b> |
| Race, African American                            | non-Hispanic white             | -0.18 (0.028)       | <b>&lt; 0.001</b> |
| BMI   | + 1 kg/m <sup>2</sup>          | -0.0012 (0.0017)    | 0.47              |
| Smoking status, former smoker                     | current smoker                 | 0.026 (0.022)       | 0.21              |
| Pack years  | + 1 pack year                  | -0.00033 (0.00040)  | 0.41              |
| Observation time                                  | + 1 year                       | -0.032 (0.017)      | 0.059             |
| Observation time * age                            | + 1 year per year              | -0.000012 (0.00021) | 0.96              |
| Observation time * gender, female                 | Male                           | 0.0020 (0.0029)     | 0.49              |
| Observation time * race, African American         | non-Hispanic white             | -0.0052 (0.0039)    | 0.18              |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.00043 (0.00026)   | 0.10              |
| Observation time * smoking status, former smoking | current smoking                | -0.0054 (0.0034)    | 0.12              |
| Observation time * pack years                     | + 1 pack year per year         | -0.000080           | 0.20              |

(0.000063)

**GOLD 3/4**

|   |                                |                     |                |
|---|--------------------------------|---------------------|----------------|
| Age   | + 1 year                       | -0.0084 (0.0021)    | < <b>0.001</b> |
| Gender, female                                    | Male                           | -0.33 (0.029)       | < <b>0.001</b> |
| Race, African American                            | non-Hispanic white             | -0.042 (0.040)      | 0.29           |
| BMI   | + 1 kg/m <sup>2</sup>          | 0.0069 (0.0024)     | <b>0.0036</b>  |
| Smoking status, former smoker                     | Current smoker                 | 0.091 (0.033)       | <b>0.0066</b>  |
| Pack years  | + 1 pack year                  | -0.00040 (0.00060)  | 0.51           |
| Observation time                                  | + 1 year                       | -0.11 (0.024)       | < <b>0.001</b> |
| Observation time * age                            | + 1 year per year              | 0.00077 (0.00031)   | <b>0.015</b>   |
| Observation time * gender, male                   | Female                         | 0.00044 (0.0045)    | 0.92           |
| Observation time * race, African American         | non-Hispanic white             | 0.0017 (0.0061)     | 0.78           |
| Observation time * BMI                            | + 1 kg/m <sup>2</sup> per year | 0.0013 (0.00036)    | < <b>0.001</b> |
| Observation time * smoking status, former smoking | Current smoking                | 0.0058 (0.0051)     | 0.26           |
| Observation time * pack years                     | + 1 pack year per year         | -0.00012 (0.000091) | 0.21           |

GOLD: Global Initiative for Chronic Obstructive Pulmonary Disease; BMI: body mass index; PRISm: preserved ratio impaired spirometry ( $FEV_1 < 80\%$  and  $FEV_1/FVC \geq 0.7$ )

Table S4. Multivariate results of logistic regression models with emphysema progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their emphysema changed beyond the 95<sup>th</sup> percentile of change observed in nonsmokers (0.25% emphysema progression per year). Similarly, the presence of baseline emphysema was defined as having more than the 95<sup>th</sup> percentile in nonsmokers (>1.31%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 108 (7.6%) emphysema progressors, in GOLD 1 78 (29.2%), in GOLD 2 293 (47.6%), in GOLD 3/4 257 (64.6%), and 18 (5.9%) in subjects with Preserved Ratio Impaired Spirometry (PRISm). The low number of subjects progressing in PRISm did not allow for multivariate comparisons. These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>.

| GOLD group      | Parameter                     | Increment or comparison | OR (95% CI)         | p-value        |
|-----------------|-------------------------------|-------------------------|---------------------|----------------|
| <b>GOLD 0</b>   |                               |                         |                     |                |
|                 | Emphysema present             | Emphysema not present   | 4.32 (2.30 – 8.14)  | < <b>0.001</b> |
|                 | fSAD                          | + 1%                    | 1.06 (1.04 – 1.09)  | < <b>0.001</b> |
|                 | fSAD, no baseline emphysema   | + 1%                    | 1.06 (1.02 – 1.10)  | <b>0.006</b>   |
|                 | fSAD, with baseline emphysema | + 1%                    | 1.02 (0.99 – 1.04)  | 0.15           |
|                 | 6MWD                          | + 100m                  | 0.99 (0.99 – 0.99)  | <b>0.007</b>   |
|                 | SGRQ                          | + 1 unit                | 1.02 (1.00 – 1.03)  | <b>0.04</b>    |
|                 | MMRC                          | + 1 unit                | 1.26 (1.01 – 1.56)  | <b>0.04</b>    |
|                 | Exacerbations                 | No exacerbations        | 1.98 (1.32 – 2.96)  | < <b>0.001</b> |
| <b>GOLD 1</b>   |                               |                         |                     |                |
|                 | Emphysema present             | Emphysema not present   | 5.16 (2.89 – 9.22)  | < <b>0.001</b> |
|                 | fSAD                          | + 1%                    | 1.06 (1.03 – 1.10)  | < <b>0.001</b> |
|                 | fSAD, no baseline emphysema   | + 1%                    | 1.17 (1.09 – 1.26)  | < <b>0.001</b> |
|                 | fSAD, with baseline emphysema | + 1%                    | 0.99 (0.94 – 1.04)  | 0.63           |
|                 | 6MWD                          | + 100m                  | 0.99 (0.99 – 0.99)  | < <b>0.001</b> |
|                 | SGRQ                          | + 1 unit                | 1.03 (1.01 – 1.05)  | <b>0.004</b>   |
|                 | MMRC                          | + 1 unit                | 1.16 (0.87 – 1.56)  | 0.31           |
|                 | Exacerbations                 | No exacerbations        | 1.70 (0.82 – 3.54)  | 0.15           |
| <b>GOLD 2</b>   |                               |                         |                     |                |
|                 | Emphysema present             | Emphysema not present   | 5.69 (2.48 – 13.06) | < <b>0.001</b> |
|                 | fSAD                          | + 1%                    | 1.04 (1.01 – 1.06)  | <b>0.003</b>   |
|                 | fSAD, no baseline emphysema   | + 1%                    | 1.04 (0.98 – 1.10)  | 0.16           |
|                 | fSAD, with baseline emphysema | + 1%                    | 0.99 (0.98 – 1.01)  | 0.40           |
|                 | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00)  | 0.49           |
|                 | SGRQ                          | + 1 unit                | 1.01 (1.00 – 1.02)  | 0.06           |
|                 | MMRC                          | + 1 unit                | 1.13 (0.97 – 1.30)  | 0.11           |
|                 | Exacerbations                 | No exacerbations        | 1.20 (0.99 – 1.46)  | 0.06           |
| <b>GOLD 3/4</b> |                               |                         |                     |                |
|                 | Emphysema present             | Emphysema not present   | 5.55 (2.26 – 13.58) | < <b>0.001</b> |
|                 | fSAD                          | + 1%                    | 1.02 (0.99 – 1.04)  | 0.16           |
|                 | fSAD, no baseline emphysema   | + 1%                    | 1.08 (1.01 – 1.15)  | <b>0.03</b>    |
|                 | fSAD, with baseline emphysema | + 1%                    | 0.99 (0.97 – 1.01)  | 0.37           |
|                 | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00)  | 0.08           |
|                 | SGRQ                          | + 1 unit                | 1.01 (1.00 – 1.03)  | 0.15           |

|               |                  |                    |             |
|---------------|------------------|--------------------|-------------|
| MMRC          | + 1 unit         | 1.22 (1.00 – 1.49) | 0.05        |
| Exacerbations | No exacerbations | 1.52 (1.09 – 2.11) | <b>0.01</b> |

GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George’s Respiratory Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S5. Multivariate results of logistic regression models with FEV<sub>1</sub> progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their FEV<sub>1</sub> changed beyond the 95<sup>th</sup> percentile of change observed in nonsmokers (89 mL decrease in FEV<sub>1</sub> per year). Similarly, the presence of baseline emphysema was defined as having more than the 95<sup>th</sup> percentile in nonsmokers (>1.31%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 205 (14.3%) FEV<sub>1</sub> progressors, in GOLD 1 67 (25.1%), and in GOLD 2 172 (27.9%). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>. Multivariate analyses were not performed in GOLD 3/4 and PRISm subjects because of the small number of FEV<sub>1</sub> progressors (n = 44 and n = 24, respectively).

| GOLD group    | Parameter                   | Increment or comparison | OR (95% CI)        | p-value           |
|---------------|-----------------------------|-------------------------|--------------------|-------------------|
| <b>GOLD 0</b> |                             |                         |                    |                   |
|               | fSAD                        | + 1%                    | 1.02 (0.99 – 1.05) | 0.12              |
|               | fSAD, no baseline emphysema | + 1%                    | 1.03 (0.99 – 1.06) | 0.14              |
|               | Emphysema present           | Emphysema not present   | 1.27 (0.89 – 1.79) | 0.19              |
|               | 6MWD                        | + 100m                  | 1.00 (1.00 – 1.00) | 0.34              |
|               | SGRQ                        | + 1 unit                | 1.01 (1.01 – 1.02) | <b>&lt; 0.001</b> |
|               | MMRC                        | + 1 unit                | 1.09 (0.97 – 1.22) | 0.17              |

|                             |                       |                    |                   |
|-----------------------------|-----------------------|--------------------|-------------------|
| Exacerbations               | No exacerbations      | 1.44 (0.82 – 2.56) | 0.21              |
| <b>GOLD 1</b>               |                       |                    |                   |
| fSAD                        | + 1%                  | 1.05 (1.01 – 1.09) | <b>0.02</b>       |
| fSAD, no baseline emphysema | + 1%                  | 1.01 (0.97 – 1.06) | 0.58              |
| Emphysema present           | Emphysema not present | 3.32 (1.49 – 4.59) | <b>0.003</b>      |
| 6MWD                        | + 100m                | 1.00 (1.00 – 1.00) | 0.78              |
| SGRQ                        | + 1 unit              | 1.02 (1.00 – 1.03) | 0.07              |
| MMRC                        | + 1 unit              | 1.35 (1.11 – 1.64) | <b>0.002</b>      |
| Exacerbations               | No exacerbations      | 1.76 (0.66 – 4.71) | 0.26              |
| <b>GOLD 2</b>               |                       |                    |                   |
| fSAD                        | + 1%                  | 1.07 (1.06 – 1.09) | <b>&lt; 0.001</b> |
| fSAD, no baseline emphysema | + 1%                  | 1.06 (1.04 – 1.08) | <b>&lt; 0.001</b> |
| Emphysema present           | Emphysema not present | 4.09 (2.44 – 6.86) | <b>&lt; 0.001</b> |
| 6MWD                        | + 100m                | 1.00 (1.00 – 1.00) | 0.06              |
| SGRQ                        | + 1 unit              | 1.00 (0.99 – 1.02) | 0.43              |
| MMRC                        | + 1 unit              | 0.98 (0.80 – 1.20) | 0.86              |
| Exacerbations               | No exacerbations      | 1.29 (0.79 – 2.11) | 0.31              |

## Sensitivity analysis

Table S6. Multivariate results of logistic regression models with emphysema progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their emphysema changed beyond the 90<sup>th</sup> percentile of change observed in nonsmokers (0.14% emphysema progression per year). Similarly, the presence of baseline emphysema was defined as having more than the 90<sup>th</sup> percentile in nonsmokers (>0.80%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 195 (13.6%) emphysema progressors, in GOLD 1 109 (40.8%), in GOLD 2 363 (58.9%), in GOLD 3/4 285 (71.6%), and 44 (14.4%) in subjects with Preserved Ratio Impaired Spirometry (PRISm). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>.

| GOLD group    | Parameter                     | Increment or comparison | OR (95% CI)         | p-value        |
|---------------|-------------------------------|-------------------------|---------------------|----------------|
| <b>GOLD 0</b> |                               |                         |                     |                |
|               | Emphysema present             | Emphysema not present   | 3.02 (1.57 – 5.82)  | < <b>0.001</b> |
|               | fSAD                          | + 1%                    | 1.05 (1.03 – 1.08)  | < <b>0.001</b> |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.10 (1.05 – 1.16)  | < <b>0.001</b> |
|               | fSAD, with baseline emphysema | + 1%                    | 1.00 (0.97 – 1.02)  | 0.79           |
|               | 6MWD                          | + 100m                  | 0.99 (0.99 – 0.99)  | <b>0.01</b>    |
|               | SGRQ                          | + 1 unit                | 1.02 (1.01 – 1.03)  | <b>0.001</b>   |
|               | MMRC                          | + 1 unit                | 1.13 (0.99 – 1.29)  | 0.06           |
|               | Exacerbations                 | No exacerbations        | 1.51 (1.03 – 2.19)  | <b>0.03</b>    |
| <b>PRISm</b>  |                               |                         |                     |                |
|               | Emphysema present             | Emphysema not present   | 5.73 (1.98 – 16.61) | <b>0.001</b>   |
|               | fSAD                          | + 1%                    | 1.11 (1.05 – 1.18)  | < <b>0.001</b> |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.20 (1.05 – 1.38)  | <b>0.007</b>   |
|               | fSAD, with baseline emphysema | + 1%                    | 0.98 (0.88 – 1.10)  | 0.77           |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00)  | 0.13           |
|               | SGRQ                          | + 1 unit                | 1.01 (0.99 – 1.02)  | 0.50           |
|               | MMRC                          | + 1 unit                | 1.11 (0.78 – 1.58)  | 0.57           |
|               | Exacerbations                 | No exacerbations        | 1.75 (1.06 – 2.87)  | <b>0.03</b>    |
| <b>GOLD 1</b> |                               |                         |                     |                |
|               | Emphysema present             | Emphysema not present   | 1.74 (0.64 – 4.68)  | < <b>0.001</b> |
|               | fSAD                          | + 1%                    | 1.03 (1.00 – 1.08)  | 0.06           |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.15 (1.01 – 1.32)  | <b>0.04</b>    |
|               | fSAD, with baseline emphysema | + 1%                    | 1.01 (0.97 – 1.06)  | 0.53           |
|               | 6MWD                          | + 100m                  | 0.99 (0.99 – 0.99)  | < <b>0.001</b> |
|               | SGRQ                          | + 1 unit                | 1.03 (1.01 – 1.05)  | <b>0.009</b>   |
|               | MMRC                          | + 1 unit                | 1.21 (0.89 – 1.64)  | 0.23           |
|               | Exacerbations                 | No exacerbations        | 1.41 (0.77 – 2.57)  | 0.26           |
| <b>GOLD 2</b> |                               |                         |                     |                |
|               | Emphysema present             | Emphysema not present   | 4.62 (1.63 – 13.13) | <b>0.004</b>   |
|               | fSAD                          | + 1%                    | 1.03 (1.00 – 1.06)  | <b>0.02</b>    |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.03 (0.98 – 1.09)  | 0.25           |
|               | fSAD, with baseline emphysema | + 1%                    | 1.00 (0.99 – 1.01)  | 0.57           |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00)  | 0.91           |
|               | SGRQ                          | + 1 unit                | 1.00 (0.99 – 1.01)  | 0.73           |
|               | MMRC                          | + 1 unit                | 1.04 (0.93 – 1.16)  | 0.49           |

