

**Original Research****RESP-FIT: A Technology-Enhanced Combined Inspiratory and Expiratory Muscle Strength Training Intervention for Adults With COPD**

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***Abbreviations:*** RMST: respiratory muscle strength training; COPD: chronic obstructive pulmonary disease; IMST: inspiratory muscle strength training; EMST: expiratory muscle strength training; EMA: ecological momentary assessment

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## Abstract

**Background.** Chronic obstructive pulmonary disease (COPD) is a progressive respiratory disease associated with respiratory muscle weakness and activity-limiting symptoms such as dyspnea. Respiratory muscle strength training (RMST) is an empirically validated therapy to increase respiratory muscle strength. The theoretically-informed, technology-enhanced RESP-FIT intervention for COPD is a 6-week combined inspiratory and expiratory muscle strength training program with symptom measurement in real time via ecological momentary assessment (EMA).

**Objectives.** In addition to hypothesis generating purposes, the purpose of this randomized control pilot study was to explore whether observed effects (on symptoms, patient-reported outcomes, and respiratory muscle strength) support carrying out a future large-scale trial of RESP-FIT.

**Methods.** Thirty adults with COPD were randomized to intervention (n=15) or control, with intervention group undergoing 6 weeks of mHealth-enhanced RMST. Daily symptom data were collected in real time over the 6-week intervention period using EMA.

**Results.** Compared to the control group, participants in the intervention group reported decreased dyspnea and anxiety, increased happiness, and improved respiratory muscle strength (PIMax). However, reports of fatigue and sleep disturbance increased in the intervention group compared to the control group.

**Conclusion.** Results support the hypothesis that the 6-week RESP-FIT program will improve respiratory muscle strength, emotional state (anxiety and happiness), and breathlessness in COPD but may contribute to fatigue, at least in the short-term. Future work is needed to

determine efficacy of RESP-FIT, determine mechanisms of action on dyspnea and fatigue, and conduct within-subject comparisons of EMA data to explore individual or environmental fluctuations in COPD symptoms.

Pre-proof

## Introduction

Chronic obstructive pulmonary disease (COPD) is a progressive respiratory disease associated with substantial functional morbidity, activity-limiting symptoms, and respiratory muscle weakness<sup>1,2</sup>. One of the most common and distressing COPD symptoms is dyspnea, also known as breathlessness, air hunger, or shortness of breath. Causes of dyspnea are multifactorial, often triggered by increases in respiratory load, dynamic hyperinflation, peripheral muscle dysfunction, declines in lung function, and physical deconditioning<sup>3-5</sup>. Dyspnea is a powerfully aversive sensation, and many patients with COPD learn to fear and avoid activities such as exercise that may induce dyspnea. Disease-related resistance and/or obstruction from COPD, plus associated reductions in physical activity, contribute to physical deconditioning and reduced respiratory muscle strength, negatively impacting both upper and lower airway function.

Respiratory muscle strength training (RMST) is an empirically validated therapy known to increase respiratory muscle strength and airway defenses in healthy adults, athletes, and patient populations with degenerative neurological and respiratory diseases such as COPD<sup>6</sup>. RMST is performed with a portable training device tailored to individual inspiratory capacity. By applying respiratory force (inhaling or exhaling) needed to surpass a pressure threshold, respiratory and upper airway muscles are forced into a state of “overload” that improves respiratory muscle strength and coordination over time. Enhanced mechanical efficiency leads to decreased ventilatory demand and relief of breathlessness<sup>4</sup>, and can be measured through sampling of maximal inspiratory and expiratory pressures (P<sub>Imax</sub>, P<sub>E<sub>max</sub></sub>) via a handheld manometer. RMST programs typically include either inspiratory muscle strength training (IMST) *or* expiratory muscle strength training (EMST) which strengthens P<sub>Imax</sub> and P<sub>E<sub>max</sub></sub> respectively. IMST improves muscle strength in COPD, however, clinical results including effects on exercise tolerance, symptoms of dyspnea and fatigue, and health-related quality of life are inconsistent<sup>7</sup>. By

combining IMST + EMST, both inspiratory and expiratory muscles are challenged to maximize overall respiratory muscle strength training<sup>8</sup>. While few studies<sup>9-11</sup> have evaluated the combined use of combined IMST and EMST in COPD, findings suggest potential increases in P<sub>I</sub>max and P<sub>E</sub>max with moderate improvement of dyspnea. However, previous studies used recall to track adherence and effects on patient-reported outcomes (PROs) and symptoms other than dyspnea are unknown. Dyspnea recall is often unreliable and may not be reflective of accurate severity<sup>12-14</sup>.

**mHealth.** As symptoms and airflow limitations change frequently in COPD, day-to-day disease management is dependent upon individual self-management and symptom recognition. Technology can facilitate individual disease management and quality of life using refined, interactive interventions that complement (not replace) current pulmonary rehabilitation<sup>15-17</sup>. Smartphone or mobile technology (mHealth) can be used to self-manage physical activity<sup>18</sup> especially after hospital discharge<sup>19,20</sup> and for ecological momentary assessment (EMA). EMA is the capture of symptoms in real-time in the home environment, and provides insight into symptom trends over time, reducing recall bias and improving ecological validity.<sup>14,21-23</sup> To our knowledge, there have been no studies that have explored the effects of a technology-enhanced combined IMST+EMST respiratory muscle strength training intervention or monitored symptoms over time using EMA. There remains a need for technology-enhanced interventions that allow patients with COPD<sup>24</sup>.

**Intervention Development.** The RESPIratory FITness (**RESP-FIT**) program is a theoretically-grounded, 6-week, technology-enhanced RMST intervention consisting of five training days/week using a combined inspiratory and expiratory muscle strength training device with added Bluetooth-enabled frequency and asynchronous video feedback<sup>25,26</sup>. The Self-Determination Theory (SDT) served as the foundational framework for both intervention development and the measurement model. SDT concepts are predictive of self-management

regulation, useful for framing and guiding intervention development<sup>27</sup>. RESP-FIT was iteratively designed using concepts of the SDT, including autonomy (feeling empowered and having a choice), competence (feeling capable and effective), and relatedness (feeling connected to others). The platform was subsequently refined with repeated stakeholder (patients, families, clinicians) input<sup>28-31</sup>. Symptoms are reported and captured as they occur, using EMA via smartphone technology<sup>26</sup>. We have previously reported that the mHealth platform is acceptable and study procedures (recruitment, enrollment, and retention) are feasible,<sup>28</sup> but have not reported on effects of the 6-week RESP-FIT intervention on respiratory muscle strength, symptoms, and patient-reported outcomes. For hypothesis-generating purposes, we aimed to explore whether effects on respiratory muscle strength, general disease self-management, and symptom domains supported carrying out future appropriately powered studies. Thus, the purpose of this manuscript is to report on the generated hypotheses and exploratory aims of the RESP-FIT intervention including symptoms, patient-reported outcomes, and respiratory muscle strength.

