Brief Report

Improving Dyspnea by Targeting Weight Loss in Patients with Chronic Obstructive Lung Disease and Severe Obesity Through Health Coaching and Remote Monitoring

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Running Head: Improving COPD Dyspnea With Weight Loss Coaching

Abbreviations: COLD – Chronic Obstructive Lung Disease

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Introduction

Dyspnea is one of the leading symptoms of chronic obstructive lung disease (COLD), such as Chronic Obstructive Pulmonary disease (COPD) and asthma. Notably, patients with COLD and coexisting obesity report more dyspnea, increased healthcare utilization, poorer health-related quality of life, reduced functional capacity, and low physical activity. Despite the clinically negative effect of obesity in many outcomes in COLD, studies in COPD populations have shown improved survival in overweight and obese individuals compared with normal-weight counterparts, and increased mortality in the underweight individuals: The "obesity paradox". Obesity is rising in the general population and patients with COPD; with rates of obesity as high as 42% (BMI ≥ 30 kg/m2 ) in COPD cohorts, and despite optimal medical care, patients with COLD and obesity remain breathless.

Targeting weight loss to improve dyspnea and quality of life may be effective in patients with COLD, severe obesity, and clinically meaningful breathlessness. Obesity may represent a ‘treatable trait’ that can be targeted through personalized lifestyle interventions for patients with chronic lung conditions, clinically meaningful dyspnea, and severe obesity. Weight loss may also reduce other risks, such as cardiovascular disease and diabetes.

We previously reported the feasibility and possible effectiveness of an 8-week intervention that included the Weight Watchers® online application connected to a fitness tracker, a Bluetooth scale, and weekly health coaching (Figure 1, Intervention Flow). The intervention promoted self-awareness by registering food intake, daily physical activity, and measuring weight. A post-intervention survey indicated that participants preferred a longer program for further health
coaching support and accountability. This report aims to extend our previous pilot testing the feasibility, and effect size of a 12-week intervention with a 12-week follow-up to evaluate the sustainability of the outcomes and the experience of the patient with COLD and severe obesity through a qualitative inquiry.

Methods

This study design is a pre-post intervention with a mixed-method component. It was approved by the institutional review board and registered with clinicaltrials.gov NCT03836547. This study was initially designed as a randomized clinical trial with waitlist control. The waitlist period severely affected recruitment, given that participants were unwilling to wait for the intervention. The study was modified to the current pre-post intervention design. All patients signed a written informed consent to participate in the intervention and oral consent to the qualitative interview.

Patients were recruited from our pulmonary outpatient practice. Inclusion criteria were > 17 years of age, BMI > 34 kg/m2, clinically significant dyspnea defined by a Medical Research Council Dyspnea Score >1, and carried the clinical diagnosis of COPD and/or asthma.

In this 12-week intervention, participants agreed to log their food daily in the Weight Watchers® app, wear a Fitbit® tracker wristband to capture their daily steps and weigh themselves daily. Health coaching was weekly and based on motivational interviewing and mindful, active listening. It included reviewing the patient’s weekly food log, daily steps, and weight progress in the Weight Watcher app and collaboratively setting a weekly goal. Outcomes included % weight loss and BMI; and dyspnea measured by the Chronic Respiratory Questionnaire dyspnea domain
Outcomes were measured at baseline, three months, and six months. Descriptive statistics were used to summarize the study cohort's demographic characteristics and primary outcomes. Paired two-sided t-tests were used to assess the change in participants' weight and CRQ Dyspnea domain scores from baseline to three months. The minimal clinically important difference (MCID) for CRQ Dyspnea domain is 0.5. The percentages of patients who reach this threshold at three months and maintain it at six months were reported. All tests were two-sided, and p-values <0.05 were considered statistically significant.