|                 |                               |                       |                    |             |
|-----------------|-------------------------------|-----------------------|--------------------|-------------|
|                 | Exacerbations                 | No exacerbations      | 1.03 (0.89 – 1.21) | 0.71        |
| <b>GOLD 3/4</b> |                               |                       |                    |             |
|                 | Emphysema present             | Emphysema not present | 3.50 (1.24 – 9.88) | <b>0.02</b> |
|                 | fSAD                          | + 1%                  | 1.01 (0.99 – 1.03) | 0.39        |
|                 | fSAD, no baseline emphysema   | + 1%                  | 1.06 (0.95 – 1.18) | 0.29        |
|                 | fSAD, with baseline emphysema | + 1%                  | 0.99 (0.97 – 1.01) | 0.46        |
|                 | 6MWD                          | + 100m                | 1.00 (1.00 – 1.00) | 0.11        |
|                 | SGRQ                          | + 1 unit              | 1.01 (1.00 – 1.02) | 0.15        |
|                 | MMRC                          | + 1 unit              | 1.14 (1.00 – 1.30) | 0.06        |
|                 | Exacerbations                 | No exacerbations      | 1.30 (1.00 – 1.68) | 0.05        |

GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George’s Respiratory Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S7. Multivariate results of logistic regression models with emphysema progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their emphysema changed beyond the 97.5<sup>th</sup> percentile of change observed in nonsmokers (0.45% emphysema progression per year). Similarly, the presence of baseline emphysema was defined as having more than the 97.5<sup>th</sup> percentile in nonsmokers (>1.72%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 53 (3.7%) emphysema progressors, in GOLD 1 53 (19.9%), in GOLD 2 208 (33.8%), in GOLD 3/4 216 (51.0%), and 4 (1.3%) in subjects with Preserved Ratio Impaired Spirometry (PRISm). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>. The low number of subjects progressing in PRISm did not allow for multivariate comparisons.

| GOLD group    | Parameter         | Increment or comparison | OR (95% CI)         | p-value           |
|---------------|-------------------|-------------------------|---------------------|-------------------|
| <b>GOLD 0</b> | Emphysema present | Emphysema not present   | 11.1 (5.71 – 21.68) | <b>&lt; 0.001</b> |

|                               |                  |                    |                   |
|-------------------------------|------------------|--------------------|-------------------|
| fSAD                          | + 1%             | 1.09 (1.06 – 1.12) | <b>&lt; 0.001</b> |
| fSAD, no baseline emphysema   | + 1%             | 1.06 (1.00 – 1.12) | 0.067             |
| fSAD, with baseline emphysema | + 1%             | 1.04 (1.00 – 1.08) | 0.056             |
| 6MWD                          | + 100m           | 0.99 (0.99 – 0.99) | <b>0.009</b>      |
| SGRQ                          | + 1 unit         | 1.04 (1.01 – 1.07) | <b>0.007</b>      |
| MMRC                          | + 1 unit         | 1.59 (1.10 – 2.30) | <b>0.01</b>       |
| Exacerbations                 | No exacerbations | 2.29 (1.22 – 4.28) | <b>0.01</b>       |

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**GOLD 1**

|                               |                       |                     |                   |
|-------------------------------|-----------------------|---------------------|-------------------|
| Emphysema present             | Emphysema not present | 6.38 (2.76 – 14.74) | <b>&lt; 0.001</b> |
| fSAD                          | + 1%                  | 1.05 (1.01 – 1.10)  | <b>0.01</b>       |
| fSAD, no baseline emphysema   | + 1%                  | 1.13 (1.03 – 1.24)  | <b>0.007</b>      |
| fSAD, with baseline emphysema | + 1%                  | 0.96 (0.90 – 1.03)  | 0.27              |
| 6MWD                          | + 100m                | 0.99 (0.99 – 0.99)  | <b>&lt; 0.001</b> |
| SGRQ                          | + 1 unit              | 1.04 (1.02 – 1.06)  | <b>&lt; 0.001</b> |
| MMRC                          | + 1 unit              | 1.24 (0.93 – 1.64)  | 0.14              |
| Exacerbations                 | No exacerbations      | 1.73 (0.57 – 5.21)  | 0.33              |

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**GOLD 2**

|                               |                       |                     |                   |
|-------------------------------|-----------------------|---------------------|-------------------|
| Emphysema present             | Emphysema not present | 12.9 (5.06 – 32.90) | <b>&lt; 0.001</b> |
| fSAD                          | + 1%                  | 1.05 (1.02 – 1.07)  | <b>&lt; 0.001</b> |
| fSAD, no baseline emphysema   | + 1%                  | 1.02 (0.98 – 1.06)  | 0.34              |
| fSAD, with baseline emphysema | + 1%                  | 1.00 (0.98 – 1.01)  | 0.69              |
| 6MWD                          | + 100m                | 1.00 (1.00 – 1.00)  | 0.80              |
| SGRQ                          | + 1 unit              | 1.02 (1.00 – 1.03)  | 0.01              |
| MMRC                          | + 1 unit              | 1.24 (1.10 – 1.41)  | <b>&lt; 0.001</b> |
| Exacerbations                 | No exacerbations      | 1.43 (1.04 – 1.95)  | <b>0.03</b>       |

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**GOLD 3/4**

|                               |                       |                      |                  |
|-------------------------------|-----------------------|----------------------|------------------|
| Emphysema present             | Emphysema not present | 12.36 (4.29 – 35.59) | <b>&lt;0.001</b> |
| fSAD                          | + 1%                  | 1.03 (1.03 – 1.05)   | <b>0.02</b>      |
| fSAD, no baseline emphysema   | + 1%                  | 1.00 (0.91 – 1.10)   | 0.95             |
| fSAD, with baseline emphysema | + 1%                  | 1.00 (0.98 – 1.02)   | 0.73             |
| 6MWD                          | + 100m                | 1.00 (1.00 – 1.00)   | 0.12             |
| SGRQ                          | + 1 unit              | 1.01 (1.00 – 1.03)   | 0.14             |
| MMRC                          | + 1 unit              | 1.27 (0.99 – 1.63)   | 0.06             |
| Exacerbations                 | No exacerbations      | 1.66 (1.16 – 2.38)   | <b>0.006</b>     |

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GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire





Table S8. Multivariate results of logistic regression models with FEV<sub>1</sub> progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their FEV<sub>1</sub> changed beyond the 90<sup>th</sup> percentile of change observed in nonsmokers (76 mL per year). Similarly, the presence of baseline emphysema was defined as having more than the 90<sup>th</sup> percentile in nonsmokers (>0.80%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 304 (21.3%) FEV<sub>1</sub> progressors, in GOLD 1 95 (35.6%), in GOLD 2 238 (38.6%), in GOLD 3/4 66 (16.6%), and 34 (11.1%) in subjects with Preserved Ratio Impaired Spirometry (PRISm). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>. The low number of subjects progressing in GOLD 3/4 did not allow for multivariate comparisons.