## Materials & Methods

**Sample and Setting.** This study was conducted in the outpatient setting of a large academic medical center in Charleston, South Carolina. Prior to recruitment and enrollment, Institutional Review Board (IRB) approval (Pro00071706) was obtained, and the study was registered in ClinicalTrials.gov (NCT03652662). Adults over the age of 40 years with moderate to severe COPD (Spirometry values: FEV1/FVC <0.7 and FEV1% predicted < 50%); a dyspnea score  $\geq$  “1” on the modified Medical Research Council (mMRC) questionnaire; and the ability to read and write in English were included. Exclusion criteria were as follows: pregnancy or less than 1-year post-partum; diagnosed cognitive deficit or observed lack of understanding during the informed consent process; mobility impairment that would impair the ability to participate in intervention; lack of cellular phone service or WiFi access; and unwillingness to wear physical activity tracker

daily, follow protocol, or attend study visits. This study was conducted in accordance with the Declaration of Helsinki. A total of 30 participants were recruited using a combination of convenience and snowball sampling, provider referrals, flyers, and direct contact<sup>28</sup> across a combination of urban, rural, and medically underserved areas (**Figure 1**). Informed consent from participants was obtained either in-person or via Research Electronic Data Capture (REDCap)<sup>32</sup> e-Consent per individual personal preference.

**Procedures.** Following consent, participants were randomized 1:1 using a computer-generated probability stratified random sampling scheme to control (n=15) or intervention (n=15) group. Both the principal investigator and the study biostatistician were blinded to study allocation. All measures were collected at baseline and 6-weeks following the intervention, with a follow-up phone call at 14 weeks from baseline (**Table 1**). Pulmonary function was assessed via spirometry (FVC and FEV1) measured three times on a computerized spirometer (Compact; Vitalograph; Buckingham, UK) in a pulmonary lab. All spirometry assessments were performed by a Respiratory Therapist unaffiliated with the study and blinded to group assignment and study procedures. Results of the best of three efforts were reported for spirometry and respiratory muscle strength. Respiratory muscle strength was assessed with a pressure transducer by measuring the maximal inspiratory pressure (P<sub>I</sub>max; cm H<sub>2</sub>O) and the maximal expiratory pressure (P<sub>E</sub>max; cm H<sub>2</sub>O) at residual volume and total lung capacity, respectively, with a mouthpiece that has a small air leak to prevent pressure generation by glottis closure.

**Intervention Group.** Respiratory muscle strength training devices were calibrated at 70% of individual baseline P<sub>I</sub>max. The intervention group performed RMST 5 days/week using a calibrated respiratory muscle strength trainer<sup>28</sup>. The 6-week respiratory muscle strength training intervention was adapted from previous RMST training regimens<sup>33-35</sup> and comprised: 1) five training days/week using a combined IMST/EMST training device with Bluetooth enabled



frequency feedback to determine adherence and precise timing for device threshold intensification (i.e., increasing resistance training), 2) individualized, progress-based message training reminders and prompts and 3) EMA function in the mobile app to monitor symptoms and track training sessions and adherence. Consistent with other muscle strength training programs, strength training exercises are conducted at regular intervals during the week (5 breaths, 5 times a day, 5 days a week). Participants received graphical illustration of RESP-FIT training instructions with information on training (see supplement). If they chose to opt into notifications on their smartphone, they were prompted and/or reinforced via SMS text messaging. **Control group.** The control group did not undergo RMST but were given a simplified version of the app to log symptoms via EMA if desired.

**Data Collection.** Demographic data, respiratory function measures, and measures related to symptom experiences were collected from both groups at three time points (**Table 1**). Instruments to assess symptom domains included PROMIS<sup>®</sup> measures relevant to COPD including pain, fatigue, depression, anxiety, sleep, and dyspnea<sup>36-38</sup>. Other dyspnea measures (PROMIS Dyspnea Task Avoidance and PROMIS Dyspnea: Functional Limitations) assessed self-reported impact of dyspnea on daily tasks and activities such as preparing meals, walking up steps, and decision or likelihood of not engaging in tasks due to breathing discomfort. Self-efficacy domains measured with the SEMCD-6 included symptom control, role function, emotional function, and communication with physicians<sup>38</sup>.

**Ecological momentary assessment (EMA).** In addition to pre/post measures, participants were asked to record symptoms in mobile app in “real time” as they occurred. They recorded ratings of breathlessness, cough, energy levels, how their COPD affected sleep the previous night, anxiety/stress, activity engagement, and emotions using a three-point Likert scale. Breathlessness (dyspnea) was measured with a modified Borg scale on a 100mm visual analogue scale, which

was converted to a three-point scale in analysis<sup>39</sup>. Participants in the intervention group also reported difficulty of respiratory muscle strength training and their perception of respiratory muscle strength improvement using a five-point Likert scale. Daily use of RESP-FIT was encouraged, and training sessions were noted in a mobile activity log (to track adherence). Data were captured for each participant interaction with the app and each training session.

**Data analysis.** Secondary outcome measures, including dyspnea and fatigue, were analyzed as necessary inputs for the design of a future large RCT (Tables 3-6). For the continuous outcome measures of self-efficacy, fatigue, and dyspnea, we used an in intent-to-treat analyses and 95% confidence interval (CI) estimates of the within group change scores (pre- to post-treatment) with precisions ranging from  $\pm 0.20$  to  $\pm 0.78$ . These correspond to estimated standard deviations of change scores of these variables, ranging from 0.5 to 2.0. Study sample demographic and clinical characteristics were analyzed with descriptive statistics using frequencies, proportions, and measures of central tendency (means, medians) and variability (SD) as appropriate. Average weekly scores of participant responses were collected for each question. Imputation methods were not utilized for missing data. Duplicates were identified and removed following review and consensus by study statistician and PI.