Qualitative methods

We conducted one-on-one semi-structured interviews (IVs) with participants who had taken part in the intervention to understand their perceptions about the facilitators and barriers to participating and suggestions for improving the intervention. At the time of the interview participants were past 6-month of completion and we also included participants who dropped out of the study. All IVs were conducted over the phone following verbal consent, audio-recorded, and transcribed verbatim. IVs typically lasted 30-40 minutes. All IVs were coded independently and in duplicate by AB and MB (co-authors), who met weekly to reach a consensus. We used the framework analytic approach (FAA) to organize and analyze the data. The FAA is an analytic approach by which the verbatim content of IV transcripts is summarized in a matrix. Within this matrix (commonly a spreadsheet), deductive themes from IV guides create the columns, and individual IVs create the rows. Inductive—emerging—themes may add additional columns. Transcript page numbers are noted with paraphrased content within the relevant cells. This approach has several advantages over other qualitative analytic methods: It can be deployed in multidisciplinary research teams where some members have limited qualitative experience but
can still engage in sensemaking under the guidance of an experienced qualitative researcher (4). We discontinued data collection once we reached data saturation.

Results

Nineteen participants started, and thirteen completed the intervention. Dropout was attributed to other comorbidities that became a higher priority for patients and the lack of measured readiness to commit to a weight loss lifestyle intervention.

Participants mean age was 63.6 (10.6) years; eleven participants (85%) carried a diagnosis of COPD; two had asthma; 58% were female; 95.7% were white race, FEV1% (mean (SD)) 68.7(18.4), FEV1/FVC % (mean(SD)) 77.6(1.8). The mean baseline weight was 266.4 lbs., with a mean BMI of 42 kg/m2.

At three months, participants demonstrated an average (SD) weight loss of 6.9% (4.4%) or -18.4 lbs. (11.1), p=0.00007. At six months, the average (SD) weight loss was 9.0% (5.26%) or 22.39 lbs. (12.6). The CRQ Dyspnea domain had a mean difference (3 months – baseline) of 0.643 (p=0.00919) beyond the minimal clinically important difference (MCID) of 0.5. From the individual results, results demonstrated that 46% (6/13) reached the MCID threshold (improvement of >0.5 on CRQ Dyspnea) at three months, and 30% maintained the MCID threshold at six months.

Qualitative interviews were conducted with nine study participants; five were female. The most prominent themes in our qualitative data analysis included facilitators and barriers to the intervention, as well as intervention recommendations. Despite variability in the intervention's success in achieving weight loss and improved symptoms, most participants voiced enthusiasm for the intervention overall and the components of the intervention, especially the health coaching (see Table 1. Facilitators). Barriers to success in the intervention included concurrent
injuries and co-morbidities, internal factors such as lack of motivation, and external issues such as bereavement (see Table 2. Barriers). Participants also expressed their recommendations for future interventions when asked, primarily for more personalization of the intervention components. They recommended a longer program to support sustained healthy diet and exercise habits; customized diet, education, and exercise regimens based on benchmarking of physical ability, injuries, and co-morbidities; and customized follow-up support from the health coaching and clinician. Several participants also stated that eating habits' emotional and psychological aspects should be addressed within a future modified program. Lack of readiness was identified as a factor in poor uptake and adherence.

Discussion

We found that individuals with severe obesity and COLD, mostly COPD, had clinically and statistically significant improvements in dyspnea and weight loss. Although this is a small sample, these results suggest a possible effective intervention to lose weight and improve breathlessness that may be sustainable after the intervention and may fill a knowledge and practice gap. It is plausible that the dyspnea improvement is independent of the severity of the COLD; future confirmatory research is warranted. We further recognize the possible synergistic effect this type of lifestyle intervention could have with weight management pharmacological treatments10. Our results confirm and extend our preliminary work 5 and hypothesis that losing weight in patients with severe obesity may represent an added treatment to improve dyspnea in patients with chronic lung disease in individuals that otherwise had optimal pharmacological treatment.

This pilot obtained greater weight loss than our previous study possible because it is a longer intervention. The barriers that emerged from the qualitative analysis suggest opportunities to
increase the feasibility of future interventions. Assessing readiness for change and other comorbidities may impact the patient’s success in their weight loss journey. During the recruitment process, patients who were ready for behavioral change proposed by the program, wanted to start this intervention immediately. Engaging with the participant immediately after consenting was important when the motivation for the change was high.