| GOLD group    | Parameter                   | Increment or comparison | OR (95% CI)        | p-value           |
|---------------|-----------------------------|-------------------------|--------------------|-------------------|
| <b>GOLD 0</b> |                             |                         |                    |                   |
|               | fSAD                        | + 1%                    | 1.03 (1.01 – 1.05) | <b>&lt; 0.001</b> |
|               | fSAD, no baseline emphysema | + 1%                    | 1.04 (1.01 – 1.07) | <b>0.009</b>      |
|               | Emphysema present           | Emphysema not present   | 1.25 (1.02 – 1.55) | <b>0.04</b>       |
|               | 6MWD                        | + 100m                  | 1.00 (1.00 – 1.00) | 0.73              |
|               | SGRQ                        | + 1 unit                | 1.02 (1.01 – 1.02) | <b>&lt; 0.001</b> |
|               | MMRC                        | + 1 unit                | 1.14 (1.03 – 1.25) | <b>0.01</b>       |
|               | Exacerbations               | No exacerbations        | 1.35 (0.80 – 2.28) | 0.26              |
| <b>PRISm</b>  |                             |                         |                    |                   |
|               | fSAD                        | + 1%                    | 1.00 (0.95 – 1.06) | 0.97              |
|               | fSAD, no baseline emphysema | + 1%                    | 1.05 (0.96 – 1.14) | 0.31              |
|               | Emphysema present           | Emphysema not present   | 0.81 (0.34 – 1.94) | 0.64              |
|               | 6MWD                        | + 100m                  | 1.00 (1.00 – 1.00) | 0.69              |
|               | SGRQ                        | + 1 unit                | 1.00 (0.98 – 1.02) | 0.92              |
|               | MMRC                        | + 1 unit                | 1.19 (0.86 – 1.65) | 0.28              |
|               | Exacerbations               | No exacerbations        | 1.74 (0.76 – 3.99) | 0.19              |

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**GOLD 1**

|                             |                       |                    |                   |
|-----------------------------|-----------------------|--------------------|-------------------|
| fSAD                        | + 1%                  | 1.04 (1.00 – 1.07) | <b>0.04</b>       |
| fSAD, no baseline emphysema | + 1%                  | 1.03 (0.96 – 1.11) | 0.44              |
| Emphysema present           | Emphysema not present | 2.48 (1.55 – 3.98) | <b>&lt; 0.001</b> |
| 6MWD                        | + 100m                | 1.00 (1.00 – 1.00) | 0.78              |
| SGRQ                        | + 1 unit              | 1.01 (1.00 – 1.02) | 0.16              |
| MMRC                        | + 1 unit              | 1.34 (1.07 – 1.67) | <b>0.01</b>       |
| Exacerbations               | No exacerbations      | 1.77 (0.83 – 3.77) | 0.14              |

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**GOLD 2**

|                             |                       |                    |                   |
|-----------------------------|-----------------------|--------------------|-------------------|
| fSAD                        | + 1%                  | 1.06 (1.04 – 1.08) | <b>&lt; 0.001</b> |
| fSAD, no baseline emphysema | + 1%                  | 0.99 (0.91 – 1.09) | 0.85              |
| Emphysema present           | Emphysema not present | 4.47 (2.53 – 7.91) | <b>&lt; 0.001</b> |
| 6MWD                        | + 100m                | 1.00 (1.00 – 1.00) | <b>0.04</b>       |
| SGRQ                        | + 1 unit              | 1.01 (1.01 – 1.02) | <b>&lt; 0.001</b> |
| MMRC                        | + 1 unit              | 1.05 (0.91 – 1.23) | 0.49              |
| Exacerbations               | No exacerbations      | 1.74 (1.29 – 2.34) | <b>&lt; 0.001</b> |

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GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD:

functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory

Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S9. Multivariate results of logistic regression models with FEV<sub>1</sub> progression (yes/no) as outcome and clinical variables (fSAD, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their FEV<sub>1</sub> changed beyond the 97.5<sup>th</sup> percentile of change observed in nonsmokers (100 mL per year). Similarly, the presence of baseline emphysema was defined as having more than the 97.5<sup>th</sup> percentile in nonsmokers (>1.72%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 148 (10.3%) FEV<sub>1</sub> progressors, in GOLD 1 49 (18.4%), and in GOLD 2 123 (20.0%). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>. Multivariate analyses were not performed in GOLD 3/4 and PRISm subjects because of the small number of FEV<sub>1</sub> progressors (n = 30 and n = 17, respectively).

| GOLD group    | Parameter                   | Increment or comparison | OR (95% CI)        | p-value      |
|---------------|-----------------------------|-------------------------|--------------------|--------------|
| <b>GOLD 0</b> |                             |                         |                    |              |
|               | fSAD                        | + 1%                    | 1.02 (0.99 – 1.05) | 0.15         |
|               | fSAD, no baseline emphysema | + 1%                    | 1.03 (0.99 – 1.07) | 0.17         |
|               | Emphysema present           | Emphysema not present   | 1.25 (0.73 – 2.15) | 0.42         |
|               | 6MWD                        | + 100m                  | 1.00 (1.00 – 1.00) | 0.10         |
|               | SGRQ                        | + 1 unit                | 1.01 (1.01 – 1.02) | <b>0.001</b> |
|               | MMRC                        | + 1 unit                | 1.02 (0.86 – 1.22) | 0.82         |
|               | Exacerbations               | No exacerbations        | 1.52 (0.95 – 2.43) | 0.08         |
| <b>GOLD 1</b> |                             |                         |                    |              |
|               | fSAD                        | + 1%                    | 1.06 (1.01 – 1.10) | <b>0.01</b>  |
|               | fSAD, no baseline emphysema | + 1%                    | 1.08 (1.00 – 1.16) | 0.05         |
|               | Emphysema present           | Emphysema not present   | 2.48 (1.30 – 4.74) | <b>0.006</b> |
|               | 6MWD                        | + 100m                  | 1.00 (1.00 – 1.00) | 0.22         |
|               | SGRQ                        | + 1 unit                | 1.02 (1.00 – 1.04) | 0.10         |
|               | MMRC                        | + 1 unit                | 1.44 (1.12 – 1.87) | <b>0.005</b> |
|               | Exacerbations               | No exacerbations        | 1.58 (0.50 – 4.99) | 0.44         |
| <b>GOLD 2</b> |                             |                         |                    |              |

|                             |                       |                      |                |
|-----------------------------|-----------------------|----------------------|----------------|
| fSAD                        | + 1%                  | 1.05 (1.02 – 1.07)   | < <b>0.001</b> |
| fSAD, no baseline emphysema | + 1%                  | 1.02 (0.98 – 1.06)   | 0.34           |
| Emphysema present           | Emphysema not present | 12.90 (5.06 – 32.90) | < <b>0.001</b> |
| 6MWD                        | + 100m                | 1.00 (1.00 – 1.00)   | 0.80           |
| SGRQ                        | + 1 unit              | 1.02 (1.00 – 1.03)   | <b>0.005</b>   |
| MMRC                        | + 1 unit              | 1.24 (1.10 – 1.41)   | < <b>0.001</b> |
| Exacerbations               | No exacerbations      | 1.43 (1.04 – 1.95)   | <b>0.03</b>    |

GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD:

functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory

Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S10. Multivariate results of logistic regression models with FEV<sub>1</sub> progression (yes/no) as outcome and clinical variables (fSAD, emphysema, 6MWD, SGRQ, MMRC, and exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their FEV<sub>1</sub> declined by 2% per year, for a total decline of approximately 10% from baseline over the 5 years of the study. The presence of baseline emphysema was defined as having more than the 95<sup>th</sup> percentile in nonsmokers (>1.31%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 495 (35.0%) FEV<sub>1</sub> progressors, in PRISm 87 (29.1%), in GOLD 1 129 (49.0%), in GOLD 2 309 (51.2%), and GOLD 3/4 226 (57.7%). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>.