Unadjusted frequencies and proportions and difference in proportions with asymptotic standard error and 95% confidence interval and means (with std), medians (with 25th/75th percentiles) and difference in medians with standard errors and 95% confidence intervals were calculated as appropriate for respiratory impact variables for Intervention (n=12) vs Control (n=14) groups for all individuals with measurements at baseline and week 6 assessment. General linear models were used to obtain least squares means (with std error) and difference in means with 95% confidence interval for respiratory impact variables and PROMIS measures at week 6 visit adjusted for baseline measurement and percent change from baseline in MIP and MEP to compare the

Intervention and Control groups. All analyses used SAS Statistical Software Version 9.4 (Copyright © 2016 by SAS Institute Inc., Cary, NC, USA).

**Data Safety and Monitoring.** This study employed the use of a Safety Monitoring Committee which convened semi-annually to review cumulatively reported and observed adverse events, monitor the study safety profile, and make recommendations regarding study modification, termination, and continuance.

## Results

The majority of the participants (63.3%) were females who previously used cigarettes or e-cigarettes. Rural residents made up almost half of the study population (46.7%) and most participants (66.7%) lived in a medically underserved area. Demographic characteristics were similar between groups with a mean age of 55.2 (SD=6.9) within the intervention group compared to 61.7 (SD=7.3) year within the control group. Additional demographic characteristics by group are presented in **Table 2**. There were 15 participants enrolled in each group, and a total of 12 participants in the intervention group and 14 in the control group completed the study (**Figure 1**, CONSORT diagram).

**Symptom domains.** Pain intensity decreased slightly in the intervention group with a difference of  $-3.9 \pm 10.0$  compared to  $-0.6 \pm 10.0$  in the control group from baseline to 6-week follow up. Fatigue increased slightly in the intervention group with a difference of  $0.6 \pm 8.8$  compared to  $-0.1 \pm 10.8$  in the control group from baseline to 6-week follow up. Depression decreased slightly in both intervention ( $-0.6 \pm 10.0$ ) and control ( $-0.5 \pm 9.7$ ), and anxiety decreased in the intervention group ( $-1.5 \pm 11.9$ ) but slightly increased ( $0.9 \pm 11.6$ ) in the control group. Sleep disturbance increased in the intervention group ( $1.8 \pm 4.7$ ) but decreased in the control group ( $-2.4 \pm 4.9$ ). Self-efficacy was mostly unchanged in both the intervention (difference of  $0 \pm 2.3$  from baseline to 6-week follow up) and control group (difference of  $0 \pm 2.2$  from baseline to 6-

week follow up), with a 0.1 difference between the two groups. The intervention group reported improvement in reported dyspnea functional limitations ( $-3.4 \pm 5.3$ ) compared to the control group ( $-2.3 \pm 7.2$ ). Finally, dyspnea task avoidance improved in the intervention group ( $-1.8 \pm 2.5$ ) and the control group ( $-1.3 \pm 3.5$ ). Results from questionnaires at baseline and 6-week follow-up are displayed in **Table 3**.

**Pulmonary function.** Post-intervention spirometry was similar between groups, however, those within the intervention group demonstrated a small, 1% improvement in FEV1/FVC and control group a 1.4% improvement in FEV1/FVC. In the intervention group who completed 6 weeks of respiratory muscle strength training, P<sub>Imax</sub> increased 12.9 cmH<sub>2</sub>O and P<sub>E<sub>max</sub></sub> increased 7.7 cmH<sub>2</sub>O from baseline to 6-week follow up (**Figure 2**). In the control group, P<sub>Imax</sub> increased 6.5 cmH<sub>2</sub>O and P<sub>E<sub>max</sub></sub> decreased 4.7 cmH<sub>2</sub>O from baseline to 6-week follow up (**Figure 2**). Results from pulmonary assessment measures at baseline and 6-week follow-up are displayed in **Tables 4 and 5** and **Figure 2**.

**EMA symptom experience.** Through the intervention period, participants reported symptoms in real-time (as they occurred) via a total of 14,388 data points via EMA in the mobile app. Overall, participants in the intervention group reported improved symptoms of breathlessness with a mean score of 1.84 (SD±.50) compared to 1.86 (SD±.37) in the control group, with MCI being 0.10 (see **Table 6**). The intervention group also reported lower levels of anxiety with a weekly mean score of 1.45 (SD±.49) compared to 1.53 (SD±.44) in the control group. Finally, the intervention group reported higher levels of happiness compared to the control group with a weekly mean score of 2.40 (SD±.59) versus 2.38 (SD±.37) respectively. Participants in the control group reported less coughing with a mean weekly score of 1.79 (SD±.44) compared to 1.92 (SD±.54) in the intervention group. Reduced sleep quality and less energy were also reported by the intervention group, 1.92 (SD±.54) and 1.77 (SD±.41) respectively, compared to the control

group (1.62 [SD±.52] and 1.86 [SD±.40]). Ecological momentary assessment symptom reports are in **Table 6**.

## Discussion

Results from this study provide insight into the effects of a technology-enhanced combined inspiratory and expiratory muscle strength training program. While this was a pilot and feasibility study not intended to determine intervention efficacy, findings indicated potential effects to generate sound hypotheses and support further testing of the intervention in an adequately powered efficacy study.

**Symptom domains and experience.** Overall, participants in the intervention group reported improved symptoms of breathlessness, lower levels of anxiety, and higher levels of happiness via EMA. However, and unexpectedly, participants in the intervention group also reported reduced sleep quality and less energy compared to the control group. As a result of these findings, we hypothesize that a combined RMST program will improve emotional state and symptoms of breathlessness in COPD, but may contribute to fatigue and sleep disturbance, at least in the short-term. Additional work is needed to clarify the effects of RESP-FIT on emotions, anxiety, and levels of happiness to elucidate potential mechanisms of effect. These findings support the need for 1) more robust operationalization of these concepts both as baseline and post-intervention measures, and 2) measurements over longer time periods via EMA.