We must acknowledge the study limitations: small, single center, and with possible selection/attrition bias, since 13/19 participants completed the study. Our results align with the reported beneficial effect of weight loss in obese patients with COPD and asthma 5,11,12 and provide a method for lifestyle intervention targeting weight loss. The qualitative study indicated that the intervention was well-received but pointed out aspects that can be improved, including a more personalized weight loss approach, physical exercise, and health coaching follow-up support. Our result further confirms the results presented as an abstract of the NIH-funded INSIGHT COPD study that indicates that lifestyle modification interventions are feasible and possibly effective in COPD 12. However, we tested a more intense intervention for behavior change (health coaching) with a favorable patient experience. Health Coaching is supported by our previous research, which has shown the consistent benefit of health coaching and remote monitoring on all aspects of quality of life in COPD13,14.

Our results can inform needed randomized controlled trials focusing on lifestyle programs, given the scarcity of treatment options for severe obesity in patients with COLD. Addressing weight loss through health coaching and lifestyle modification in patients with severe obesity and COPD may represent a strategy to improve dyspnea, the most prevalent symptom of their condition. Finally, we believe that the proposed behavior change model/ intervention is translatable to multiple chronic conditions.
Acknowledgments: We would like to acknowledge the mindful breathing laboratory's team effort that made this research possible on remote patient monitoring and lifestyle intervention. Authors participation: MB writing and editing manuscript, AB tables and methods, MR data analysis, MC and KD editing, RB design, supervision, editing and funding.

All authors declare no conflict of interest.
References


### Table 1: Facilitators for participation in the program; Subthemes and Representative Quote

<table>
<thead>
<tr>
<th>FACILITATORS</th>
<th>Subthemes</th>
<th>Quotes</th>
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<tbody>
<tr>
<td><strong>Health Coaching</strong></td>
<td>Encouragement</td>
<td>&quot;I got down in the dumps... I was down on me and I was mad that I didn’t...walk one day or didn’t lose a pound and she (HC) would always bring me up and show me the positive and say look what you’ve accomplished&quot; (IV 21)</td>
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<td>Social Support</td>
<td>“she (HC) was phenomenal-“she would remind me ‘how far you’ve come’-“I’m the hardest person on myself and she helped me to see that.” (IV 21)</td>
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<td>Motivation</td>
<td>&quot;(the HC was) helping me become aware of how much I would just shove in my mouth without even thinking during the day...how to be mindful of what I was eating during stress.&quot; (IV 21)</td>
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<td>Mindfulness</td>
<td>&quot;so technology and me didn't go together...but (the HC) was very kind. She took her time explaining things...how I could do things and it worked” (IV 21)</td>
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<td>Education</td>
<td>&quot;I tried to make my goals reasonable...and she (HC) said I was right to not to make my goals so outrageously hard that I would never ever reach them. “(IV 24)</td>
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<td>Tech Assistance</td>
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<td>Goal Setting</td>
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<td><strong>Technology</strong></td>
<td>Fitbit</td>
<td>“I pretty much kept track of everything on my Fitbit and that weight scale...the thing I liked most was the Fitbit... I would probably average anywhere from 13,000 steps to 18,000 steps in a day, so I dropped the weight real quick” (IV 27)</td>
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<td>Scale</td>
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<td><strong>Family Support</strong></td>
<td>Support with the dietary changes and meal preparation</td>
<td>“I would tell my husband and son, if you want something that’s fattening or high in carbs... you’re on your own, you’re gonna make it and eat it yourself...and then they started joining in...we started looking at certain recipes... and then we were all eating the good stuff.” (IV 21)</td>
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<td>Support and motivation to be physically active</td>
<td>“She(daughter) helped me and then she made these meals for me and she made omelets for breakfast and made sure and they were in all the right portions and stuff like that, you know, and that’s what she said portion control is...” (IV 25)</td>
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<td>Personal Factors</td>
<td>Readiness for change</td>
<td>&quot;they caught me at a great time where I was willing to go for it&quot;. (IV 21)</td>
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<td>Developing mindful approach to eating/mindset</td>
<td>“I would go about my day, ... and if there was an M&amp;M sitting somewhere, I would pop 2, 3, 4 in my mouth not even thinking... and I did that quite often... and then when she (HC) brought it to my attention... she called it mindful eating, ... (that) I’m just shoving out of a habit, out of boredom... I am mindful of that (now), where I wasn’t before” (IV 21)</td>
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<td>Motivation to be healthy setting an example for their family</td>
<td>&quot;So it’s, do or die if I don’t do it. I’m gonna end up being in the grave... I don’t want my kids to see that happen to me... so I just gotta keep pushing myself every day... remember how your dad died” (IV 26)</td>
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### Table 2. Barriers for participation in the program; Subthemes and Representative Quotes