| GOLD group    | Parameter                     | Increment or comparison | OR (95% CI)        | p-value        |
|---------------|-------------------------------|-------------------------|--------------------|----------------|
| <b>GOLD 0</b> |                               |                         |                    |                |
|               | fSAD                          | + 1%                    | 1.02 (1.01 – 1.03) | < <b>0.001</b> |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.02 (1.00 – 1.04) | <b>0.026</b>   |
|               | fSAD, with baseline emphysema | + 1%                    | 1.01 (0.97 – 1.05) | 0.67           |
|               | Emphysema present             | Emphysema not present   | 1.34 (1.08 – 1.66) | <b>0.008</b>   |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00) | <b>0.027</b>   |
|               | SGRQ                          | + 1 unit                | 1.01 (1.01 – 1.02) | < <b>0.001</b> |
|               | MMRC                          | + 1 unit                | 1.05 (0.96 – 1.16) | 0.29           |
|               | Exacerbations                 | No exacerbations        | 1.25 (0.94 – 1.66) | 0.13           |
| <b>PRISm</b>  |                               |                         |                    |                |
|               | fSAD                          | + 1%                    | 1.03 (1.00 – 1.06) | 0.085          |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.06 (1.02 – 1.10) | <b>0.0033</b>  |
|               | fSAD, with baseline emphysema | + 1%                    | 0.92 (0.83 – 1.03) | 0.13           |
|               | Emphysema present             | Emphysema not present   | 1.00 (0.48 – 2.08) | 0.99           |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00) | 0.23           |
|               | SGRQ                          | + 1 unit                | 1.00 (0.99 – 1.01) | 0.98           |

|                               |                       |                    |                   |
|-------------------------------|-----------------------|--------------------|-------------------|
| MMRC                          | + 1 unit              | 1.10 (0.97 – 1.25) | 0.12              |
| Exacerbations                 | No exacerbations      | 1.55 (1.06 – 2.26) | <b>0.024</b>      |
| <b>GOLD 1</b>                 |                       |                    |                   |
| fSAD                          | + 1%                  | 1.02 (0.99 – 1.06) | 0.14              |
| fSAD, no baseline emphysema   | + 1%                  | 1.05 (1.00 – 1.10) | 0.069             |
| fSAD, with baseline emphysema | + 1%                  | 0.98 (0.92 – 1.05) | 0.64              |
| Emphysema present             | Emphysema not present | 1.59 (0.89 – 2.86) | 0.12              |
| 6MWD                          | + 100m                | 1.00 (1.00 – 1.00) | <b>0.0048</b>     |
| SGRQ                          | + 1 unit              | 1.01 (1.00 – 1.03) | 0.074             |
| MMRC                          | + 1 unit              | 1.19 (0.96 – 1.48) | 0.12              |
| Exacerbations                 | No exacerbations      | 1.69 (0.80 – 3.59) | 0.17              |
| <b>GOLD 2</b>                 |                       |                    |                   |
| fSAD                          | + 1%                  | 1.07 (1.05 - 1.08) | <b>&lt; 0.001</b> |
| fSAD, no baseline emphysema   | + 1%                  | 1.04 (1.01 - 1.07) | <b>0.0026</b>     |
| fSAD, with baseline emphysema | + 1%                  | 1.05 (1.03 - 1.07) | <b>&lt; 0.001</b> |
| Emphysema present             | Emphysema not present | 3.55 (2.63 - 4.78) | <b>&lt; 0.001</b> |
| 6MWD                          | + 100m                | 1.00 (1.00 - 1.00) | <b>0.0024</b>     |
| SGRQ                          | + 1 unit              | 1.01 (1.01 - 1.02) | <b>&lt; 0.001</b> |
| MMRC                          | + 1 unit              | 1.14 (1.03 - 1.26) | <b>0.010</b>      |
| Exacerbations                 | No exacerbations      | 1.65 (1.23 - 2.20) | <b>&lt; 0.001</b> |
| <b>GOLD 3/4</b>               |                       |                    |                   |
| fSAD                          | + 1%                  | 1.02 (1.00 - 1.03) | <b>0.019</b>      |
| fSAD, no baseline emphysema   | + 1%                  | 1.04 (0.99 - 1.09) | 0.13              |
| fSAD, with baseline emphysema | + 1%                  | 1.00 (0.98 - 1.02) | 0.72              |
| Emphysema present             | Emphysema not present | 2.29 (1.11 - 4.74) | <b>0.025</b>      |
| 6MWD                          | + 100m                | 1.00 (1.00 - 1.00) | <b>&lt; 0.001</b> |
| SGRQ                          | + 1 unit              | 1.00 (0.99 - 1.02) | 0.57              |
| MMRC                          | + 1 unit              | 1.19 (0.97 - 1.45) | 0.10              |
| Exacerbations                 | No exacerbations      | 0.78 (0.47 - 1.28) | 0.33              |

GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S11. Multivariate results of logistic regression models with FEV<sub>1</sub> progression (yes/no) as outcome and clinical variables (fSAD, emphysema, 6MWD, SGRQ, MMRC, and

exacerbations) included as predictors. Subjects were defined as ‘progressing’ when their FEV<sub>1</sub> declined by 4% per year, for a total decline of approximately 20% from baseline over the 5 years of the study. The presence of baseline emphysema was defined as having more than the 95<sup>th</sup> percentile in nonsmokers (>1.31%). All models were adjusted for age, gender, race, BMI, smoking status, and pack years. Bold numbers denote p-values < 0.05. Note that these p-values are not adjusted for multiple comparisons. In GOLD 0 there were 107 (7.6%) FEV<sub>1</sub> progressors, in GOLD 2 178 (29.5%), and GOLD 3/4 139 (35.5%). These totals included subjects who progressed both in emphysema and FEV<sub>1</sub>. The number of subjects progressing in the PRISm and GOLD 1 group did not allow for multivariate analyses (n = 29 and n = 45, respectively).

| GOLD group    | Parameter                     | Increment or comparison | OR (95% CI)        | p-value           |
|---------------|-------------------------------|-------------------------|--------------------|-------------------|
| <b>GOLD 0</b> |                               |                         |                    |                   |
|               | fSAD                          | + 1%                    | 1.01 (0.98 – 1.04) | 0.69              |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.01 (0.96 – 1.07) | 0.64              |
|               | fSAD, with baseline emphysema | + 1%                    | 1.03 (0.97 – 1.09) | 0.27              |
|               | Emphysema present             | Emphysema not present   | 0.73 (0.46 – 1.16) | 0.19              |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 – 1.00) | <b>0.038</b>      |
|               | SGRQ                          | + 1 unit                | 1.02 (1.01 – 1.04) | <b>&lt; 0.001</b> |
|               | MMRC                          | + 1 unit                | 1.12 (0.94 – 1.34) | 0.20              |
|               | Exacerbations                 | No exacerbations        | 1.47 (0.93 – 2.34) | 0.10              |
| <b>GOLD 2</b> |                               |                         |                    |                   |
|               | fSAD                          | + 1%                    | 1.08 (1.06 - 1.09) | <b>&lt; 0.001</b> |
|               | fSAD, no baseline emphysema   | + 1%                    | 1.02 (0.97 - 1.07) | 0.37              |
|               | fSAD, with baseline emphysema | + 1%                    | 1.06 (1.04 - 1.08) | <b>&lt; 0.001</b> |
|               | Emphysema present             | Emphysema not present   | 5.77 (3.80 - 8.77) | <b>&lt; 0.001</b> |
|               | 6MWD                          | + 100m                  | 1.00 (1.00 - 1.00) | <b>0.0022</b>     |
|               | SGRQ                          | + 1 unit                | 1.01 (1.01 - 1.02) | <b>&lt; 0.001</b> |
|               | MMRC                          | + 1 unit                | 1.10 (0.97 - 1.24) | 0.14              |



|                               |                       |                    |                |
|-------------------------------|-----------------------|--------------------|----------------|
| Exacerbations                 | No exacerbations      | 1.99 (1.59 - 2.50) | < <b>0.001</b> |
| <b>GOLD 3/4</b>               |                       |                    |                |
| fSAD                          | + 1%                  | 1.02 (1.01 - 1.03) | < <b>0.001</b> |
| fSAD, no baseline emphysema   | + 1%                  | 1.04 (1.00 - 1.09) | <b>0.043</b>   |
| fSAD, with baseline emphysema | + 1%                  | 1.01 (0.99 - 1.03) | 0.17           |
| Emphysema present             | Emphysema not present | 1.90 (0.79 - 4.56) | 0.15           |
| 6MWD                          | + 100m                | 1.00 (1.00 - 1.00) | 0.77           |
| SGRQ                          | + 1 unit              | 1.01 (0.99 - 1.02) | 0.31           |
| MMRC                          | + 1 unit              | 1.06 (0.94 - 1.20) | 0.34           |
| Exacerbations                 | No exacerbations      | 1.15 (0.73 - 1.81) | 0.56           |