It is possible that introduction of a new exercise training regimen, in the form of RMST, contributed to the unexpected finding of increased fatigue. Muscle fatigue following training is also a possible explanation for reduced sleep quality (in terms of more sleep disturbances) and less energy (reported via EMA) reported in the intervention group. A possible solution is that training at 70% max could be reduced (to 50-60%) to mitigate fatiguing effects. The concept of fatigue must be further operationalized in future studies to distinguish between muscular fatigue (related

to exercising of the respiratory muscles), dyspnea- or COPD-related fatigue, or another unidentified contributor to fatigue. Additionally, adding additional pre-intervention EMA measures followed by evaluation of symptoms over extended time periods can assess for potential physiologic adaptation to training.

A score of 50 on the PROMIS measures is the average for the United States general population with a standard deviation of 10 (**Table 5**). While this is reflected in our study population, it is possible that individual COPD status may have been a confounding variable on some of the subjective measures. COPD is a highly variable disease associated with periods of exacerbation, which are associated with burdensome symptoms. The high confidence intervals were wide, indicating that our sample was reporting on very different symptom experiences which may have affected their symptom ratings. This supports the need for a large-scale investigation with participants stratified by disease severity, rurality and geographic region (to explore environmental triggers), and exacerbation status to explore these relationships. Additionally, further work should incorporate a qualitative approach for a more robust understanding of phenomena. Participants in the control group still rated their symptoms and activity using the mobile app, so it is a possibility that there were effects in some subjective outcomes from the app itself being a confounding variable. Regular self-assessment and rating of disease status and symptoms over a 6-week period may affect individual processing of individual experiences in some unintended way. Thus, a “usual care” control group with no EMA measurement should be added to future studies.

**Spirometry and Physiological measures.** As expected, spirometry remained unchanged in both the intervention and control groups. RMST is not expected to change airflow obstruction severity, but rather to improve mechanical strength and pressure generation for airway clearance and breathing. Supporting our hypothesis of improved respiratory muscle strength, mean

inspiratory pressure (+12.9 cm H<sub>2</sub>O) and mean expiratory pressure (+7.7 cm H<sub>2</sub>O) improved in the intervention group from baseline to 6-week follow up. In the control group, mean inspiratory pressure improved (+6.5 cm H<sub>2</sub>O), but mean expiratory pressure decreased (-4.7 cm H<sub>2</sub>O). While we expected P<sub>Imax</sub> to increase in the intervention group, it is unknown why P<sub>Imax</sub> improved in the control group. It is possible that it was a task learning effect, or situational variations (i.e., if someone had a cold or was unknowingly beginning an exacerbation period during baseline measures) or increased activity (if the mHealth component acted as a confounding variable) may have contributed to this increase, or some other unknown confounding variable that we were unable to identify. Some participants in both groups had close to normal baseline P<sub>Imax</sub> levels, which may limit the potential improvement and subsequent clinical impact from RMST. Future studies should specifically investigate this with a focus on participants with respiratory muscle weakness.

**EMA measures.** Dyspnea decreased in the intervention group, indicative of minimal clinical important (MCI) difference for dyspnea (1 unit on a modified Borg scale, or 10mm on a 100mm visual analogue scale). This is consistent with other RMST studies in healthy adults<sup>40</sup>. While we evaluated changes in dyspnea over 6-weeks from participants in each group, future work is needed to investigate individual variations in dyspnea and conduct within-subject comparisons using EMA data. The intervention group also reported higher happiness and lower anxiety compared to the control group, supporting the generation of our hypothesis, which will be explored in a subsequent large-scale clinical trial. Activity level during EMA should also be considered during future studies.

With continually increasing physical wearable devices and technology-based interventions, new measures are needed to capture outcomes and behavioral changes<sup>41</sup>. EMA is a tool to capture information clinically, so healthcare providers can identify trends, monitor severity

of symptoms during individual events and over time, and investigate potential predictors of COPD exacerbations<sup>42</sup>. Adding tracking of environmental factors such as airway pollutants or seasonal pollens along with self-reported EMA symptom data will provide valuable insight into the effect of environmental influences on COPD progression and exacerbations.

**Intervention Accessibility.** Our generated hypotheses, that the RESP-FIT intervention may improve COPD self-management and quality of life by increasing respiratory muscle strength and improving symptoms, supports the potential of RESP-FIT to offer future value and benefit to the COPD population. Notably, there were no differences in the engagement of patient populations from rural and medically underserved areas, supporting that RESP-FIT may be an accessible intervention regardless of geographic location or available resources. The burden and prevalence of COPD is high in rural and medically underserved areas, where patients face unique barriers and needs. In SC, where the study was conducted, approximately one-sixth (17.6%) of residents live in poverty and many more face significant barriers to respiratory health care. Further, there is a shortage of specialized healthcare resources for patients with COPD in SC, with 95% of SC residents living in a Primary Care Health Professional Shortage area<sup>43</sup>, and only 72 pulmonologists practicing in the state (or approximately 1 per 73,000 people). There is a strong need for remote, accessible COPD interventions that can be delivered in rural and medically underserved areas, and it is possible that RESP-FIT can address this need. Future work must investigate socioenvironmental factors with intervention accessibility, engagement, and outcomes.

### **Strengths & Limitations**

To our knowledge, this is the first study to evaluate a technologically-enhanced combined-threshold inspiratory and expiratory muscle strength training program for individuals with COPD, and explore effects on symptoms, PROs, and respiratory muscle strength. One strength of this study is that preliminary data were sufficient to generate hypotheses that will be used in



future large-scale clinical trials. Another strength of our study is the engagement and representation of patient populations from rural and medically underserved areas, where the burden and prevalence of COPD is high.

There were some limitations to this study. Our sample was well educated, with 70% having earned a college degree or having completed some level of post-secondary education, which limits generalizability to those with lower educational and literacy levels. Comorbid conditions contributing to dyspnea may have been confounding with the inclusion of moderate-to-severe COPD by FEV1/FVC. Technology may also be a potential limitation for some patients. While the overall use was high, it is possible that issues with technology (Bluetooth connections and mobile app freezing) may have influenced the engagement with the intervention. All participants had mobile or cellular data access, and this may have excluded individuals without these resources. Finally, it is unknown how digital literacy may have contributed to intervention engagement and this may be an unknown barrier.