<table>
<thead>
<tr>
<th>BARRIERS and CHALLENGES</th>
<th>Main Themes</th>
<th>Subthemes</th>
<th>Quotes</th>
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<tbody>
<tr>
<td>Components of program</td>
<td>Weight watchers cost and marketing</td>
<td>“weight watchers was ...really good until we got into a financial bind. And we didn't have any income coming in. It was just financially draining.” (IV 26)</td>
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<td>Fitbit</td>
<td>“I don’t wear it (FitBit) at all... I do a lot of gardening and you get a lot of dirty stuff in there... I have a tendency to keep it on too long... and I get a fungal infection underneath the vinyl.. vinyl is cheap” (IV 27)</td>
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<td>Tracking</td>
<td>“Well, I'm forgetful and I forget to write it down. And then I don't remember is my biggest issue...I just I would say the hardest thing was (to)remember to write everything down&quot;(IV 20)</td>
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<td>Technology-food/diet</td>
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<td>Medical</td>
<td>Comorbidities that impacted exercise</td>
<td>“I tried doing what I could... short walks. ... It wasn't often enough” [neuropathy prevented exercise] (IV 12)</td>
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<td>Injuries that impacted exercise</td>
<td>&quot;I blew up my knee. I had to have surgery and had to go to physical therapy and the weight started coming back on&quot; (IV 21)</td>
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<td>Steroid use that caused weight gain</td>
<td>“they put me on high doses of prednisone and all you want to do is eat” (IV 21)</td>
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<td>Internal Factors</td>
<td>Lack of motivation</td>
<td>“my lack of motivation just blinded me so that I wasn't doing anything&quot; (IV 8)</td>
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<td>Mindset/ Attitude</td>
<td>&quot;My attitude towards everybody should have been a lot better...I was a jerk. I was in denial. I didn't think I needed to do anything to make myself better.&quot; (IV 26)</td>
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<td>Bad Habits</td>
<td>“I had a bad habit (of) stopping at a convenience store, stopping at fast food and getting myself something to eat on the way home to stay awake...those are bad habits I developed and I just haven't broken those habits... and they aren't helping me” (IV 8)</td>
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<td>External Factors</td>
<td>Bereavements</td>
<td>“I lost one of my dogs that summer and that was the hardest thing for me because it was not expected and then I lost my best friend” (IV 8)</td>
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<td>Emotional eating and psychological elements</td>
<td>Lack of routine</td>
<td>&quot;my emotions get in my way... (the) emotional part is as difficult as physical part... food is my comfort go-to” “I’m lonely. I’m sad. I hate myself” (IV 8)</td>
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<td>“I don’t have a schedule... no day is the same... I don’t have a routine like everyone else” (IV 8)</td>
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"my emotions get in my way... (the) emotional part is as difficult as physical part... food is my comfort go-to” “I’m lonely. I’m sad. I hate myself” (IV 8)

“I don’t have a schedule... no day is the same... I don’t have a routine like everyone else” (IV 8)
Figure 1