GOLD: Global Initiative for Obstructive Lung Disease; OR: odds ratio; CI: confidence interval; fSAD:

functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory

Questionnaire; MMRC: British modified Medical Research Council dyspnea questionnaire

Table S12. List of robust parameters with significant associations in all sensitivity analyses.

| GOLD group      | Parameter                   |
|-----------------|-----------------------------|
| <b>GOLD 0</b>   | 6MWD                        |
|                 | SGRQ                        |
| <b>PRISm</b>    | fSAD, no baseline emphysema |
|                 | Exacerbations               |
| <b>GOLD 1</b>   | 6MWD                        |
| <b>GOLD 2</b>   | fSAD                        |
|                 | Emphysema present           |
|                 | Exacerbations               |
| <b>GOLD 3/4</b> | -                           |

GOLD: Global Initiative for Obstructive Lung Disease; fSAD: functional small airways disease; 6MWD: six-minute walking distance; SGRQ: St. George's Respiratory Questionnaire

## Voxel analysis

Table S13. Percentage of voxels shifting from Phase 1 to Phase 2 in GOLD 0 subjects. The table is stratified by progression status (no progression, progression in emphysema, progression in FEV<sub>1</sub>). Data on subjects progressing in both emphysema and FEV<sub>1</sub> (n = 11) are not shown. Numbers show mean percentages of lung tissue and relative percentages for each row between brackets. For example, 82.48% of all lung voxels categorized as normal tissue at Phase 1 were also categorized as normal tissue at Phase 2 (93.39% of all normal voxels at Phase 1), whereas 4.95% of all lung voxels categorized as normal tissue at Phase 1 shifted to fSAD at Phase 2 (5.60% of all normal voxels at Phase 1). The total amount of normal tissue, fSAD, emphysema, and rest tissue at Phase 1 can be found at the right side of the table and the total amount of voxels categorized at Phase 2 can be found at the bottom of the table. All together the voxels of both Phase 1 or Phase 2 compile 100% of all lung voxels.

**GOLD 0 subjects without progression (n = 1218)**

|         |               | Phase 2         |                |                |               |        |
|---------|---------------|-----------------|----------------|----------------|---------------|--------|
| Phase 1 |               | Normal          | fSAD           | Emphysema      | Rest          | Total  |
|         | Normal        | 82.48% (93.39%) | 4.95% (5.60%)  | 0.17% (0.19%)  | 0.72% (0.82%) | 88.32% |
|         | fSAD          | 5.63% (61.20%)  | 3.27% (35.54%) | 0.16% (1.74%)  | 0.14% (1.52%) | 9.20%  |
|         | Emphysem<br>a | 0.36 (45.57%)   | 0.25% (31.65%) | 0.12% (15.19%) | 0.06% (7.59%) | 0.79%  |
|         | Rest          | 1.34% (77.91%)  | 0.19% (11.05%) | 0.05% (2.91)   | 0.14% (8.14%) | 1.72%  |
|         | Total         | 89.81%          | 8.66%          | 0.51%          | 1.06%         | 100%   |

**GOLD 0 subjects with progression (n = 109)**

|         |           | Phase 2         |                 |                |                |        |
|---------|-----------|-----------------|-----------------|----------------|----------------|--------|
| Phase 1 |           | Normal          | fSAD            | Emphysema      | Rest           | Total  |
|         | Normal    | 65.02% (79.25%) | 13.60% (16.58%) | 1.41% (1.72%)  | 2.01% (2.45%)  | 82.04% |
|         | fSAD      | 4.93% (36.46%)  | 6.87% (50.81%)  | 1.33% (9.84%)  | 0.39% (2.88%)  | 13.52% |
|         | Emphysema | 0.28% (13.73%)  | 0.63% (30.88%)  | 0.97% (47.55%) | 0.16% (7.84%)  | 2.04%  |
|         | Rest      | 1.02% (42.86%)  | 0.49% (20.59%)  | 0.41% (17.23%) | 0.46% (19.33%) | 2.38%  |
|         | Total     | 71.25%          | 21.59%          | 4.12%          | 3.02%          | 100%   |

**GOLD 0 subjects with FEV<sub>1</sub> progression (n = 89)**

|         |               | Phase 2         |                |                |               |        |
|---------|---------------|-----------------|----------------|----------------|---------------|--------|
| Phase 1 |               | Normal          | fSAD           | Emphysema      | Rest          | Total  |
|         | Normal        | 79.37% (91.96%) | 5.87% (6.80%)  | 0.26% (0.30%)  | 0.81% (0.94%) | 86.31% |
|         | fSAD          | 6.32% (60.48%)  | 3.78% (36.17%) | 0.21% (2.01%)  | 0.14% (1.34%) | 10.45% |
|         | Emphysem<br>a | 0.45% (36.89%)  | 0.44% (36.07%) | 0.27% (22.13%) | 0.06% (4.92%) | 1.22%  |
|         | Rest          | 1.55% (77.50%)  | 0.26% (13.00%) | 0.06% (3.00%)  | 0.13% (6.50%) | 2.00%  |
|         | Total         | 87.69%          | 10.35%         | 0.80%          | 1.14%         | 100%   |

Table S14. Percentage of voxels shifting from Phase 1 to Phase 2 in PRISm subjects. The table is stratified by progression status (no progression, progression in emphysema, progression in FEV<sub>1</sub>). Data on subjects progressing in both emphysema and FEV<sub>1</sub> (n = 2) are not shown. Numbers show mean percentages of lung tissue and relative percentages for each row are shown between brackets. For example, in PRISm subjects without progression, 83.63% of all lung voxels categorized as normal tissue at Phase 1 were also categorized as normal tissue at Phase 2 (92.92%% of all normal voxels at Phase 1), whereas 5.58% of all lung voxels categorized as normal tissue at Phase 1 shifted to fSAD at Phase 2 (6.20% of all normal voxels at Phase 1). The total amount of normal tissue, fSAD, emphysema, and rest tissue at Phase 1 can be found at the right side of the table and the total amount of voxels categorized at Phase 2 can be found at the bottom of the table. All together the voxels of both Phase 1 or Phase 2 compile 100% of all lung voxels.