### **Future Research**

While promising, this work was focused on exploration of intervention effects for hypothesis generation. Thus, a large-scale clinical trial is needed to determine efficacy in a population of individuals with COPD. Future studies should include a usual care control group for comparison, stratify by disease severity, and evaluate potential effectiveness on exacerbations. Future studies should also investigate data collection strategies that utilize EMA to inform development of a validated, standard language for EMA measurement of dyspnea in specific patient populations to allow for more accurate measurement of dyspnea in real-time. We used a standard Borg scale which has been validated for MCI, but it is possible that another measurement tool such as the mMRC may be valid for EMA of dyspnea. Studies should investigate RESP-FIT across various pathological conditions to better inform patient interventions and clinical management of

patients with respiratory diseases and dyspnea. Finally, as respiratory health inequity is a determinant of overall respiratory health, social determinants of health must be considered in regard to intervention accessibility, engagement, and outcomes in future studies.

### **Conclusion**

A remote, mHealth-enhanced 6-week respiratory muscle strength training intervention, RESP-FIT, supports improved respiratory muscle strength (via maximum inspiratory pressure generation) and COPD-related symptoms, but may contribute to muscular fatigue. This study was sufficient to generate sound hypotheses that will be tested in a future large-scale clinical trial to determine efficacy of RESP-FIT in adults with COPD.

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**Data Sharing Statement:** As a condition of this National Institutes of Nursing Research (NINR) award, de-identified patient data will be shared by the researchers with the NINR and stored electronically on an NIH password protected secure server (<https://cdrms.nih.gov/>). The purpose of sharing this information is to build a NINR repository of data using Common Data Elements (CDE) for future research purposes among the general scientific community and for public health benefit. Please see ClinicalTrials.gov ID: NCT03652662 for detailed data sharing report.

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<b>Table 1. Measurements</b>					
<b>Measures</b>	<b>Instruments</b>	<b>Time Points</b>			
		<b>O</b>	<b>B</b>	<b>E</b>	<b>F</b>
<b>Intervention Engagem</b>	Categorizations of use (times/week of app use, consecutive weeks, adherence to components)	X	X	X	X
<b>Technical Issues</b>	Electronic log	X			
<b>Barriers/facilitators</b>	Free text in app, post-intervention feedback	X	X	X	X
<b>Respiratory Function</b>					
<b>Respiratory Muscle Strength</b>	PIMax, PEmax via pressure manometer		X	X	
<b>Spirometry</b>	FVC, FEV1 via Vitalograph spirometer		X	X	
<b>Questionnaires</b>					
<b>Dyspnea</b>	Modified Medical Council (mMRC) scale		X	X	X
	Modified Borg Scale	X			
<b>Fatigue</b>	PROMIS Fatigue				
	PROMIS Sleep Disturbance				
<b>Dyspnea-Related Measures</b>	PROMIS Dyspnea Task Avoidance		X	X	X
	PROMIS Dyspnea: Functional Limitations		X	X	X
<b>Self-Efficacy</b>	Self-Efficacy for Managing Chronic Disease 6-item				
<b>QOL</b>	SGRQ (4-point change meaningful difference)		X	X	X
<b>Ecological Momentary Assessment</b>					
<b>Happiness</b>	Likert scale in app	X			
<b>Anxiety</b>	Likert scale in app	X			
<b>Breathlessness</b>	Likert scale in app	X			
<b>Cough</b>	Likert scale in app	X			
<b>Energy level</b>	Likert scale in app	X			
<b>Sleep</b>	Likert scale in app	X			
<b>Activity/Task Avoidance</b>	Likert scale in app	X			
<b>Legend: O = ongoing, B = baseline, E = 6-weeks end-of-intervention, F=Follow-up 14 weeks</b>					

**Table 2.** Descriptive statistics (mean, std; proportion, frequency) for demographic and clinical characteristics by group (Intervention vs. Control).

	Intervention (n=15)	Control (n=15)
Age in years	55.2 ± 6.9	61.7 ± 7.3
Female	66.7% (10/15)	60.0% (9/15)
Race/ethnicity		
Black or African American	26.7% (4/15)	26.7% (4/15)
White	73.3% (11/15)	73.3% (11/15)
Not Hispanic or LatinX	100% (15/15)	100% (15/15)
Education		
11 <sup>th</sup> grade	6.7% (1/15)	0
High school graduate	6.7% (1/15)	26.7% (4/15)
GED or equivalent	13.3% (2/15)	6.7% (1/15)
Some college, no degree	33.3% (5/15)	33.3% (5/15)
Associate degree	20.0% (3/15)	13.3% (2/15)
Bachelor's degree	6.7% (1/15)	13.3% (2/15)
Master's degree	13.3% (2/15)	6.7% (1/15)
Employment status		
Disabled (permanently or temporarily)	46.7% (7/15)	40% (6/15)
Looking for work, unemployed	0	6.7% (1/15)
Retired	33.3% (5/15)	33.3% (5/15)
Working now	20.0% (3/15)	20.0% (3/15)
Marital status		
Never married	20.0% (3/15)	6.7% (1/15)
Married or domestic partnership	53.3% (8/15)	60.0% (9/15)
Separated	6.7% (1/15)	6.7% (1/15)
Divorced	20.0% (3/15)	20.0% (3/15)
Widowed	0	6.7% (1/15)
Number of household members (total count)		
1	26.7% (4/15)	26.7% (4/15)
2	60.0% (9/15)	53.3% (8/15)
3	6.7% (1/15)	13.3% (2/15)
≥4	6.7% (1/15)	6.7% (1/15)
BMI	21.6 ± 12.3	24.6 ± 15.3
Years since COPD diagnosis	7.3 ± 4.5	12.2 ± 9.6
Ever in lifetime smoked or used e-cigarettes	86.7% (13/15)	93.3% (14/15)
How long since you last smoked regularly?		
Less than 1 year)	38.5% (5/13)	21.4% (3/14)
1 year or more but less than 5 years	30.8% (4/13)	28.6% (4/14)
(5 years or more but less than 10 years	15.4% (2/13)	0
10 years or more	15.4% (2/13)	50.0% (7/14)



**Table 3.** Unadjusted means (with std), medians (with 25<sup>th</sup>/75<sup>th</sup> percentiles) and difference in medians with standard error and 95% confidence interval for PROMIS measures between Intervention vs Control groups (only individuals with measurements at baseline and week 6 assessment).