**PRISm subjects without progression (n = 267)**

|         |           | Phase 2         |                |                |               | Total  |
|---------|-----------|-----------------|----------------|----------------|---------------|--------|
|         |           | Normal          | fSAD           | Emphysema      | Rest          |        |
| Phase 1 | Normal    | 83.63% (92.92%) | 5.58% (6.20%)  | 0.18% (0.20%)  | 0.61% (0.68%) | 90.00% |
|         | fSAD      | 5.33% (63.30%)  | 2.81% (33.37%) | 0.16% (1.90%)  | 0.12% (1.43%) | 8.42%  |
|         | Emphysema | 0.22% (43.14%)  | 0.17% (33.33%) | 0.09% (17.65%) | 0.03% (5.88%) | 0.51%  |
|         | Rest      | 0.82% (73.87%)  | 0.19% (17.12%) | 0.03% (2.70%)  | 0.07% (6.31%) | 1.11%  |
|         | Total     | 90.00%          | 8.75%          | 0.46%          | 0.83%         | 100%   |

**PRISm subjects with emphysema progression (n = 26)**

|         |        | Phase 2         |                    |               |               | Total  |
|---------|--------|-----------------|--------------------|---------------|---------------|--------|
|         |        | Normal          | fSAD               | Emphysema     | Rest          |        |
| Phase 1 | Normal | 65.42% (78.43%) | 14.47%<br>(17.35%) | 1.29% (1.55%) | 2.23% (2.67%) | 83.41% |
|         |        |                 |                    |               |               |        |

|                  |                |                |                |                   |        |
|------------------|----------------|----------------|----------------|-------------------|--------|
| <b>fSAD</b>      | 5.16% (40.28%) | 6.13% (47.85%) | 1.11% (8.67%)  | 0.41% (3.20%)     | 12.81% |
| <b>Emphysema</b> | 0.29% (15.85%) | 0.59% (32.24%) | 0.81% (44.26%) | 0.14% (7.65%)     | 1.83%  |
| <b>Rest</b>      | 0.91% (46.43%) | 0.45% (22.96%) | 0.29% (14.80%) | 0.31%<br>(15.82%) | 1.96%  |
| <b>Total</b>     | 71.78%         | 21.64%         | 3.50%          | 3.09%             | 100%   |

**PRISm subjects with FEV<sub>1</sub> progression (n = 10)**

| <b>Phase 2</b>   |                 |                |                  |               |              |
|------------------|-----------------|----------------|------------------|---------------|--------------|
|                  | <b>Normal</b>   | <b>fSAD</b>    | <b>Emphysema</b> | <b>Rest</b>   | <b>Total</b> |
| <b>Normal</b>    | 82.55% (93.17%) | 5.71% (6.44%)  | 0.07% (0.08%)    | 0.27% (0.30%) | 88.60%       |
| <b>fSAD</b>      | 6.32% (67.23%)  | 2.94% (31.28%) | 0.08% (0.85%)    | 0.06% (0.64%) | 9.40%        |
| <b>Emphysema</b> | 0.33% (60.00%)  | 0.18% (32.73%) | 0.03% (5.45%)    | 0.01% (1.82%) | 0.55%        |
| <b>Rest</b>      | 1.29% (89.58%)  | 0.11% (7.64%)  | 0.01% (0.69%)    | 0.03% (2.08%) | 1.44%        |
| <b>Total</b>     | 90.49%          | 8.94%          | 0.19%            | 0.37%         | 100%         |

**Phase 1**

Table S15. Percentage of voxels shifting from Phase 1 to Phase 2 in GOLD 1 subjects. The table is stratified by progression status (no progression, progression in emphysema, progression in FEV<sub>1</sub>). Data on subjects progressing in both emphysema and FEV<sub>1</sub> (n = 14) are not shown. Numbers show mean percentages of lung tissue and relative percentages for each row are shown between brackets. For example, 70.08% of all lung voxels categorized as normal tissue at Phase 1 were also categorized as normal tissue at Phase 2 (89.49% of all normal voxels at Phase 1), whereas 6.95% of all lung voxels categorized as normal tissue at Phase 1 shifted to fSAD at Phase 2 (8.87% of all normal voxels at Phase 1). The total amount of normal tissue, fSAD, emphysema, and rest tissue at Phase 1 can be found at the right side of the table and the total amount of voxels categorized at Phase 2 can be found at the bottom of the table. All together the voxels of both Phase 1 or Phase 2 compile 100% of all lung voxels.

**GOLD 1 subjects without progression (n = 178)**

|         |           | Phase 2            |                |                |                | Total  |
|---------|-----------|--------------------|----------------|----------------|----------------|--------|
|         |           | Normal             | fSAD           | Emphysema      | Rest           |        |
| Phase 1 | Normal    | 70.08%<br>(89.49%) | 6.95% (8.87%)  | 0.38% (0.49%)  | 0.90% (1.15%)  | 78.31% |
|         | fSAD      | 9.06% (55.89%)     | 6.19% (38.19%) | 0.62% (3.82%)  | 0.34% (2.10%)  | 16.21% |
|         | Emphysema | 0.89% (30.38%)     | 0.95% (32.42%) | 0.83% (28.33%) | 0.26% (8.87%)  | 2.93%  |
|         | Rest      | 1.68% (65.63%)     | 0.40% (15.63%) | 0.16% (6.25%)  | 0.32% (12.50%) | 2.56%  |
|         | Total     | 81.71%             | 14.49%         | 1.99%          | 1.82%          | 100%   |

**GOLD 1 subjects with emphysema progression (n = 57)**

|         |        | Phase 2 |                 |               |               | Total  |
|---------|--------|---------|-----------------|---------------|---------------|--------|
|         |        | Normal  | fSAD            | Emphysema     | Rest          |        |
| Phase 1 | Normal | 55.51%  | 15.04% (20.24%) | 1.97% (2.65%) | 1.78% (2.40%) | 74.30% |

|                  |                |                |                |                |        |
|------------------|----------------|----------------|----------------|----------------|--------|
|                  | (74.71%)       |                |                |                |        |
| <b>fSAD</b>      | 5.87% (32.09%) | 9.70% (53.03%) | 2.30% (12.58%) | 0.42% (2.30%)  | 18.29% |
| <b>Emphysema</b> | 0.42% (9.35%)  | 0.97% (21.60%) | 2.81% (62.58%) | 0.29% (6.46%)  | 4.49%  |
| <b>Rest</b>      | 0.93% (31.63%) | 0.53% (18.03%) | 0.78% (26.53%) | 0.70% (23.81%) | 2.94%  |
| <b>Total</b>     | 62.73%         | 26.24%         | 7.86%          | 3.19%          | 100%   |

**GOLD 1 subjects with FEV<sub>1</sub> progression (n = 18)**

| <b>Phase 2</b>   |                |                |                  |               |              |
|------------------|----------------|----------------|------------------|---------------|--------------|
|                  | <b>Normal</b>  | <b>fSAD</b>    | <b>Emphysema</b> | <b>Rest</b>   | <b>Total</b> |
| <b>Normal</b>    | 65.04%         | 8.38% (11.16%) | 0.59% (0.79%)    | 1.06% (1.41%) | 75.07%       |
|                  | (86.64%)       |                |                  |               |              |
| <b>fSAD</b>      | 9.25% (54.93%) | 6.59% (39.13%) | 0.67% (3.98%)    | 0.33% (1.96%) | 16.84%       |
| <b>Emphysema</b> | 1.26% (33.60%) | 1.52% (40.53%) | 0.75% (20.00%)   | 0.22% (5.87%) | 3.75%        |
| <b>Rest</b>      | 2.66% (61.43%) | 0.98% (22.63%) | 0.34% (7.85%)    | 0.35% (8.08%) | 4.33%        |
| <b>Total</b>     | 78.21          | 17.47          | 2.35%            | 1.96%         | 100%         |

**Phase 1**

Table S16. Percentage of voxels shifting from Phase 1 to Phase 2 in GOLD 2 subjects. The table is stratified by progression status (no progression, progression in emphysema, progression in FEV<sub>1</sub>). Data on subjects progressing in both emphysema and FEV<sub>1</sub> (n = 39) are not shown. Numbers show mean percentages of lung tissue and relative percentages for each row are shown between brackets. For example, in GOLD 2 subjects without progression, 64.49% of all lung voxels categorized as normal tissue at Phase 1 were also categorized as normal tissue at Phase 2 (83.98% of all normal voxels at Phase 1), whereas 10.07% of all lung voxels categorized as normal tissue at Phase 1 shifted to fSAD at Phase 2 (13.11% of all normal voxels at Phase 1). The total amount of normal tissue, fSAD, emphysema, and rest tissue at Phase 1 can be found at the right side of the table and the total amount of voxels categorized at Phase 2 can be found at the bottom of the table. All together the voxels of both Phase 1 or Phase 2 compile 100% of all lung voxels.