	Intervention (n=12)	Control (n=14)	Difference	95% confidence interval
<b>SEMCD-6</b>				
Baseline	6.3 ± 2.1 6.3 (5.6; 7.0)	6.5 ± 2.2 6.1 (4.8; 8.5)	0.7 ± 0.9	-1.2; 2.6
Visit 1 (Week 6)	6.3 ± 1.7 6.2 (5.8; 6.9)	6.5 ± 2.2 6.6 (4.8; 8.5)	0.1 ± 1.1	-2.2; 2.4
Change from baseline to week 6	0 ± 2.3 -0.2 (-1.2; 1.5)	0 ± 2.2 -0.2 (-1.5; 0.8)	0.1 ± 1.6	-3.1; 3.3
<b>PROMIS Pain intensity T-score</b>				
Baseline	47.7 ± 11.5 50.8 (37.1; 54.5)	48.7 ± 10.4 50.8 (40.2; 57.5)	2.7 ± 7.3	-12.4; 17.8
Visit 1 (Week 6)	43.8 ± 11.9 49.4 (30.7; 53.3)	48.1 ± 10.1 47.8 (40.2; 54.5)	0 ± 6.9	-14.2; 14.2
Change from baseline to week 6	-3.9 ± 10.0 -2.6 (-9.4; 0)	-0.6 ± 7.3 0 (-3.0; 5.1)	2.4 ± 4.9	-7.8; 12.6
<b>PROMIS Fatigue Pro-rated T-score</b>				
Baseline	61.3 ± 5.3 61.2 (57.0; 64.4)	57.9 ± 9.7 61.2 (52.4; 63.7)	1.2 ± 3.9	-6.9; 9.3
Visit 1 (Week 6)	61.8 ± 8.8 60.6 (56.3; 68.6)	57.8 ± 8.7 58.2 (50.9; 62.4)	0 ± 4.9	-10.2; 10.2
Change from baseline to week 6	0.6 ± 8.8 -1.9 (-5.1; 7.2)	-0.1 ± 10.8 0 (-3.9; 9.0)	1.3 ± 6.1	-11.3; 13.9
<b>PROMIS Depression Pro-rated T-score</b>				
Baseline	50.3 ± 10.9 50.4 (38.4; 57.0)	52.4 ± 7.2 52.7 (52.0; 54.7)	1.6 ± 5.5	-9.7; 12.9
Visit 1 (Week 6)	49.7 ± 12.9 45.2 (38.4; 58.8)	51.9 ± 8.3 55.3 (48.3; 58.2)	9.5 ± 7.5	-6.0; 25.0
Change from baseline to week 6	-0.6 ± 10.0 0 (-7.6; 6.8)	-0.5 ± 9.7 -0.6 (-4.9; 3.7)	0 ± 4.6	-9.5; 9.5
<b>PROMIS Anxiety Pro-rated T-score</b>				
Baseline	55.3 ± 6.4 53.5 (51.8; 56.3)	56.4 ± 9.0 58.8 (52.7; 60.7)	4.0 ± 3.5	-3.3; 11.3
Visit 1 (Week 6)	53.8 ± 13.6 51.8 (42.5; 61.4)	57.3 ± 8.6 57.5 (52.7; 63.3)	2.9 ± 7.7	-13.0; 18.8
Change from baseline to week 6	-1.5 ± 11.9 -2.8 (-10.8; 0.8)	0.9 ± 11.6 1.4 (-5.1; 11.8)	2.7 ± 5.4	-8.5; 13.9
<b>PROMIS Sleep Pro-rated T-score</b>				

Baseline	55.7 ± 3.9 54.8 (53.0; 58.5)	62.0 ± 3.3 62.3 (61.0; 65.0)	7.5 ± 2.1	3.2; 11.8
Visit 1 (Week 6)	57.5 ± 6.1 60.4 (50.9; 62.3)	59.6 ± 3.4 60.4 (58.5; 61.0)	0 ± 3.4	-6.9; 6.9
Change from baseline to week 6	1.8 ± 4.7 1.9 (-1.4; 5.8)	-2.4 ± 4.9 -2.6 (-6.2; 1.4)	-3.8 ± 2.8	-9.5; 1.9

**Table 4.** Unadjusted frequencies and proportions or means (with std), medians(with 25<sup>th</sup>/75<sup>th</sup> percentiles) and difference in medians with standard error and 95% confidence interval for respiratory impact variables between Intervention vs Control groups (only individuals with measurements at baseline and week 6 assessment).

	Intervention (n=15)	Control (n=15)	Difference	95% confidence interval
<b>Baseline FEV1</b>				
≥80	0	6.7% (1/15)	6.7%**	-27.7; 41.1%
50-79	66.7% (10/15)	53.3% (8/15)		
30-49	20.0% (3/15)	26.7% (4/15)		
<30	13.3% (2/15)	13.3% (2/15)		
<b>Visit 1 (Week 6) FEV1</b>				
≥80	0	35.7% (5/14)	1.2%**	-37.6; 40.0%
50-79	58.3% (7/12)	21.3% (3/14)		
30-49	25.0% (3/12)	21.4% (3/14)		
<30	16.7% (2/12)	21.4% (3/14)		
	Intervention (n=12)	Control (n=14)	Difference	95% confidence interval
<b>FEV1/FVC%</b>				
Baseline	53.6 ± 18.0 58 (41; 69)	57.4 ± 16.5 63 (43; 66)	1 ± 11.0	-21.6; 23.6
Visit 1 (Week 6)	54.6 ± 15.9 57.5 (42; 68.5)	58.7 ± 17.4 67 (37; 73)	9.0 ± 11.1	-14.0; 32.0
Change from baseline to week 6	1.0 ± 3.6 1 (-1; 3.5)	1.4 ± 5.9 -0.5 (-4; 6)	0 ± 2.7	-5.6; 5.6
<b>FEV1 % predictive</b>				
Baseline	3.2 ± 1.5 3 (2; 4)	2.4 ± 1.9 2.0 (1; 4)	-1.0 ± 1.2	-3.5; 1.5
Visit 1 (Week 6)	3.3 ± 1.4 3 (2; 5)	2.6 ± 1.7 2.0 (1; 4)	-1.0 ± 1.2	-3.4; 1.4
Change from baseline to week 6	0.1 ± 0.5 0 (0; 0)	0.2 ± 0.7 0 (0; 1)	0	-
<b>Mean inspiratory pressure cmH20</b>	±		±	
Baseline	83.2 ± 27.9 74 (64; 105)	78.4 ± 20.7 78 (61; 89)	2.0 ± 15.0	-28.8; 32.8
Visit 1 (Week 6)	96.2 ± 30.2 91 (76; 112)	84.9 ± 22.3 87.5 (64; 100)	-2.0 ± 15.6	-34.1; 30.1
Change from baseline to week 6	12.9 ± 20.7 5 (2; 28)	6.5 ± 15.9 6.5 (-2; 11)	1.0 ± 11.7	-23.0; 25.0
<b>Mean expiratory pressure cmH20</b>				
Baseline	92.9 ± 33.0 92 (80; 105)	95.5 ± 36.4 89.5 (67; 119)	-2.0 ± 15.8	-34.6; 30.6
Visit 1 (Week 6)	99.9 ± 33.3 99 (83; 118)	90.8 ± 44.1 77.0 (58; 105)	-15.0 ± 19.0	-54.1; 24.1
Change from baseline to week 6	7.7 ± 14.5 8 (-6; 18)	-4.7 ± 25.5 0 (-10; 12)	-7.0 ± 13.0	-33.8; 19.8
<b>PROMIS Dyspnea Functional limitation</b>				
Baseline	58.9 ± 8.8	55.4 ± 10.3		

	59.9 (50.1; 63.5)	58.4 (47.8; 63.5)	0 ± 5.6	-11.6; 11.6
Visit 1 (Week 6)	55.4 ± 10.5 58.4 (45.2; 64.7)	53.1 ± 11.0 54.9 (43.8; 62.4)	-3.0 ± 6.8	-17.0; 11.0
Change from baseline to week 6	-3.4 ± 5.3 -2.5 (-6.9; 0)	-2.3 ± 7.2 -2 (-5.1; 0)	2.6 ± 3.7	-5.0; 10.2
<b>PROMIS Dyspnea Task Avoidance</b>				
Baseline	9.7 ± 2.0 9 (9; 11.5)	7.4 ± 3.2 8.5 (5; 9)	0 ± 1.5	-3.1; 3.1
Visit 1 (Week 6)	7.9 ± 2.5 8 (6.5; 10)	6.1 ± 2.5 6.5 (5; 8)	-1.0 ± 1.3	-3.7; 1.7
Change from baseline to week 6	-1.8 ± 2.5 -2 (-4; 0)	-1.3 ± 3.5 -1.5 (-3; 1)	0 ± 1.6	-3.4; 3.4

\*\*Comparing FEV1<50 vs FEV1≥50

**Table 5.** Adjusted least squares means (with std error) and difference in means with 95% confidence interval for respiratory impact variables and PROMIS measures at week 6 visit from general linear models adjusted for baseline measurement and percent change from baseline in PiMax and PeMax comparing Intervention vs Control groups.

	Intervention (n=12)	Control (n=14)	Difference	95% confidence interval
FEV1 % predictive	2.9 ± 0.2	2.8 ± 0.2	-0.1	-0.7; 0.5
FEV1/FVC%	56.1 ± 1.5	57.4 ± 1.4	1.4	-3.1; 5.8
PROMIS Dyspnea Functional limitation	54.7 ± 1.9	53.6 ± 1.6	-1.1	-6.5; 4.3
PROMIS Dyspnea Task Avoidance	7.7 ± 0.8	6.3 ± 0.7	-1.4	-3.6; 0.9
SEMCD-6	6.3 ± 0.5	6.5 ± 0.5	0.2	-1.2; 1.7
PROMIS Pain intensity T-score	44.6 ± 2.4	47.4 ± 2.2	2.8	-4.3; 9.8
PROMIS Fatigue Pro-rated T-score	62.6 ± 2.2	58.1 ± 2.0	-3.5	-10.0; 3.0
PROMIS Depression Pro-rated T-score	50.5 ± 2.9	51.3 ± 2.6	0.8	-7.6; 9.2
PROMIS Anxiety Pro-rated T-score	54.2 ± 3.2	57.0 ± 2.9	2.8	-6.6; 12.1
PROMIS Sleep Pro-rated T-score	59.1 ± 1.7	58.2 ± 1.5	-0.9	-6.3; 4.5

**Table 6.** Average weekly scores (with std error) collected via Ecological Momentary Assessment by symptom by group.

Overall Average Score	Control Group		Intervention Group	
	M (SD)	95% CI	M (SD)	95% CI
Breathlessness (lower is better)*	1.86 (.37)	1.78, 1.94	1.84 (.50)	1.71, 1.96
Coughing (lower is better)	1.79 (.44)	1.70, 1.89	1.92 (.54)	1.79, 2.05
Sleep quality (lower is better)	1.62 (.52)	1.51, 1.73	1.98 (.50)	1.85, 2.10
Energy (higher is better)	1.86 (.40)	1.78, 1.95	1.77 (.41)	1.67, 1.87
Likelihood to engage in physical activity (higher is better)	2.14 (.59)	2.01, 2.27	1.80 (.36)	1.65, 1.95
Anxiety (lower is better)	1.53 (.44)	1.43, 1.62	1.45 (.49)	1.33, 1.57
Happiness (higher is better)	2.38 (.37)	2.30, 2.46	2.40 (.59)	2.25, 2.55
Difficulty of respiratory muscle training (higher is better)	3.09 (.21)	2.94, 3.23	3.07 (1.17)	2.80, 3.34
Respiratory muscle strength (higher is better)	2.93 (.12)	2.85, 3.01	2.93 (.93)	2.70, 3.17