**GOLD 2 subjects without progression (n = 333)**

|         |           | Phase 2            |                 |                |                |        |
|---------|-----------|--------------------|-----------------|----------------|----------------|--------|
| Phase 1 |           | Normal             | fSAD            | Emphysema      | Rest           | Total  |
|         | Normal    | 64.49%<br>(83.98%) | 10.07% (13.11%) | 0.93% (1.21%)  | 1.30% (1.69%)  | 76.79% |
|         | fSAD      | 8.43% (45.72%)     | 7.96% (43.17%)  | 1.58% (8.57%)  | 0.47% (2.55%)  | 18.44% |
|         | Emphysema | 0.43% (12.91%)     | 0.89% (26.73%)  | 1.81% (54.35%) | 0.20% (6.01%)  | 3.33%  |
|         | Rest      | 0.67% (46.85%)     | 0.32% (22.38%)  | 0.23% (16.08%) | 0.21% (14.69%) | 1.43%  |
|         | Total     | 74.02%             | 19.24%          | 4.55%          | 2.18%          | 100%   |

**GOLD 2 subjects with emphysema progression (n = 213)**

|         |        | Phase 2 |                |               |               |        |
|---------|--------|---------|----------------|---------------|---------------|--------|
| Phase 1 |        | Normal  | fSAD           | Emphysema     | Rest          | Total  |
|         | Normal | 46.50%  | 6.82% (12.47%) | 0.56% (1.02%) | 0.81% (1.48%) | 54.69% |



|                  |                |                 |                |                |        |
|------------------|----------------|-----------------|----------------|----------------|--------|
|                  | (85.02%)       |                 |                |                |        |
| <b>fSAD</b>      | 14.97%         | 12.96% (42.48%) | 1.91% (6.26%)  | 0.67% (2.20%)  | 30.51% |
|                  | (49.07%)       |                 |                |                |        |
| <b>Emphysema</b> | 2.16% (17.68%) | 4.06% (33.22%)  | 5.20% (42.55%) | 0.80% (6.55%)  | 12.22% |
| <b>Rest</b>      | 1.34% (52.14%) | 0.51% (19.84%)  | 0.30% (11.67%) | 0.42% (16.34%) | 2.57%  |
| <b>Total</b>     | 64.97%         | 24.35%          | 7.97%          | 2.70%          | 100%   |

**GOLD 2 subjects with FEV<sub>1</sub> progression (n = 31)**

| <b>Phase 2</b>   |                |                 |                  |                |              |
|------------------|----------------|-----------------|------------------|----------------|--------------|
|                  | <b>Normal</b>  | <b>fSAD</b>     | <b>Emphysema</b> | <b>Rest</b>    | <b>Total</b> |
| <b>Normal</b>    | 50.87%         | 11.35% (17.48%) | 1.24% (1.91%)    | 1.48% (2.28%)  | 64.94%       |
|                  | (78.33%)       |                 |                  |                |              |
| <b>fSAD</b>      | 13.43%         | 11.96% (40.99%) | 2.79% (9.56%)    | 1.00% (3.43%)  | 29.18%       |
|                  | (46.02%)       |                 |                  |                |              |
| <b>Emphysema</b> | 0.61% (14.06%) | 1.25% (28.80%)  | 2.14% (49.31%)   | 0.34% (7.83%)  | 4.34%        |
| <b>Rest</b>      | 0.57% (37.01%) | 0.31% (20.13%)  | 0.39% (25.32%)   | 0.27% (17.53%) | 1.54%        |
| <b>Total</b>     | 65.48%         | 24.87%          | 6.56%            | 3.09%          | 100%         |

**Phase 1**

Table S17. Percentage of voxels shifting from Phase 1 to Phase 2 in GOLD 3 and 4 subjects. The table is stratified by progression status (no progression, progression in emphysema). Data on subjects progressing in both emphysema and FEV<sub>1</sub> (n = 10) and in FEV<sub>1</sub> only (n = 1) are not shown. Numbers show mean percentages of lung tissue and relative percentages for each row are shown between brackets. For example, in GOLD 3/4 subjects without progression, 38.59% of all lung voxels categorized as normal tissue at Phase 1 were also categorized as normal tissue at Phase 2 (78.32%% of all normal voxels at Phase 1), whereas 9.41% of all lung voxels categorized as normal tissue at Phase 1 shifted to fSAD at Phase 2 (19.10% of all normal voxels at Phase 1). The total amount of normal tissue, fSAD, emphysema, and rest tissue at Phase 1 can be found at the right side of the table and the total amount of voxels categorized at Phase 2 can be found at the bottom of the table. All together the voxels of both Phase 1 or Phase 2 compile 100% of all lung voxels.

**GOLD 3/4 subjects without progression (n = 145)**

|         |          | Phase 2         |                 |                |               | Total  |
|---------|----------|-----------------|-----------------|----------------|---------------|--------|
|         |          | Normal          | fSAD            | Emphysema      | Rest          |        |
| Phase 1 | Normal   | 38.59% (78.32%) | 9.41% (19.10%)  | 0.76% (1.54%)  | 0.51% (1.04%) | 49.27% |
|         | fSAD     | 12.55% (38.37%) | 16.49% (50.41%) | 3.23% (9.87%)  | 0.44% (1.35%) | 32.71% |
|         | Emphysem | 1.65% (10.31%)  | 5.20% (32.50%)  | 8.73% (54.56%) | 0.42% (2.63%) | 16.00% |
|         | a        |                 |                 |                |               |        |
|         | Rest     | 0.95% (46.80%)  | 0.62% (30.54%)  | 0.29% (14.29%) | 0.17% (8.37%) | 2.03%  |
| Total   |          | 53.74%          | 31.72%          | 13.01%         | 1.54%         | 100%   |

**GOLD 3/4 subjects with emphysema progression (n = 242)**

|         |        | Phase 2         |                 |               |               | Total  |
|---------|--------|-----------------|-----------------|---------------|---------------|--------|
|         |        | Normal          | fSAD            | Emphysema     | Rest          |        |
| Phase 1 | Normal | 28.85% (64.11%) | 13.45% (29.89%) | 2.04% (4.53%) | 0.66% (1.47%) | 45.00% |
|         |        |                 |                 |               |               |        |

|                 |                |                 |                 |                |        |
|-----------------|----------------|-----------------|-----------------|----------------|--------|
| <b>fSAD</b>     | 7.09% (20.31%) | 19.52% (55.92%) | 7.84% (22.46%)  | 0.46% (1.32%)  | 34.91% |
| <b>Emphysem</b> | 0.73% (3.99%)  | 3.78% (20.64%)  | 13.47% (73.57%) | 0.33% (1.80%)  | 18.31% |
| <b>a</b>        |                |                 |                 |                |        |
| <b>Rest</b>     | 0.44% (24.58%) | 0.52% (29.05%)  | 0.62% (34.64%)  | 0.21% (11.73%) | 1.79%  |
| <b>Total</b>    | 37.11%         | 37.27%          | 23.97%          | 1.66%          | 100%   |