\*MCI is 0.10

Figure 1

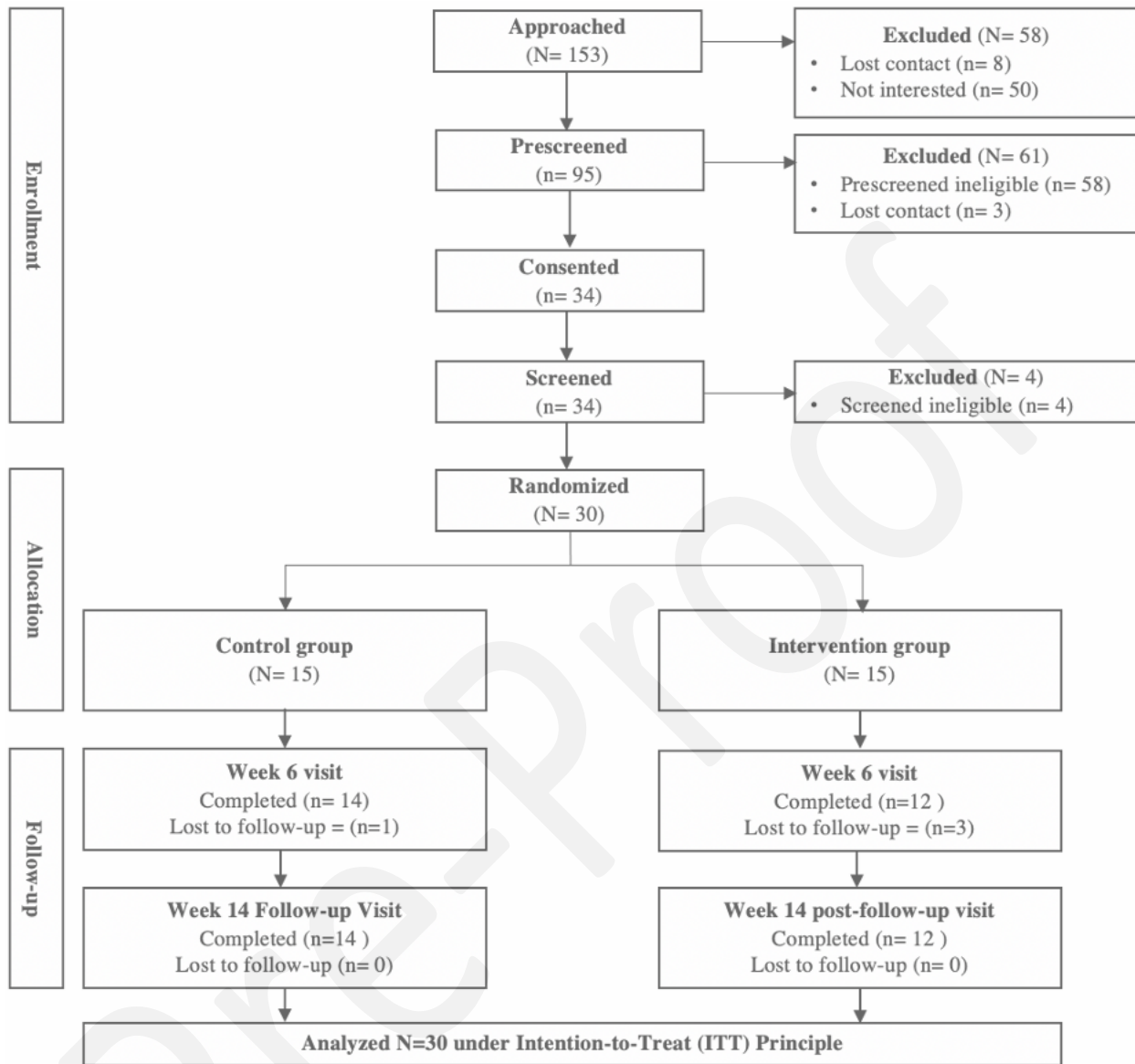
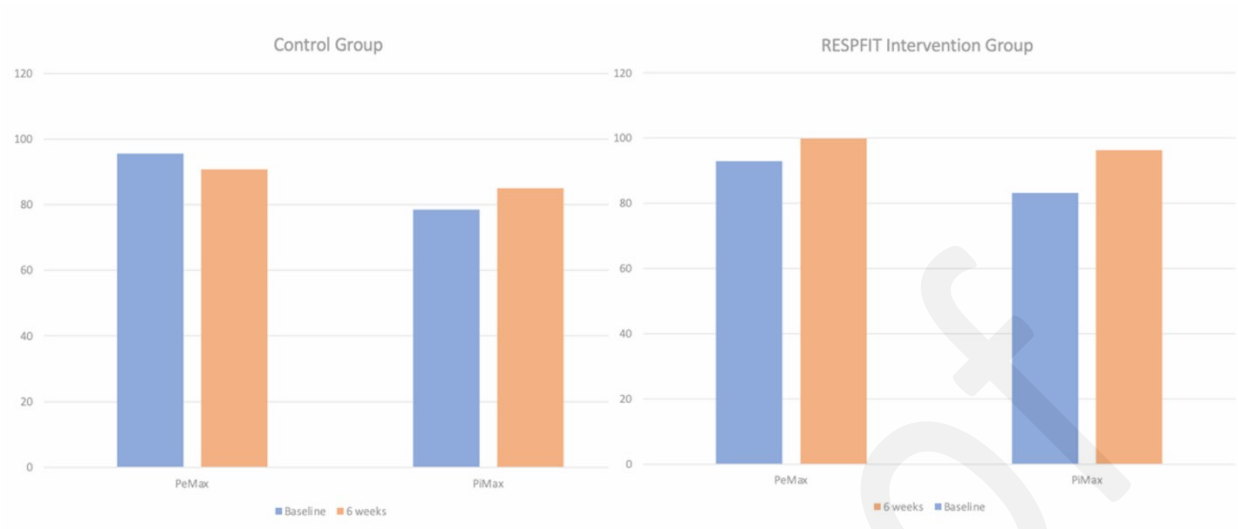


Figure 2





## Online Supplement

# RESP-FIT

## Review of how to do your respiratory muscle strength training

### Step 1:

- Press down on the Bluetooth cap until the light flashes. You may have to hold the cap down for 15-20 seconds.



### Step 2:

- Get ready to train!
- You can either sit or stand.
- Hold your cheeks
- Take a deep breath
- Open your mouth
- Place trainer into your mouth



### Step 3:

- Training time!
- You will do 5 breaths through **each side** of the trainer (5 breaths in and 5 breaths out)
- Listen for the air as you breathe through the trainer. Keep blowing until you hear the air.
- Do one breath, then rest.
- Do another breath, then rest again.
- Complete until you have done **5 breaths in** and **5 breaths out**.
- Repeat session until you have done a total of **25 breaths in** and **25 breaths out**.
- You can do all 25 in one session, or space your training throughout the day.



Remember to rest when you feel tired!